

1 think you toured if you saw the program here. Some  
2 of them are in administration segregation units  
3 elsewhere throughout the system. And the point is  
4 that there has been a policy change because of  
5 recognizing the problem of mixing people with mental  
6 illness and people that don't. That administrative  
7 segregation units are split. Maybe someone from the  
8 Department can explain that better for you, explain  
9 that better, more detail.

10 MR. MCFARLAND: Thank you very much,  
11 Dr. Kupers. You, of course, are welcome to stay as  
12 long as you can.

13 Our next witness is Robert Dumond, and he has  
14 provided us with written testimony which we have  
15 read. He is a board certified, licensed clinical  
16 mental health counselor with a specialty in exactly  
17 the subject we're talking about. We are privileged  
18 to have you, Mr. Dumond.

19 MR. DUMOND: Thank you very much. I want

20 to thank the panel for the pleasure to be here and  
21 certainly an honor. Hopefully our testimony will be  
22 important and helpful. I also wish to be -- great  
23 honor to be with both Dr. Terry Kupers and  
24 Dr. Barbara Owen, both of whom have contributed to  
25 corrections. Terry with help treating mentally ill

1 in prison, and Barbara with the treatment of women  
2 offenders and gender responsive treatment. I think  
3 that is important.

4 I think framing this, the sexual assault is  
5 one of the most pervasive and difficult nonlethal  
6 offenses in corrections. I think that is something  
7 we need to recognize right up front. We also need  
8 to recognize that this is not a new issue. The Rev.  
9 Louis White first identified this in 1826, and  
10 Joseph Fishman a hundred years later, federal Bureau  
11 of Prisons also identified that this was a problem  
12 that existed, that it was contributed to by inmate  
13 silence and also a code of silence and also staff  
14 turning a blind eye.

15 What I have provided is some documented  
16 history to kind of put some textualization on it.  
17 It is important to at least address that. There are  
18 factors which occurred from a number of witnesses  
19 that are predictable, contribute to sexual violence.

20 The facility issue lacks vision, gangs of  
21 aggressors, prison overcrowding, inadequate,  
22 nonexisting classification, withdrawal of  
23 surveillance and architectural issues. We also know  
24 certain places in prisons, in jails contribute to  
25 sexual victimization.

1           The issue of staff attitude needs to be  
2 flushed out a little bit further. I would like to  
3 do that. Staff unfortunately can contribute to this  
4 in one of three ways. They can carry out sexual  
5 aggression. They may know about or permit sexual  
6 aggression and do nothing about it. They may  
7 deliberately fail to carry out their custodial  
8 responsibility, which is actually the most common,  
9 and also the one that is most amenable to staff  
10 treatment, management and training.

11           And one of the things I think is important to  
12 recognize is some studies about correctional staff  
13 which really belies some of the questions you've  
14 heard over the last two days. Helen Eigenberg, who  
15 formerly worked with the Bureau of Prisons, has done  
16 two studies in two large correctional settings. She  
17 found that many officers were unlikely to respond to  
18 incidents when the victim was a homosexual or when  
19 the incident appeared to be consensual. She also

20 found some officers and staff actually engaged in  
21 victim blaming. She also found that a number of  
22 staff attitudes -- the staff affect the people who  
23 actually come forward and treatment they receive.

24 Troubling in one study, 46 percent of the  
25 staff she surveyed said that some inmates deserve to

1 be raped. That was repeated about 12 years later  
2 and in that study it was only 23 percent. But that  
3 still belies the question about the human dignity  
4 and constitutional care.

5 We also know that the dynamics of  
6 victimization are the same in the community. The  
7 same operating principles which affect victimization  
8 exist, and the predators look for means, opportunity  
9 and vulnerability. They select targets that are the  
10 least able to defend themselves, who are not  
11 believed or believable, who are unliked and  
12 despised. Aggressors may use a number of manners to  
13 control their victims: entrapment. They can use  
14 pressure tactics, forced tactics, accompanied by  
15 psychological manipulation. It is important to  
16 recognize that anyone can be a victim of sexual  
17 assault. Having said that, the research is very  
18 clear, quote, men and women in prisons, in jails,  
19 that the young and inexperienced, the new time

20 offenders and those with mental illness and  
21 developmental disabilities are extraordinarily at  
22 risk.

23 MR. MCFARLAND: Excuse me. Just a second.

24 Do you have the written testimony?

25 THE COURT REPORTER: I may. If not, I



1 will get it after.

2 MR. MCFARLAND: Because he is going very  
3 quickly.

4 MR. DUMOND: I will also provide a copy of  
5 my testimony.

6 Additionally, the research has documented for  
7 male prisoners that there are certain other  
8 characteristics which make more vulnerability: those  
9 that are physically small and weak; those who are  
10 not tough or street wise; those who are not gang  
11 affiliated; those who are homosexual, transgendered  
12 or who appear overly effeminate; those who violated  
13 the code of silence, those rats and snitches, if you  
14 will; those disliked by staff; those who committed  
15 sexual offenses themselves; and those who have been  
16 previously sexually assaulted. That is perhaps the  
17 most difficult. Once one has been previously  
18 assaulted, one is at a high increased risk for that.

19 The more of these factors that apply, the more

20 likely the victimization. As was noted by Ms.  
21 Ellis, race has been identified as a factor, but  
22 those in particular with settings of high racial  
23 tension. That is an issue we have to identify.

24           Once a person has been victimized, they are  
25 more likely to be targeted repeatedly, and as a

1 result they may do one of three things. They seek  
2 protective custody, which clearly affects their  
3 lifestyle and the services and treatment they  
4 receive. They seek protection for what is called  
5 protective pairing or hooking up, trading their body  
6 for protection. They may act out violently  
7 themselves, become the sexual aggressor, because the  
8 best defense is a good offense.

9           The impact, unfortunately, of prison sexual  
10 violence is catastrophic, and that applies to both  
11 the community as well as applies to individuals in  
12 jail setting. Individuals who are victimized  
13 experience anxiety, depression, posttraumatic stress  
14 disorder, suicidal feelings, attempts and  
15 exacerbation of previous psychiatric facilities.

16           Unfortunately, as Dr. Kupers so aptly  
17 described in jail and prison settings, the  
18 victimization may be more traumatic for a couple of  
19 specific reasons. Individuals who are in jails and

20 prisons often repeat this whole experience.

21 Physical assaults, they are often exposed to

22 ongoing sexual victimization over a period of time

23 by a multiple of offenders.

24           When I worked in the District Attorney's

25 office, I had the opportunity to work on hundreds of

1 rape victims in the community. With the exception  
2 of those who had been kidnapped by their assailants,  
3 those individuals had one event which was a  
4 life-changing event and it caused them significant  
5 harm. The difference in prison and in jails is  
6 those individuals will be repeatedly targeted by  
7 similar friends of that particular person and will  
8 have multiple victimizations which certainly  
9 increases, Dr. Kupers said, the complex  
10 posttraumatic stress that Judith Herman talks about.

11 In the case of staff sexual misconduct, there  
12 is also the additional violation that those who have  
13 been charged with the duty and responsibility for  
14 the care, custody and control have allowed for the  
15 betrayal and alienation and really the abdication of  
16 their responsibility.

17 As we know, most sexual assaults do not report  
18 their victimization. As a result, they do not  
19 receive the appropriate medical and mental

20 prophylaxis and treatment. Unfortunately, whatever  
21 a victim does in a jail or prison compromises their  
22 well-being and safety. It is a no-win situation.  
23 If they go to PC, they're allowed not to get any  
24 kind of services. If they fight, they compromise  
25 their ability. If they stay in the community, they

1 are going to be continued to be victimized.

2           So that is clearly something that we have to  
3 come up with a strategy that will respond to the  
4 aggressors.

5           Now, how can we do this substantively? In  
6 institutions and agencies where senior managers take  
7 seriously security and prisoner sexual violence and  
8 there are clear and established rules and guidelines  
9 regarding the conduct of prisoners and staff and  
10 where staff enforce those rules in a firm but fair  
11 manner, you will see decreases in sexual assault and  
12 increase in institutional security. Conversely, in  
13 institutions where there is apathy, where there has  
14 been a neglect by correctional staff and  
15 administrators, where sexual behavior is ignored or  
16 encouraged, where there is lack of security, sexual  
17 behavior abounds.

18           In four years of research we've had some  
19 pretty clear consensus of what works, and include a

20 number of things: increased surveillance and  
21 supervision through the use of human and  
22 technological resources; increasing the number of  
23 staff to provide such surveillance and supervision;  
24 improved inmate screening, classification placement  
25 and segregation of vulnerable prisoners and inmate



1 sexual predators in the appropriate security level;  
2 comprehensive ongoing staff training addressing  
3 victimization, coercion, attitudes and response; and  
4 use of scientific management principles to collect  
5 data efficiently and make rational correction  
6 decisions.

7 Good security, as you've heard from a number  
8 of witnesses, can only be maintained --

9 MR. SEXTON: Excuse me, what was the last  
10 comment?

11 MR. DUMOND: The use of scientific  
12 management principles.

13 MR. SEXTON: Yes.

14 MR. DUMOND: We need to collect data  
15 efficiently in order to make correctional decisions.  
16 If we don't understand the nature of a problem,  
17 where it's occurring, when it's occurring, under  
18 what circumstances, we cannot deploy management  
19 resources to respond. And one of the dilemmas have

20 been we have not had the ability to collect that  
21 data in a responsible way, and as a result managers  
22 haven't been able to deploy staff resources to have  
23 technological cameras and to have an opportunity to  
24 intervene appropriately. So data collection is  
25 really part of this. You have to make that part of

1 the dilemma.

2           Good security can only be maintained when  
3 there is adequate staffing levels with properly  
4 trained and motivated staff with sufficient  
5 resources. Hearing that over and over again, but  
6 that is part of the dilemma.

7           Correctional authorities significantly  
8 influence what happens in prisons and in jails.  
9 Staff must consistently promote prosocial attitudes  
10 and behavior in which human dignity and respect are  
11 fostered between staff and prisoners and among the  
12 prisoners themselves. The National Institute of  
13 Corrections, as you've heard from Director Thigpen,  
14 has had a great deal of history with staff sexual  
15 misconduct. The issue of a sexualized workplace  
16 needs direct intervention. Prisoners are very quick  
17 to learn what the facility culture is, or what is  
18 tolerated. It sets up an atmosphere, as you know,  
19 of permissive behavior and erodes professional

20 boundaries. The use of racial slurs is no longer

21 acceptable in correctional settings.

22           And I would submit to you that this should

23 equally apply to issues of gender, sexual

24 orientation, mental, emotional, physical

25 disabilities and other differences.

1                   MR. MCFARLAND: Is there anything else you  
2 would recommend in addition to that that would  
3 change the culture, the sexualized culture?

4                   MR. DUMOND: Well, probably we will have  
5 to start paying attention to, address how people  
6 approach it because I think there has been a tacit,  
7 if not agreement. People have looked the other way.  
8 When people share and do things, some folks have not  
9 responded affirmatively.

10                  I noticed, Sheriff, you were very clear about  
11 do we confront staff when they behave in ways that  
12 are clearly inappropriate. We have not done that  
13 consistently, nor have we had the ability to provide  
14 the resources to do that. But I would also like to  
15 comment, I think your point is well taken.

16                  Prisons and jails are toxic environments. I  
17 think that is important to recognize. They are  
18 toxic environments. In fact, staff who work in  
19 prisons say we are doing life on the installment

20 plan. We are subject to the same kind of rigorous  
21 stress that the people that live there experience,  
22 and that is very traumatic. We need to build and  
23 make those situations careful not only for the  
24 inmates that live there, but for the staff that work  
25 there. When staff feels supported and they feel

1 they have the resources, when they have the ability  
2 to intercede, they will provide more care.

3 Another model that I think the mental folks  
4 here will recognize is there is something called  
5 transference and counter-transference. People in  
6 mental health are trained very clearly to know when  
7 your personal feelings interfere with your  
8 professional responsibilities. We don't do that  
9 with corrections. That's something we probably need  
10 to do much more clearly. Because it is inevitable,  
11 I'm going to have some negative feelings about the  
12 people I work with. I work with, as we all tend to,  
13 I work with some reprehensible individuals. That  
14 has been very difficult. Yet I cannot let that  
15 personal feeling interfere with my professional  
16 responsibility. If it does, then I need to remove  
17 myself from the situation. But the system has to  
18 give me permission to do that, and right now there  
19 is no vehicle to do that.

20 MR. SEXTON: The system, they can do the  
21 same thing with inmates. Are we allowing inmates to  
22 continue the racial slurs? Are we going to continue  
23 --

24 MR. DUMOND: I think your point is clear.  
25 We have to develop a culture of respect that



1 manifests both from staff to inmates and inmates to  
2 themselves. That has to be part of the solution.

3 MR. SEXTON: Do we need to address the  
4 court somewhere along the line in regards to their  
5 decisions coming down that in some areas conflict  
6 with --

7 MR. DUMOND: By calling free speech. The  
8 goal of corrections is care, custody and control.  
9 If racial epithets are going to destabilize the  
10 institution, we need to do something about that  
11 within the institutional purview to manage that. I  
12 don't think that courts would be disapproving of  
13 that in terms of free speech or liberty interest  
14 because that compromises the safety and security. I  
15 think developing a culture of respect is endemic to  
16 that.

17 For the record and I'm sure you know, the Jury  
18 Commission's Institute Report very clearly calls for  
19 a culture of respect and professionalization.

20 That's part of the dynamic. We have to treat people  
21 as human beings and continue to support them. It is  
22 part of the professionalization of it. And  
23 unfortunately, Secretary Hickman had a very good  
24 point yesterday; he made a point that says: Is  
25 there something about corrections that causes it to

1 be a negative environment? I think we'd do well to  
2 remember the standards from the folks that the  
3 nature of keep those who are kept and those who are  
4 the keepers can sometimes compromise how people act  
5 and behave towards other human beings. We need to  
6 address that.

7 We also need to, again, make the situation --  
8 I think corrections has had some inordinate  
9 difficulties that I think -- I am glad Terry talked  
10 about it. Corrections has been in the task of  
11 dealing with things that it was never meant to deal  
12 with. We have an inordinate amount of -- we are the  
13 day cycle psychiatric facility in the United States.  
14 Corrections was never meant to deal with that. We  
15 don't have resources; we haven't had the resources.  
16 We are being asked to do all things for all people.

17 This debate will not be solved simply here,  
18 the body politic as Secretary Hickman very clearly  
19 said that. We don't work independent of

20 legislators. We don't work independent of budgets.

21 We don't work independent of public will.

22 I think one of the messages you are going to

23 hear from all of us, you've heard from a number of

24 people, this is an issue about community safety. If

25 people go to prison and jails and they are

1 victimized and violated, they become angry, they  
2 become frustrated and they become extremely mentally  
3 ill, they get compromised, they take drugs, they may  
4 go out and act out toward other people; and that  
5 creates the cycle of victimization. That's part of  
6 the message we need to communicate very clearly to  
7 our staff and to the community. That's part of the  
8 solution.

9           So the systemic approach, I think it is  
10 important that -- Executive Director Thigpen talked  
11 about it. You've heard even examples this morning.  
12 The effective response requires we look at the  
13 totality of what is going on. In order to do that  
14 we have to look at all the interrelated points. Any  
15 policy and procedure that you as a body look at  
16 should have a minimum of four dimensions:  
17 prevention, data collection and analysis,  
18 interdiction and prosecution, intervention.  
19 Whatever the policies that are created, they may be

20 unified, they may be separate; the agencies whom you  
21 look at should be able to articulate not only the  
22 policy that created the sexual violence, but also  
23 any changes and additions that are required in other  
24 policies that are related to that.

25           The other thing is the policies should create

1 protocol, should create post orders which are tied.  
2 But the true test is really are we -- are you using  
3 that. It is really about accountability and follow  
4 through. You can have all the policies in the  
5 world, but unless you follow through with  
6 supervision and enforcement, you really do not have  
7 any buy-in.

8 In terms of witnesses, I think you are all  
9 very much aware of that. Complex organizations are  
10 very difficult. You saw an example of that even  
11 this morning. What someone at the top may  
12 understand may not have filtered down to the mid  
13 management or lower levels of your organization.

14 Clearly one of your challenges is going to be,  
15 and I recommend to you strongly, that you get a  
16 number of people from different levels of the  
17 organization, from different disciplines because I  
18 guarantee that you are going to have a different  
19 understanding of what the mission is and what the

20 responsibilities are, and that is part of the  
21 dynamic. Having said that, I think it also is  
22 important that you guarantee in some demonstrative  
23 way that witnesses who appear before your panel be  
24 protected against ill-advised actions by employers  
25 and by agencies who may not want to hear when



1 someone is taking exception to what is being said.

2 I think that is part and parcel. If you want to get  
3 accurate information, you also need to provide some  
4 guarantees and protection.

5 Staff training is the linchpin. We know that.

6 I am glad Terry talked about some of the ways to do  
7 it. I think I would like to again strongly support  
8 and model both what the National Institute of  
9 Corrections has done, what Director Thigpen has  
10 done, what Andie Moss has done. I think what you've  
11 seen is we've had a ten-year history of these staff  
12 sexual misconduct, which is a great start. I am  
13 very honored, and I know Barbara has been a  
14 colleague with Andie on this.

15 We have done a tremendous amount to really  
16 influence, I think, an understanding of sexual  
17 violence and how to approach it using the models of  
18 staff sexual misconduct. One of the messages, I  
19 think, you need to ask when confronting the people

20 you are working with: Have they availed themselves  
21 of those free resources from NIC? Are they using  
22 the videos? Are they using the resources? Do they  
23 understand that that technical assistance is  
24 available to them? If they are not, why not? This  
25 is something, the federal government has provided

1 this resource under PREA that is available, and all  
2 agencies should be availing themselves of that.

3 In addition, the Urban Institute has done some  
4 important things under staff training that I think  
5 you need to address. Number one, the panel should  
6 look at not only the training that is provided, but  
7 when it is provided, the material that is utilized,  
8 by whom, the topics covered, and also its  
9 credibility of the trainer.

10 One of the things we heard over and over again  
11 is that sometimes the people doing the training have  
12 been involved in staff sexual assault misconduct  
13 themselves, have been put in training because they  
14 can't be in direct care anymore. That really  
15 undercuts the whole mission of training. The people  
16 who do the training have to be understanding and, in  
17 addition, not just training. You are dealing with  
18 the issue of, the complex issue like sexuality and  
19 sexual violence. That person, those individuals

20 have to understand the complexity and be able to

21 talk about it in a rational way.

22           There are clear barriers to reporting. I

23 think we are all aware of that. It is important to

24 recognize that sexual assault and rape is the most

25 underreported crime in the United States, in the

1 communities.

2 MR. MCFARLAND: What is your source for  
3 that?

4 MR. DUMOND: Numerous sources. National  
5 Crime Victimization Service, Uniform Crime Reports,  
6 National College of Women's Survey. We clearly in  
7 terms of reporting to police authorities the  
8 variability is somewhere between 5 percent, in the  
9 National College of Women's Survey 2000, to 38  
10 percent in the most recent Crime Victimization  
11 Survey by BJS in 2005.

12 So we know in the community most people don't  
13 report to police authorities. It is important to  
14 recognize we are dealing with jails and prisons.  
15 There are an incredible amount of additional  
16 barriers that are involved, not only guilt, shame  
17 and fear, but now I'm going to be compromised, I'm  
18 going to be identified, I'm going to have been  
19 really put in a negative situation because there are

20 some dynamics about jails and prison. Once you've  
21 acknowledged you have been victimized, you are at  
22 extreme risk for continued victimization.

23 MR. MCFARLAND: You're extrapolating in  
24 the prison context from the general population?

25 MR. DUMOND: For the record, it is not

1 only from the prison context. Cindy  
2 Stuckman-Johnson has done two well controlled  
3 studies in a number of departments of corrections.  
4 Her data suggested only about 29 percent of the  
5 population who reported in an anonymous survey had  
6 reported to the police, to the correctional  
7 authorities that they had been sexually assaulted.

8           So we have data from the community that  
9 certainly is associated with data from the jails and  
10 prisons. But we also have some prison studies which  
11 are clear that a small percentage will report.

12           One of the things that I think we need to  
13 address individuals who come forward really need to  
14 understand that they are going to be taken  
15 seriously. They need to know they are going to be  
16 protected. There has to be adequate safety and  
17 security. There has to be substantive  
18 interventions. An appropriate response is both  
19 discipline and prosecution where it is appropriate.

20 Investigators, as you've heard, you can be a great  
21 investigator -- I am a rape certified investigator.  
22 You need to have special training to work with  
23 victims of sexual assault, and I know Ms. Ellis is  
24 very much aware of this. In addition, victims need  
25 to be able to talk with people. I may need to talk



1 with someone, for example a female or a male, and I  
2 need to have some opportunity to do that because  
3 that is my right in the community, and that  
4 certainly should be afforded to people in jails and  
5 prisons as well.

6 MR. MCFARLAND: I want to ask each of you  
7 one question. I am going to lose Dr. Kupers in a  
8 couple of minutes. I wanted to ask: Do you have a  
9 professional opinion as to what the actual incidence  
10 of sexual assault is in, say, CDCR?

11 MR. DUMOND: I would not be -- I am not  
12 from California. I pass. I would not be the best  
13 person.

14 MR. MCFARLAND: How about nationally?

15 MR. DUMOND: Nationally, there is some.  
16 The data sets vary. Cindy Stuckman-Johnson  
17 suggested about 22 percent in one study and about 15  
18 percent in another, which is extrapolated down to  
19 about 12 percent nationally. That is the best sort

20 of extrapolation.

21 MR. MCFARLAND: Is that your opinion, 12  
22 percent?

23 MR. DUMOND: I don't think that is an  
24 unfair speculation. I am not sure that I would be  
25 in the best position to say that is appropriate. I

1 think just for the record, and I know Barbara may  
2 weigh in on this, we are in the same place we were  
3 with child abuse reporting. In the mid 1970s we had  
4 no knowledge of what the incidence of child abuse  
5 was in the United States. We have mandatory  
6 reporting so now we have a big spike.  
7 Unfortunately, your task is going to be cutting --  
8 are you seeing a real incidence or are you seeing  
9 another big spike or are people reporting because  
10 now we are paying attention to it. If you pay  
11 attention, now you will report. That is a real  
12 challenge.

13 MR. MCFARLAND: Dr. Kupers, do you have an  
14 opinion?

15 DR. KUPERS: What I can say with certainty  
16 is we do have figures in the 20s, and Cindy  
17 Stuckman-Johnson is the best research in that  
18 regard. Lockwood and some of the earlier research  
19 came up with 27 percent are subject to unwanted

20 sexual aggressive acts. That doesn't mean rape. So

21 we have to define our term.

22 MR. DUMOND: Fourteen percent is what he

23 said.

24 DR. KUPERS: There is -- what I know for

25 certain is there is a large number of unreported

1 cases even to the researchers. For instance, the  
2 whole issue of consensual sex. Even where if the  
3 person involved in the sex doesn't think of it as  
4 sexual assault or rape, but in my definition it is.

5 In the figures that come out in the low 20s  
6 are massively underreported So it is much higher  
7 than that is what I know for certain. We don't have  
8 a figure.

9 MR. MCFARLAND: We're jumping ahead, but,  
10 Prof. Owen, do you have an opinion on that?

11 DR. OWEN: I have many opinions. I am  
12 going to ask us all to shift our perspective a  
13 little bit, and I will build my case in my remarks,  
14 and I absolutely understand your charge in the  
15 legislation, I am very familiar with your role. I  
16 think there's been an overemphasis on counting. I  
17 think this is a moving target that's definitional,  
18 that sample size is even analytically driven. I am  
19 going to suggest that we take a step back and look

20 at the broader context.

21 And the question you put to us for our

22 preparation, the major thread was deterrence. And I

23 want to talk about looking at deterrence from

24 several perspectives, whether this study says 22 or

25 this one says 23. Any one of us with a basic

1     Statistic 101 class can tell you what is wrong with  
2     the study.  But I think our goal and our use of the  
3     attention afforded by PREA and the leverage as well  
4     as the resources give us an opportunity to move away  
5     from tedious academic arguments, is it 24 percent or  
6     is it 26 percent.  Oh, no, you asked an open-ended  
7     question.  Oh, no, you truncated your analysis.  
8     Believe me, I can bore you for hours with the  
9     debates that statisticians will subject you to when  
10    you start getting the BJS data.

11             I want to suggest an emphasis on counting is a  
12    misplaced emphasis.  Again, I will build my case  
13    when I have my time.

14             MR. SEXTON:  May I ask you a question?

15             DR. OWEN:  Yes, sir.

16             MR. SEXTON:  Coming from a practitioner's  
17    aspect, whether it be robberies or manpower  
18    allocation or budget, the Legislature, whatever, our  
19    society is based on a count.  Count staff, I heard

20 somebody talk about counting staff since I have been  
21 here. All of these things, if we go with the logic  
22 that you're talking about, how do I as a  
23 practitioner, how does somebody from the Bureau of  
24 Corrections, how do we justify our needs for those  
25 additional staffing, justify the needs for



1 reclassification, justify the need for a protective  
2 Level I? Somewhere along the line we have to have a  
3 mechanism to justify these requests. Using your  
4 logic, how do we go about doing that?

5 DR. OWEN: You are describing what is  
6 known in the literature as the public health  
7 dilemma. If we put all our resources in prevention  
8 in deterrence, how do we know, in fact, we have  
9 accomplished? And I will suggest to you that public  
10 health has a much greater payoff than we're ever  
11 going to be able to count. Simple example --

12 MR. SEXTON: I agree with you.

13 DR. OWEN: Smoking cessation. There is  
14 not a person that is going to tell you because we  
15 can't count how many people stop smoking because of  
16 the ads, because of the school stuff, because of the  
17 role modeling, all the variety of strategies that  
18 have been deployed in the smoke cessation that is  
19 going to tell you that we shouldn't do it because we

20 can't count.

21 MR. SEXTON: I agree with you. I guess  
22 also we need to have a prevention program on Let's  
23 Not Have You Come to Jail or Prison.

24 DR. OWEN: There is lots to say about  
25 that. My point is simple, that we need to run in

1 several tracks here, and the emphasis primarily has  
2 been on counting, investigations and prosecution.  
3 And I am going to make some different suggestions.

4 MR. MCFARLAND: Our statute requires DJS  
5 to do some ranging, so unfortunately counting  
6 necessary.

7 DR. OWEN: I understand your point. I  
8 think we can expand our use of the PREA.

9 MR. MCFARLAND: Doctor, do you have  
10 anything you want to add, to supplement because I  
11 want to let Mr. Dumond finish and then Prof. Owen?

12 DR. KUPERS: At this point, no. I am  
13 always delighted to hear Dr. Dumond and Dr. Owen.  
14 I'm sorry I have to leave you, but it's been a  
15 pleasure. I would be happy to have further --

16 MR. SEXTON: I have one question possibly.  
17 Based on what you're saying, is an outside  
18 investigation by an independent party into the  
19 allegations an issue or would that help?

20 DR. KUPERS: I would vote with this  
21 morning's panelists, some kind of independent  
22 investigation would be crucial. It doesn't have to  
23 be an entire investigation. It could be an  
24 oversight body. It could be another department.

25 MR. SEXTON: Dr. Dumond, from what I heard

1 of the beginning of your testimony, I believe you  
2 would feel outside investigations would be  
3 warranted?

4 MR. DUMOND: I like Mr. Gennaco's  
5 representation. I think there is a place for joint  
6 investigations. I think if you have an independent,  
7 properly trained agency source who is using  
8 professional models, coupled with an oversight by  
9 the prosecutorial individuals or persons with that  
10 kind of strategy.

11 MR. SEXTON: How about if you don't have  
12 it in the county, but you're dealing with not L.A.  
13 County but East Bagolia, Idaho, or something?

14 MR. DUMOND: I think you have to use the  
15 resources that are available. When I worked in the  
16 District Attorney's office, we had a specially  
17 detailed office, and that was one of my tasks when I  
18 worked there. I'd actually go out and do some of  
19 those investigations, and that is a model that is

20 available to some vendors. You need to use the  
21 resources that you have.

22 MR. MCFARLAND: Do you have a nominee or a  
23 department that would provide to you oversight of  
24 external investigation?

25 DR. KUPERS: I think it would be regional.

1 I am not suggesting a federal oversight. In  
2 particular, I think that might be what you arrive  
3 at. I think it would be regional. I think each  
4 region -- for instance, in mental health what we  
5 have done is ask that the state Department of Mental  
6 Health investigate the Department of Corrections  
7 around cases involving people with serious mental  
8 illness.

9           What I'm suggesting is some kind of  
10 collaboration where there is a relative neutrality;  
11 that is, the party doing the investigation --

12           MR. SEXTON: I guess what I would call an  
13 outside agency would be if it was, for example,  
14 Folsom use Sacramento County or use Folsom PD. That  
15 is what I am asking.

16           Would that bring more consistency, more trust?

17           DR. KUPERS: I believe so, as long as  
18 there is not a rubber stamp mentality.

19           Thank you all very much.

20 MS. ELLIS: Thank you.

21 MR. DUMOND: In deference to Dr. Owen, I  
22 want to make a couple more points. There has been  
23 some discussion around the gay, lesbian, transgender  
24 population, but I think it is a misnomer to suggest  
25 by putting those individuals, because being --

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1 whatever your sexual orientation is, does not  
2 preclude being a sexual predator. I think the issue  
3 is more about housing and parity in cell matching.  
4 Clearly you want to have people who have like  
5 situations, like issues, and you want to be clear  
6 that just because someone is of the gay or  
7 homosexual community does not necessarily mean they  
8 are not going to be aggressive because I think that  
9 is a simplistic response. But clearly having a  
10 recognition of the needs of people who are gay and  
11 also transgender or bisexual and also having genuine  
12 respect.

13 The other thing I'd suggest to you is a very  
14 important question around staffing. Sheriff Michael  
15 Hennessey in San Francisco has made a great deal.  
16 He's been there since 1979. He's made a great deal  
17 of, I think, important contribution by suggesting  
18 that having openly gay and bisexual, transgender  
19 staff on his department does provide a vehicle both

20 for role modeling and also for genuine respect and  
21 dignity. That has worked. He is someone definitely  
22 to consult. He has observations on that.

23 I think the issue of confidentiality is a  
24 conundrum. I think it is important. There is  
25 challenges in professional privileges, and

1 confidentiality, I think you need to consult with  
2 state law, federal law to professional codes of  
3 ethics. I would submit to you that there is several  
4 things to consider. When I treated individuals, I  
5 was very clear what the limits of confidentiality  
6 were, right up front. Made it clear. If you tell  
7 me these things, this is what I have to do.

8           That has to be part of the dynamic. If you  
9 have some clear understanding of what that privilege  
10 entails, and I think mental health and the medical  
11 segment could be a support. Giving those people the  
12 opportunity, can help someone come along and say,  
13 "You know, I can help you through this and provide  
14 you with safety and security if you will allow me to  
15 do that."

16           I will always point out what the Moss Group is  
17 going to be doing in February of 2007, is going to  
18 be convening a group of medical and mental health  
19 practitioners to look at this and a number of other

20 issues. Confidentiality is certainly going to be  
21 one of the issues. I think the issue -- it is -- we  
22 are looking at -- there are 8,900 correctional  
23 facilities in the United States. Some jails are  
24 very large, if you've seen. But some jails, about  
25 50 percent of the jails have 50 people or less. You

1 are talking an inordinate disparity in terms of the  
2 kinds of facilities. Juvenile facilities, about  
3 3,500 juvenile facilities of all different types.  
4 It is an inordinate problem.

5           The problem you heard this morning about if  
6 you are in a jail you have a wide variety of people  
7 that come. In addition, you are going to have some  
8 state prisoners who serving time in jails because  
9 states and county departments of corrections  
10 transfer prisoners who may be problematic, who may  
11 be family issues. So there are all kinds of issues.  
12 So that certainly is going to be a conundrum.

13           I will close by saying we can make this work.  
14 I think most people in corrections want to do the  
15 right thing. They need the tools and resources.  
16 But I think, as you well know, this is not something  
17 that is going to be handled simply by corrections.  
18 Body politics has to be involved. Legislators have  
19 to be involved. Prisons have to be -- jails have to

20 be made safer. Because if we don't do that we are  
21 just going to create more victims, and that should  
22 be our mantra. I will leave it to Barbara.

23 MR. MCFARLAND: Thank you very much,

24 Dr. Dumond.

25 Dr. Owen, thank you for your patience.