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# **Analysis of Prosecutorial Outcomes for San Francisco Police Department Prostitution and/or Human Trafficking Incident Reports**

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RTI International conducted an analysis of a random sample of SFPD incident reports labeled as prostitution and/or human trafficking for the years 2009, 2010, 2014 and 2015. The purpose of this timeframe was to examine trends prior to and after the 2011 inclusion of human trafficking cases in the Special Victims Unit (SVU). The SFPD provided the deidentified data. To more fully understand these incidents, we conducted a separate analysis of the prosecutorial outcomes for those incidents that resulted in someone being cited and/or booked.

The questions we sought to answer were:

- 1) What are the prosecutorial outcomes for cases where:
  - a. Someone selling sex received a citation?
  - b. Someone buying sex received a citation?
  - c. A third party received a citation?
  
- 2) What are the prosecutorial outcomes for cases that involved human trafficking?

## **METHODS**

The analysis of the SFPD data included 989 incident reports across the years 2009, 2010, 2014, and 2015. We created a data extraction form (see Appendix A) that was used with each SFPD incident report. A total of 1441 people were listed on those incident reports. Out of those 1441 people, 64% received a citation (n=924).

We provided the SFDA's office with the incident report numbers and other identifying info connected to cases where at least one person received a citation. The SFDA's office then provided us with the prosecutorial outcomes for all individuals for whom they had information (N=816). This report shares the findings about the outcome of those 816 citations.

The SFDA's office provided the following information about the 108 missing prosecutorial outcomes (personal communication, M. McKee). Before the implementation of the Neighborhood Court System, if someone was referred directly to the First Offender Prostitution Program that information would be in the SFPD's records, not in the Court or DA's case management systems. Another possible explanation is that somehow the citations were lost, and the DA's office does not have any records about them.

Due to the straightforward nature of this analysis we used descriptive statistics and frequencies.

The study was approved by the Institutional Review Board at RTI International.

## [Key Variable Definitions](#)

All variables for this analysis were dichotomous, meaning the response options were limited to yes or no. See Appendix B for the detailed descriptions of DA disposition codes. The groupings of the various codes described below was created in consultation with the SFDA's office.

[Neighborhood Court](#). If a person was referred to neighborhood court.

[Successful Completion of Neighborhood Court](#). If the person was referred to neighborhood court AND if one of the following disposition codes were noted: 18 (for the years 2009/2010), 29 (for the years 2014/2015), 84.

[Discharge](#). If one of the following disposition codes were noted: 19, 20, 21, 24, 27, 29, 31, 33, 84

[Dismissal](#). If one of the following disposition codes were noted: 39, 40, 44, 49, 65, 68

[Police Discharge](#). If one of the following disposition codes were noted: 9, 16, 50

[Diversion](#). If one of the following disposition codes were noted: 52, 75

[Conviction](#). If one of the following disposition codes were noted: 181, 190, 191, 193

[Other Action](#). If one of the following disposition codes were noted: 36, 72, 83, 85, 95

[Certified to Juvenile Court](#). If the following disposition code was noted: 48

[Finding – Not Guilty](#). If the following disposition code was noted: 152

[Third Party](#). Someone engaged in any of the following: *a*) pimping—the act of directly or indirectly receiving earnings garnered through prostitution or asking for or receiving money in exchange for soliciting to trade sex; *b*) pandering—the facilitation or provision of someone to be used for prostitution, including inducing, encouraging, or forcing someone to engage in prostitution; *c*) keeping or residing in a house of prostitution; *d*) leasing a house of prostitution; *e*) procuring someone to travel for purposes of prostitution; *f*) sending a minor to or permitting a minor to enter a house of prostitution; and *g*) taking a person against his or her will for prostitution.

[Human Trafficking](#). This variable is from the data extraction form used with the SFPD data. To assess whether an incident was human trafficking, we cross-referenced the facts of the narrative in the SFPD Incident Report against our "Elements that Indicate Trafficking" list (see Appendix C). When an incident report did not contain sufficient information to make the determination, we coded the response to whether we believed the incident to be human trafficking as "don't know." In most of the cases where "don't know" was used it was because the incident report did not mention anyone being screened for trafficking.

## FINDINGS

### 1) What are the prosecutorial outcomes for cases where someone selling sex received a citation?

Of the 816 people who received citations, 474 were people who were selling sex (58%). Among those 474 people, 36% were referred to Neighborhood Court (see Table 1), with more of these citations referred to neighborhood court for the latter period.

**Table 1: Referrals to Neighborhood Court, People Selling Sex**

	Non-SVU		SVU		Total
	2009/2010 (n=226)	2014/2015 (n=94)	2009/2010 (n=144)	2014/2015 (n=10)	
<b>Yes</b>	38	61	63	9	171
<b>No</b>	188	33	81	1	303

Of the incidents referred to Neighborhood Court, 60% were successfully completed, and 39% were discharged (See Table 2). Of those cases that were discharged, 94% were discharged for bundling. The SFDA stopped bundling cases in July 2016.

**Table 2: Neighborhood Court Outcomes, People Selling Sex (n=171)**

	Non-SVU		SVU		Total
	2009/2010 (n=38)	2014/2015 (n=61)	2009/2010 (n=63)	2014/2015 (n=9)	
Successful Completion of Neighborhood Court	26	9	63	5	103
Discharge	12	50	0	4	66
Other Action	0	1	0	0	1
Missing	0	1	0	0	1

**Table 3: Non-Neighborhood Court Outcomes, People Selling Sex (n=303)**

	Non-SVU		SVU		Total
	2009/2010 (n=188)	2014/2015 (n=33)	2009/2010 (n=81)	2014/2015 (n=1)	
Discharge	98	26	64	1	189
Dismissal	72	2	6	0	80
Police Discharge	1	0	0	0	1
Other Action	6	5	7	0	17
Diversion	5	1	3	0	9
Conviction	5	0	1	0	6
Certified to Juvenile Court	1	0	0	0	1



For people selling sex whose citations were not referred to neighborhood courts, 62% were discharged, and 26% were dismissed (See Table 3 above). The bulk of dismissals occurred in the earlier time period of 2009/2010, and 81% of them were dismissed because no complaint was filed. Of those that were discharged, 85% of the time it was because further investigation was needed (data not shown).

**2) What are the prosecutorial outcomes for cases where someone buying sex received a citation?**

Of the 816 people who received citations, 319 were people who were buying sex (39%). Among those 319 people, 48% were referred to Neighborhood Court (see Table 4). Nearly all of the SVU involved incidents in 2014/2015 were referred to Neighborhood Court, a notable increase from the 27% referred in the early time period.

**Table 4: Referrals to Neighborhood Court, People Buying Sex**

	Non-SVU		SVU		Total
	2009/2010 (n=38)	2014/2015 (n=23)	2009/2010 (n=180)	2014/2015 (n=78)	
<b>Yes</b>	12	15	49	77	153
<b>No</b>	26	8	131	1	166

Of the incidents referred to Neighborhood Court, 86% were successfully completed, and 14% were discharged (See Table 5). Of those cases that were discharged, 62% were because they required further investigation, and 33% were discharged for bundling (data not shown). The SFDA stopped bundling cases in July 2016.

**Table 5: Neighborhood Court Outcomes, People Buying Sex (n=153)**

	Non-SVU		SVU		Total
	2009/2010 (n=12)	2014/2015 (n=15)	2009/2010 (n=49)	2014/2015 (n=77)	
Successful Completion of Neighborhood Court	9	13	44	65	131
Discharge	2	2	5	12	21
Missing	1	0	0	0	1

For people buying sex whose citations were not referred to neighborhood courts, 81% were discharged, and 10% were dismissed (See Table 6). All of the dismissals occurred in the

earlier time period of 2009/2010, with 50% of them dismissed in the interest of justice, and 38% dismissed because no complaint was filed. Of those that were discharged, 52% of the time they were dismissed in the interest of justice, and 39% of the time it was because further investigation was needed (data not shown).

**Table 6: Non-Neighborhood Court Outcomes, People Buying Sex (n=166)**

	Non-SVU			SVU		Total
	2009/2010 (n=26)	2014/2015 (n=8)		2009/2010 (n=131)	2014/2015 (n=1)	
Discharge	16	8		111	0	135
Dismissal	6	0		10	0	16
Other Action	1	0		0	0	1
Diversion	1	0		6	0	7
Conviction	2	0		3	1	6
Finding - Not Guilty	0	0		1	0	1

**3) What are the prosecutorial outcomes for cases where a third party received a citation?**

Of the 816 people who received citations, 23 were people who were third parties (3%). Among those 23 people, 1% was referred to Neighborhood Court (see Table 7), with none of these being referred in the latter time period. Of the 3 referrals to Neighborhood Court in 2009/2010, all were successfully completed (data not shown)

**Table 7: Referrals to Neighborhood Court, Third Parties**

	Non-SVU			SVU		Total
	2009/2010 (n=12)	2014/2015 (n=7)		2009/2010 (n=4)	2014/2015 (n=0)	
<b>Yes</b>	3	0		0	0	3
<b>No</b>	9	7		4	0	20

For third parties whose citations were not referred to neighborhood courts, 45% were discharged, and 30% were convicted (See Table 8). Of those that were discharged, 33% of the time it was because further investigation was needed, and 44% of the time it was because of lack of evidence (data not shown). Five of the six convictions resulted in County Jail Sentences, with the other conviction resulting in a State Prison sentence.

**Table 8: Non-Neighborhood Court Outcomes, Third Parties (n=20)**

	Non-SVU		SVU		Total
	2009/2010 (n=9)	2014/2015 (n=7)	2009/2010 (n=4)	2014/2015 (n=0)	
Discharge	4	4	1	0	9
Dismissal	2	0	0	0	2
Other Action	1	1	1	0	3
Conviction	2	2	2	0	6

**4) What are the prosecutorial outcomes for cases that involved human trafficking?**

Of the 816 people who received citations, 28 were people who were connected to a human trafficking incident. The SFDA’s office had prosecutorial outcome data for 10 of those 28 people. This means 1% of the people who received citations were connected to a human trafficking incident. None of these citations were referred to Neighborhood Court (see Table 9).

**Table 9: Referrals to Neighborhood Court, Human Trafficking Incidents**

		Non-SVU		SVU		Total
		2009/2010 (n=7)	2014/2015 (n=2)	2009/2010 (n=1)	2014/2015 (n=0)	
<b>Yes</b>	0	0	0	0	0	
<b>No</b>	10	7	2	1	10	

Table 10 provides a detailed account of the 10 citations related to trafficking incidents. All of the trafficking incidents were connected to the sex industry.

Forty percent of the citations were connected to the person selling sex. Three of these people were under 18 and therefore victims of trafficking. The other person shared that she was engaged because of threats against her and her family. Two of these cases were discharged, one was dismissed, and the other was certified to Juvenile Court.

Half of the citations were connected to third parties. Three were discharged, and two resulted in convictions.

In one incident, it was the person buying sex who was cited. That case was discharged because further investigation was necessary.

**Table 10: Non-Neighborhood Court Outcomes, Human Trafficking Incidents (n=10)**

Who Cited	Year	Human Trafficking Details	Outcome
Person Selling Sex	2009	Person selling sex was 13	Dismissal – No Complaint Filed
Person Selling Sex	2009	Although person initially agreeing to sell sex, when she said she wanted to stop threats against her family made her fear for her safety and she continued to sell sex.	Discharge – Lack of Evidence
Third Party	2009	Male who was pimping/trafficking the person in the above case.	Discharge – Further Investigation Necessary
Third Party	2009	Male who was pimping/trafficking someone under the age of 18.	Conviction – County Jail
Person Selling Sex	2009	Person selling sex was 12.	Discharge – Further Investigation Necessary
Third Party	2010	Female who was pimping/trafficking someone under the age of 18	Conviction – County Jail with Probation
Third Party	2010	Male who was pimping/trafficking an adult. The person selling sex was giving all the money to him, said he was violent with her, and She was tired of him always telling her what to do	Discharge – Complainant Withdrew Complaint
Person Selling Sex	2010	Person selling sex under 18. It was 3 days before 18th birthday	Certified to Juvenile Court
Third Party	2014	Male who was pimping/trafficking an adult, and forcing her to sell sex. The person selling sex said he took all the money she made, and sometimes threatened her and/or physically assaulted her to make her go work.	Discharge – Lack of Evidence
Person Buying Sex	2014	Person selling sex was 15. Pimp said if she left him he would kill her. She feared for her life	Discharge – Further Investigation Necessary

**Discussion**

Of the 816 people who received citations, 474 were people who were selling sex (58%), 319 were people who were buying sex (39%), and 23 were people who were third parties (3%). Slightly more than one-third of the people selling sex were referred to Neighborhood Court, for those buying it was nearly one-half, and only 1% of third parties were referred. People were overwhelmingly successful in completing the Neighborhood Court Program (60% people selling sex; 86% people buying sex; 100% third parties). For those cases not referred to the Neighborhood Court system, the vast majority were either discharged or dismissed.

It was very rare for these citations to result in criminal proceedings, particularly among those who were selling or buying sex. Among people selling sex, 6 of the 474 people experienced criminal proceedings, and all of those resulted in convictions. For people buying sex, 6 of the 319 people faced criminal proceedings, with all but one of those resulting in a conviction. It was more common to see third party incidents result in criminal proceedings. Overwhelmingly, these people were engaged in pimping and human trafficking. Six of the 23 people cited (26%) experienced criminal proceedings and all were convicted. Five of the six convictions resulted in County Jail Sentences, with the other conviction resulting in a State Prison sentence.

The DA's office was able to provide prosecutorial outcomes for 10 citations related to trafficking incidents. All of the trafficking incidents were connected to the sex industry. Three of the four people selling sex who were cited were under the age of eighteen. These citations happened prior to the change of California's Prostitution Penal Code to prohibit the arrest of minors for prostitution. One of these cases was certified to Juvenile Court, with the others being discharged or dismissed. Half of the citations were connected to third parties. Forty percent resulted in convictions. The others were discharged either because of a lack of evidence, the need for further investigation, or because the complainant withdrew the complaint.

Whether or not incidents were connected to the neighborhood court system, similarities exist about the reasons why they were dismissed or discharged. Dismissals occurred primarily because either no complaint was filed (as in the cases of people selling or buying sex), or they were dismissed in the interest of justice. Cases were discharged largely because further investigation was needed, and, in cases involving third parties because of a lack of evidence.

**Appendix A**

**Data Extraction Form**

**Incident Report Review Sheet**

*(If more than 1 person mentioned in report who is selling sex, buying sex, and/or is a 3<sup>rd</sup> party, complete multiple forms)*

*Anytime data is missing, write 99 in the corresponding section and use F3 key in Blaise.*

**Incident Number:** \_\_\_\_\_ **ID #s associated with the report:** \_\_\_\_\_  
**Incident Date (month/day/year):** \_\_\_\_\_ **Time of incident:** \_\_\_\_\_  
**Address of incident (address or cross street):** \_\_\_\_\_  
**Police District:** Select all that apply \_\_\_\_\_ Supplemental Report Only: yes no  
**Incident Code(s):** Select all that apply \_\_\_\_\_ **Crime/Clearance Number:** Select only one \_\_\_\_\_

<b>A. Incident Information</b>		
A1. How did the incident come to the attention of law enforcement? (Select all that apply) a. Tip/report(Yes/No): specify                      b. Police action(Yes/No) – Specify type (Select all that apply) Type: __ citizen;    Type: ___ Street __ CBO;    ___ Massage establishment inspection __ hotline;    ___ FOPP __ other, explain: _____                      ___ Backpage ___ Redbook ___ Hotel ___ Other online (specify _____) c. Other Police entrapment/set-up(Yes/No), explain: _____ d. Police action based on overarching complaints(Yes/No) e. Ongoing investigation(Yes/No), type: _____ f. Other(Yes/No), explain: _____		
A2. Reporting Officer Name:	A3. Officer Sexr: F    M	A4. Reporting Officer Badge #:
A5. Other Officials Involved?    Yes    No    If NO, skip to A8		
A6. Number of other police officers:	A7. Number of non-police:	
A8. Decoy Involved?(circle one)    Yes    No    If NO, skip to A10		
A9. Gender of Decoy:(circle one)    F    M		
A10. Interpreter Involved: Yes    No    If NO, skip to A11		
A10a. If yes, who was the interpreter (circle all that apply): Reporting officer                      Another police officer Civilian                                      Language Line Other (specify): _____		
A11. Brief summary of incident:		

A12. Check location(s) where incident occurred:  
 Internet-based                       Strip Club                       Massage Establishment  
 Modeling Agency                       Hotel                       Residence  
 Street                       Other (specify) \_\_\_\_\_

A13. Did incident report label as human trafficking: Yes      No

A14. Any mention of person/people being screened for trafficking: Yes      No

A15. Believe the incident to be human trafficking: Yes      No      Don't Know

A15a. If yes, types of trafficking (mark all that apply):  
Sex Industry      Other Industry (specify \_\_\_\_\_)

A15b. If yes or don't know, explain reason for this determination:  
[ See "Elements that indicate human trafficking" guidance document for examples]

A16. Other notes about Incident report:

--

B. Person of Interest (Person involved in selling sex) - - Allow up to 7 different Sec B pages		
Unique ID#: _____		
B1. Age: (0-99)  B2. Minor at time of incident: <input type="checkbox"/> Yes <input type="checkbox"/> No	B3. Race: <input type="checkbox"/> B(lack) <input type="checkbox"/> H(ispanic) <input type="checkbox"/> A(sian) <input type="checkbox"/> W(hite) <input type="checkbox"/> U(nknown) <input type="checkbox"/> Other (specify: _____)	B4. Biological Sex: <input type="checkbox"/> Female <input type="checkbox"/> Male B5. Gender: <input type="checkbox"/> transwoman <input type="checkbox"/> ciswoman <input type="checkbox"/> transman <input type="checkbox"/> cisman
B6. Residential Address: <input type="checkbox"/> San Francisco <input type="checkbox"/> California City outside of SF (specify: _____) <input type="checkbox"/> Other US Sate (Specify: _____) <input type="checkbox"/> Other Country (Specify: _____)  B7. Form of ID provided: <input type="checkbox"/> CA Driver's License <input type="checkbox"/> CA State ID <input type="checkbox"/> Other State Driver's License <input type="checkbox"/> Other State ID card <input type="checkbox"/> Non-US ID (Specify country of: origin _____) <input type="checkbox"/> No ID provided		B8. False ID given to LE: <input type="checkbox"/> Yes <input type="checkbox"/> No
Condoms: B9a. Mentioned in report <input type="checkbox"/> yes <input type="checkbox"/> no  B10. Cell phone searched: <input type="checkbox"/> yes, with permission <input type="checkbox"/> yes, without permission <input type="checkbox"/> seized as evidence <input type="checkbox"/> no		B9b. Seized as evidence <input type="checkbox"/> yes <input type="checkbox"/> no  B11. Prior record in SF: <input type="checkbox"/> yes <input type="checkbox"/> no  B12. Prior record outside of SF: <input type="checkbox"/> yes <input type="checkbox"/> no
B13. Presenting Demeanor: (Select all that apply) <input type="checkbox"/> Positive demeanor (respectful; responsive; accommodating) <input type="checkbox"/> Negative demeanor (disrespectful; aggressive; resistant) <input type="checkbox"/> Person was crying		B14. Sexual Contact with Officer: <input type="checkbox"/> yes (specify: <u>400 chars</u> ) <input type="checkbox"/> no  B15. Descriptions/photos of sexualized clothing or communication: <input type="checkbox"/> yes (specify: _____) <input type="checkbox"/> no
B16. How person classified on report: (select only one) <input type="checkbox"/> Suspect <input type="checkbox"/> Detained <input type="checkbox"/> Victim <input type="checkbox"/> Cited <input type="checkbox"/> Booked		



<p>B17. Third Party Involvement</p> <p><input type="checkbox"/> yes</p> <p><input type="checkbox"/> no - - - - - : Skip to B18</p> <p><input type="checkbox"/> suspected: Skip to B18</p>	<p>If yes:</p> <p>B17a. Share Info about 3<sup>rd</sup> party</p> <p><input type="checkbox"/> yes</p> <p><input type="checkbox"/> no</p>	<p>B17b. Willing to prosecute 3<sup>rd</sup> party:</p> <p><input type="checkbox"/> yes</p> <p><input type="checkbox"/> no</p>
<p>B18. Violation(s)/Charges (if none, mark No Charges): (Select all that apply)</p>		
<p>B19. Resolution of incident:</p> <p><input type="checkbox"/> cited</p> <p><input type="checkbox"/> booked</p> <p><input type="checkbox"/> none</p> <p><input type="checkbox"/> exceptional clearance</p> <p><input type="checkbox"/> unfounded</p> <p><input type="checkbox"/> diverted</p> <p><input type="checkbox"/> found (in cases of juveniles/youth)</p> <p><input type="checkbox"/> released</p> <p><input type="checkbox"/> other: specify _____</p>		
<p><b>C. Person of Interest (Person involved in buying sex) - - Allow up to 7 different Sec C pages</b></p>		
<p>Unique ID#: _____</p>		
<p>C1. Age: (0-99)</p> <p>C2. Minor at time of incident:</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	<p>C3. Race:</p> <p><input type="checkbox"/> B(lack)</p> <p><input type="checkbox"/> H(ispanic)</p> <p><input type="checkbox"/> A(sian)</p> <p><input type="checkbox"/> W(hite)</p> <p><input type="checkbox"/> U(nknown)</p> <p><input type="checkbox"/> Other (specify:_____)</p>	<p>C4. Biological Sex:</p> <p><input type="checkbox"/> Female</p> <p><input type="checkbox"/> Male</p> <p>C5. Gender:</p> <p><input type="checkbox"/> transwoman</p> <p><input type="checkbox"/> ciswoman</p> <p><input type="checkbox"/> transman</p> <p><input type="checkbox"/> cisman</p>
<p>C6. Residential Address:</p> <p><input type="checkbox"/> San Francisco</p> <p><input type="checkbox"/> California City outside of SF (specify:_____)</p> <p><input type="checkbox"/> Other US Sate (Specify:_____)</p> <p><input type="checkbox"/> Other Country (Specify:_____)</p> <p>C7. Form of ID provided:</p> <p><input type="checkbox"/> CA Driver's License</p> <p><input type="checkbox"/> CA State ID</p> <p><input type="checkbox"/> Other State Driver's License</p> <p><input type="checkbox"/> Other State ID card</p> <p><input type="checkbox"/> Non-US ID (Specify country of: origin_____)</p> <p><input type="checkbox"/> No ID provided</p>		<p>C8. False ID given to LE:</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>
<p>Condoms:</p> <p>C9a. Mentioned in report</p> <p><input type="checkbox"/> yes</p> <p><input type="checkbox"/> no</p> <p>C9b. Seized as evidence</p> <p><input type="checkbox"/> yes</p> <p><input type="checkbox"/> no</p> <p>C10. Cell phone searched:</p> <p><input type="checkbox"/> yes, with permission</p> <p><input type="checkbox"/> yes, without permission</p> <p><input type="checkbox"/> seized as evidence</p> <p><input type="checkbox"/> no</p>		<p>C11. Prior record in SF:</p> <p><input type="checkbox"/> yes</p> <p><input type="checkbox"/> no</p> <p>C12. Prior record outside of SF:</p> <p><input type="checkbox"/> yes</p> <p><input type="checkbox"/> no</p>
<p>C13. Presenting Demeanor: (Select all that apply)</p> <p><input type="checkbox"/> Positive demeanor (respectful; responsive; accommodating)</p> <p><input type="checkbox"/> Negative demeanor (disrespectful; aggressive; resistant)</p> <p><input type="checkbox"/> Person was crying</p>		<p>C14. Sexual Contact with Officer:</p> <p><input type="checkbox"/> yes (specify: <u>400 chars</u> )</p> <p><input type="checkbox"/> no</p>

<p>C15. Descriptions/photos of sexualized clothing or communication:  <input type="checkbox"/> yes (specify: _____)  <input type="checkbox"/> no</p>			
<p>C16. How person classified on report: (select only one)  <input type="checkbox"/> Suspect    <input type="checkbox"/> Detained    <input type="checkbox"/> Victim    <input type="checkbox"/> Cited    <input type="checkbox"/> Booked</p>			
<p>C17c. Was person actually selling NOT buying:  <input type="checkbox"/> yes  <input type="checkbox"/> no</p>		<p>C17d. Referred to Neighborhood Court:    C17e. Referred to FOPP:  <input type="checkbox"/> yes    <input type="checkbox"/> yes  <input type="checkbox"/> no    <input type="checkbox"/> no</p>	
<p>C18. Violation(s)/Charges (if none, mark No Charges):</p>			
<p>C19. Resolution of incident:  <input type="checkbox"/> cited    <input type="checkbox"/> exceptional clearance    <input type="checkbox"/> released  <input type="checkbox"/> booked    <input type="checkbox"/> unfounded    <input type="checkbox"/> other: specify _____  <input type="checkbox"/> none    <input type="checkbox"/> diverted</p>			
<p><b>D. Information about 3<sup>rd</sup> party - - Allow up to 7 different Sec D pages</b></p>			
<p>Unique ID#: _____</p>			
<p>D0. Identity of person confirmed:  <input type="checkbox"/> Yes  <input type="checkbox"/> No</p>	<p>D1. Age: (0-99)</p>	<p>D3. Race:  <input type="checkbox"/> B(lack)  <input type="checkbox"/> H(ispanic)  <input type="checkbox"/> A(sian)  <input type="checkbox"/> W(hite)  <input type="checkbox"/> U(nknown)  <input type="checkbox"/> Other  (specify: _____)</p>	<p>D4. Biological Sex:  <input type="checkbox"/> Female  <input type="checkbox"/> Male</p> <p>D5. Gender:  <input type="checkbox"/> transwoman  <input type="checkbox"/> ciswoman  <input type="checkbox"/> transman  <input type="checkbox"/> cisman</p>
<p>D6. Residential Address:  <input type="checkbox"/> San Francisco  <input type="checkbox"/> California City outside of SF (specify: _____)  <input type="checkbox"/> Other US Sate (Specify: _____)  <input type="checkbox"/> Other Country (Specify: _____)</p>		<p>D8. False ID given to LE:  <input type="checkbox"/> Yes  <input type="checkbox"/> No</p>	
<p>D7. Form of ID provided:  <input type="checkbox"/> CA Driver's License  <input type="checkbox"/> CA State ID  <input type="checkbox"/> Other State Driver's License  <input type="checkbox"/> Other State ID card  <input type="checkbox"/> Non-US ID (Specify country of: origin _____)  <input type="checkbox"/> No ID provided</p>			
<p>Condoms:  D9a. Mentioned in report    D9b. Seized as evidence  <input type="checkbox"/> yes    <input type="checkbox"/> yes  <input type="checkbox"/> no    <input type="checkbox"/> no</p>		<p>D11. Prior record in SF:  <input type="checkbox"/> yes  <input type="checkbox"/> no</p>	
<p>D10. Cell phone searched:  <input type="checkbox"/> yes, with permission  <input type="checkbox"/> yes, without permission</p>		<p>D12. Prior record outside of SF:  <input type="checkbox"/> yes  <input type="checkbox"/> no</p>	

<input type="checkbox"/> seized as evidence <input type="checkbox"/> no	
D13. Presenting Demeanor: (Select all that apply) <input type="checkbox"/> Positive demeanor (respectful; responsive; accommodating) <input type="checkbox"/> Negative demeanor (disrespectful; aggressive; resistant) <input type="checkbox"/> Person was crying	
D15. Descriptions/photos of sexualized clothing or communication: <input type="checkbox"/> yes (specify: _____) <input type="checkbox"/> no	
D16. How person classified on report: (select only one) <input type="checkbox"/> Suspect <input type="checkbox"/> Detained <input type="checkbox"/> Victim <input type="checkbox"/> Cited <input type="checkbox"/> Booked	
D18. Violation(s)/Charges (if none, mark No Charges):	
D20. Relationship to Person of Interest: <input type="checkbox"/> Parent/guardian <input type="checkbox"/> Foster parent <input type="checkbox"/> Acquaintance/peer <input type="checkbox"/> Friend <input type="checkbox"/> Intimate partner <input type="checkbox"/> Stranger <input type="checkbox"/> Pimp <input type="checkbox"/> Other, specify _____	
D19. Resolution of incident: <input type="checkbox"/> cited <input type="checkbox"/> exceptional clearance <input type="checkbox"/> released <input type="checkbox"/> booked <input type="checkbox"/> unfounded <input type="checkbox"/> other: specify _____ <input type="checkbox"/> none <input type="checkbox"/> diverted	

**Police Districts:**

- 1 = Central
- 2 = Southern
- 3 = Bayview
- 4 = Mission
- 5 = Park
- 6 = Richmond
- 7 = Ingleside
- 8 = Taraval
- 9 = Tenderloin
- 10 = Northern
- 11 = Vice
- 12 = SVU

**Crime/Clearance Number**

- 0
- 1 allegation unfounded
- 2 juvenile admonished
- 3 juvenile diverted
- 4 juvenile cited
- 5 juvenile booked
- 6 adult booked
- 7 adult cited

- 8 prosecuted by outside agency
- 9 prosecuted for lesser offense
- 10 DA refuses to prosecute
- 11 Complainant refuses to prosecute
- 12 not prosecuted
- 13 exceptional clearance
- 14 psychopathic case
- 15 juvenile clearance
- 16 person located

## Appendix B

### SFDA Disposition Codes

Code	Code Description	Type	Authority	DA Stat Status
9	Charge Booked in Error Via JMS: Do Not Report to DOJ	Discharge Code	Police	Exclude
16	849B(2) PC-REL Only/INTOXICATION ONLY	Discharge Code	Police	Exclude
18	REL/TOT TO OTHER JURISDICITON/AUTH	Discharge	Police	Exclude
19	No Incident Report Provided	Discharge	District Attorney	Discharge
20	Lack of Corpus	Discharge	District Attorney	Discharge
21	Substance not Prohibited by Law	Discharge	District Attorney	Discharge
24	Lack of Evidence	Discharge	District Attorney	Discharge
27	Further Investigation Necessary	Discharge	District Attorney	Discharge
29	Dism/A, Interest of Justice, 1385PC	Discharge	District Attorney	Discharge
31	Unable to Sustain w/o Victim's Testimony	Discharge	District Attorney	Discharge
33	Questionable Search and Seizure	Discharge	District Attorney	Discharge
36	Released to Other Agency/Jurisdiction	Discharge	District Attorney	Discharge
39	Dism/B, Case Comp/Restitution	Dismissal		Dismissed
40	Disch, No Complaint Filed, Sup Ct	Dismissal	District Attorney	Dismissed
44	DISM, Other Defense Motion	Dismissal	Court	Dismissed
46	Dism, Charge Not Included in Info	Not Case Level		Pending
48	Certified to Juvenile Court	Action Taken		Action Taken
49	Dism/S, By Order of the Court	Dismissal	Court	Dismissed
50	Disch, Detention Only, 849.5PC	Exclude		Exclude
52	DISM, 1000.3PC/No Arrest	Diversion		Diverted
65	1385 PC - Lack of Evidence	Dismissal	DA or Court	Dismissed
68	1385 PC - Interest Of Justice	Dismissal	DA or Court	Dismissed
72	1385 PC - Guilty Plea to Other Charge	Dismissal		Action Taken
75	DISM, 1001.7PC/1001.9PC	Diversion		Diverted
76	Dism, 1203.4 PC	Expunged		Expunged

<b>83</b>	Discharge to Proceed With MTR	Discharge	District Attorney	Other Action Taken
<b>84</b>	Complaint/ Petition Withdrawn, Case Disch	Discharge		Special Circumstances
<b>85</b>	5 Year Old BW Purged per Court Order	Dismissal		Special Circumstances
<b>95</b>	Discharge to Proceed on Parole Violation	Discharge	District Attorney	Other Action Taken
<b>152</b>	Finding - Not Guilty	Not Guilty		Not Guilty
<b>181</b>	Fine Sentence	Convicted		Convicted
<b>190</b>	County Jail w/ Probation Condition	Convicted		Convicted
<b>191</b>	County Jail	Convicted		Convicted
<b>193</b>	State Prison Sentence	Convicted		Convicted

## Appendix C

### Elements that Indicate Human Trafficking

- Threatened or actual physical or nonphysical (psychological, financial or reputational) harm which compels person to perform or continue to perform labor to avoid harm
- Use or threatened use of law to exert pressure on another person to perform labor
- Demeaning and demoralizing the person (verbal abuse, humiliation)
- Disorienting and depriving person of alternatives (isolation, restricted communications, manipulation of debts, monitoring/surveillance)
- Diminishing resistance and debilitating (substandard living conditions, deny food, water, medical care, weaken with drugs or alcohol)
- Deceiving about consequences (overstate risks of leaving, overstate rewards of staying, feigning power/ties to authorities or hit men/gangs)
- Dominating, intimidating and controlling (abuse, atmosphere of violence, displaying weapons, rules and punishments)
- Knowingly recruited, enticed, harbored, transported, provided, obtained, or maintained a person for purposes of a commercial sex act (presence of a pimp)
- Knowingly benefitted, financially or by receiving something of value, from participating in above venture
- Knew [or recklessly disregarded] that force, fraud, or coercion would be used to cause the person to engage in commercial sex acts
- Person involved in commercial sex <18
- Past involvement of suspect or victim in human trafficking incidents