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Abstract

Forensic STR analysis is limited by the quality and quantity of DNA. Significant damage or alteration to the molecular structure of DNA by depurination, crosslinking, base modification, and strand breakage can impact typing success. The degree and spectrum of DNA damage depends on the sample source, environmental conditions, and length of exposure time. Previous research on DNA damage (and subsequent repair) has focused on damaging naked cell-line DNA. However, since nuclear DNA in human cells is highly packaged and associated with a variety of other molecules (e.g. histone proteins, phosphoproteins, RNA species), the current study explored methods to damage DNA in its native complexed form. Generation of significantly-damaged samples was challenging and required extensive periods of time and substantial effort to accomplish. The conditions are described so that other researchers may be able to generate sufficiently-damaged DNA for repair studies. The PreCR[™] Repair Mix (New England BioLabs) was used to attempt to repair damaged template DNA prior to its use in PCR. Repair was performed on DNA from environmentally-damaged bloodstains, human skeletal remains, and bleach-damaged whole blood. Although the PreCR[™] Repair protocol improved the performance of STR profiling of bleach-damaged DNA (and to a lesser extent environmentallydamaged DNA), the results were quite varied and unreliable. A modified $PreCR^{T}$ protocol outperformed the manufacturer-recommended approach, but still with inconsistent results and only nominal increases in allele peak heights. For bone samples DNA repair showed no improvements, presumably due to the multiple complex lesions that may exist in such samples. Given that forensic samples may be damaged by multiple mechanisms and the quantity available for testing often is limited, the use of PreCR[™] should not be considered due to its variable and unpredictable results. As an alternative to repair, whole genome amplification (WGA) was pursued. The DOP-PCR method was selected for WGA because of initial primer design and greater efficacy for amplifying degraded samples. The original DOP-PCR primer was modified by removing the unnecessary restriction site and reducing the required bases on the 3' end of the primer. These modifications allowed for an overall more robust amplification of shorter fragments from damaged samples, contemporary skeletal samples, and even Civil War era bone compared with that obtained by standard DNA typing and a previously described DOP-PCR method. Stochastic artifacts and contamination of DOP-PCR treated samples were nominal and consistent with other LCN typing practices. These new DOP-PCR primers show promise for WGA of degraded DNA.

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Executive Summary

Forensic STR analysis is limited by the quality and quantity of DNA extracted from biological samples. Significant damage or alteration to the molecular structure of DNA by depurination, crosslinking, base modification, and strand breakage can impact typing success. The robustness and reliability of DNA analysis is directly related to the quantity and quality of the template available for testing. Methods are needed that can increase the number of viable template molecules for DNA typing of challenged samples.

The degree and spectrum of DNA damage depends on the sample source, environmental conditions, and length of exposure time. Two approaches were considered to address damaged DNA: 1) Repair damaged DNA and 2) Amplify the limited remaining intact (non-damaged) DNA such that sufficient target DNA is available for STR typing. This project explored protocols to degrade or damage DNA in its native complexed state; determined the best method(s) for generating a pool of compromised samples that would approximate the types of damage encountered in forensic casework samples; evaluated the efficacy of *in vitro* DNA repair and whole genome amplification (WGA) with these intentionally-damaged forensically-relevant samples, as well as with some Civil War era bone samples; compared the effectiveness of *in vitro* DNA repair to WGA, and determined which method would be more successful for improving STR typing results with degraded and/or low-copy (LCN) templates; and sought to identify novel artifacts produced with these methods (e.g. stutter products, allele drop-in, offladder alleles, incomplete adenylation), and determine if their presence impacts the ability to interpret resultant STR profiles any differently than encountered with current DNA typing methodology.

The extensive spectrum of DNA damage and the nearly limitless combinations of lesions that can be present in any particular sample pose a unique challenge for forensic analyses. Mechanisms for generating DNA damage were studied. Previous research on DNA damage (and subsequent repair) generally has focused on damaging naked DNA. However, nuclear DNA in human cells is highly packaged and is associated with a variety of other molecules, such as histone proteins, residual proteins, phosphoproteins, RNA species, and lipids. When complexed with these other compounds, DNA is more resilient to the effects of environmental insults. Hence, the manner or degree in which damage occurs to DNA in its native complexed form is likely quite different than in its "naked" counterpart. Aside from the inherent limitations of repair investigations on naked cell-line moieties that arise and are stored in a controlled environment, previous studies often have involved inducing and repairing only a single type of lesion at a time in DNA. Authentic forensic samples, in contrast, likely contain a number of different lesions. Therefore studies were undertaken first to damage DNA in its native complexed form. Single lesions or multiple lesions (the latter more likely to approximate real casework) were generated via the Fenton reaction, treatment with potassium permanganate (KMnO₄), acid/heat treatment, peroxide-based laundry stain remover, bleach immersion, and environmental exposure.

Generation of significantly-damaged samples was challenging and required extensive periods of time and substantial effort to accomplish. For each of the methods employed in this study to degrade DNA, noticeable decreases in RFU peak heights and/or allele dropout (compared to non-damaged controls) were used as rough indicators that damage had occurred. The conditions are described so that other researchers may be able to generate sufficientlydamaged DNA for repair studies. The impact of repair was determined primarily by STR typing success and allele peak height.

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After identifying methods that were successful in causing damage to DNA in its native state, repair protocols were investigated to assess their ability to improve obtaining STR profiles from degraded or LCN samples. The PreCR[™] Repair Mix (New England BioLabs) was used to attempt to repair damaged template DNA prior to its use in PCR. Research studies by the manufacturer suggested that this enzyme cocktail can repair a broad range of DNA damages/lesions, including those that block or inhibit PCR (e.g. apurinic/apyrimidinic sites, thymine dimers, nicks and gaps) and those that are mutagenic (e.g. deaminated cytosine and 8oxo-guanine). The PreCR[™] Repair Mix also is capable of removing a variety of moieties from the 3'end of DNA leaving a hydroxyl group. In addition, the PreCRTM kit contains bovine serum albumin (BSA), a reagent known to mitigate the effects of several PCR inhibitors. Repair treatment was performed on DNA from environmentally-damaged bloodstains, human skeletal remains, and bleach-damaged whole blood. The PreCRTM Repair protocol did show a trend of improvement of the performance of STR profiling of bleach-damaged DNA (and to a lesser extent environmentally-damaged DNA), although the results were quite varied and unreliable, as well as not significantly different. Bleach [sodium hypochlorite (NaOCl)] primarily generates oxidative damage in DNA. Hence, successful repair of this type of lesion was consistent with previous studies involving repair of a singular, sequestered damage. A modified $PreCR^{TM}$ protocol outperformed the manufacturer-recommended approach for bleach-damaged samples. but still with inconsistent results and only nominal increases in allele peak heights. For environmentally-damaged DNA in bloodstains and bone, the utility of DNA repair was not practical. Lack of successful repair in these types of samples presumably is due to the multiple complex lesions present in such samples and the DNA repair enzyme cocktail's inability to sufficiently overcome those lesions. The PreCR[™] Repair Mix does have limitations. It does not repair 8-oxo-7,8-dihydro-2'deoxyadenosines or fragmented DNA (double-strand breaks), nor does it fix DNA-DNA or DNA-protein crosslinks. Additionally, although the ligase present in the mix is very effective at sealing nicks in DNA, it does not successfully ligate blunt ends or nicks near a mismatch.

Results to date indicate that the $PreCR^{TM}$ Repair assay holds some promise for improving STR typing of bleach-damaged DNA, although further studies are needed before its implementation into forensic casework could be considered. One important consideration is that UV-crosslinking and bleaching of laboratory workspaces, instruments, and plasticware are currently the standard practices for destroying exogenous/extraneous DNA molecules prior to DNA extraction or PCR amplification. Since the $PreCR^{TM}$ Repair Mix can repair both UV-crosslinked and bleach-damaged DNA, it also may restore exogenous DNA that was intentionally destroyed during standard decontamination procedures. Thus, extra caution will be needed if repair is used. Furthermore, while standard decontamination methods remove naked DNA, such methods may not be sufficient at decontaminating DNA in cells or DNA complexed with cellular materials. Our studies suggest that a fruitful area of practical research may be effective decontamination practices from all source types of DNA (i.e. native DNA).

The repair assay did not significantly improve DNA profiles from environmentallydamaged bloodstains or bone (and in some cases resulted in lower RFU values for STR alleles), leaving its utility with these types of samples in question. Ultimately, the collective results from studies with environmentally-damaged bloodstains and skeletal remains suggest that the complexity and degree of damage dictates the efficacy of repair. Given that forensic samples may be damaged by mutiple mechanisms resulting in a variety of lesions, and since the quantity

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available for testing often is limited, the use of $PreCR^{M}$ should not be considered at this time due to its variable and unpredictable results.

Repair protocols focus on restoring fragmented or otherwise degraded DNA, and because of the possibility that repair protocols may not be able to overcome all lesions, alternate approaches are needed to increase template for typing challenged samples. As an alternative to repair, WGA was pursued. Ideally, WGA targets and copies all intact DNA in an unbiased manner to generate more template DNA. WGA methods were first described in the early 1990s, and a variety of approaches have emerged that tout their ability to amplify microgram quantities of genomic DNA from limited sources. Early WGA methods were used primarily on limited clinical specimens for medical diagnostics, genetic testing, and genomic research, and the amounts of template required were generally much higher than used for forensic analyses. The applicability of WGA methods to forensic analyses would be desirable if the amount of required initial template and the length of template fragments can be reduced.

The amplification of low quantities of DNA can be particularly relevant in forensic DNA analyses, where the availability of sufficient quantities of DNA is critical for the success of STR genotyping and other downstream applications. While early WGA technologies were used primarily on limited clinical specimens for medical diagnostics, genetic testing, and genomic research, interest in the applicability of these methods to forensic analyses has increased and WGA continues to be explored as a tool for improving the possibility of obtaining genetic data from degraded samples.

WGA technology can be divided essentially into two categories: multiple displacement amplification (MDA) and methods involving variations of PCR. MDA has been shown to produce complete genomic DNA amplification with low amplification bias. The high fidelity of the ϕ 29 DNA polymerase used in MDA results in accurate genotyping. However, the success of MDA is highly dependent on the starting quantity and quality of DNA template used in the reaction, which limits the applicability of this method with the types of samples typically encountered in forensic casework. The source and quality of DNA must be considered in the choice of WGA methodology to be used. Therefore, DOP-PCR was selected because of initial primer design and greater efficacy for amplifying degraded samples. The defined sequences at both the 5' and 3' ends of the DOP-PCR primer are important for efficient and successful WGA. The original DOP-PCR method is comprised of two separate cycling stages, a low-stringency phase followed by a high-stringency reaction. Initial low-stringency cycles ensure annealing of the 6-bp 3' defined sequence to complementary sites in the genome. The adjacent random hexamer sequence (that contains all possible combinations of dNTPs) then can bind and start the DOP-PCR-based WGA reaction. The 10-bp 5' defined sequence reportedly permits efficient annealing of primers to previously-amplified DNA, allowing a higher annealing temperature to be used in subsequent (high-stringency) PCR cycles.

The original DOP-PCR primer was modified by removing the unnecessary restriction site and reducing the required bases on the 3' end of the primer. Seven different DOP-PCR primers (six modified and the original published primer) and two different variations in DOP-PCR thermal cycling parameters were tested. Initial results demonstrated that the six modified DOP primers outperformed the original/traditional DOP primer in terms of increased RFU levels, recovery of alleles, and number of artifacts observed (data not shown). For this reason, the study proceeded with focus on three of the modified primers (i.e. the best performing with regard to STR typing). These changes to the primer allowed for an overall more robust amplification of shorter fragments from environmentally-damaged human bloodstains, human skeletal remains, and even Civil War era bone samples over that obtained by standard DNA typing and a previously described DOP-PCR method.

The re-design of DOP-PCR primers was hypothesized to improve typing success of degraded DNA and the data support that prediction. The original primer (and 10N dcDOP primer) contained a restriction site because cloning of fragments was desired in the original study. Thus, the restriction site in itself does not contribute to the amplification success and can be removed. If removed, there is more flexibility in primer design. In addition, the original primer (i.e. 3' end of the primer) design will identify on average a site in the genome approximately every 4000 bases. Thus, the original primer could be effective for relatively intact DNA; however, forensic samples may be degraded and such long fragments may not be available for DOP-PCR. The newly-designed primers are designed to sit on average approximately every 256 bases and thus could amplify shorter fragments.

The WGA methods employed in the studies herein increased the sensitivity of detection of DNA typing. However, as with any samples with low amounts of template DNA that are subjected to increased sensitivity of detection analyses, exaggerated stochastic effects were observed. These effects manifested as heterozygote allele peak height imbalance, allele dropout, and increased stutter. Also, allele drop-in was observed. These properties are inherent in low template or LCN typing assays and are not novel observations. Importantly, though, no new artifacts were observed. Such effects, however, will impact the ability to interpret results and apply reliable statistical assessments. On the positive side, stochastic artifacts and contamination of DOP-PCR treated samples were nominal and consistent with results from other LCN typing practices. These new DOP-PCR primers could be useful for whole genome amplification of degraded DNA. Statistical models that incorporate uncertain events (e.g., peak area/height, dropin, dropout, stutter etc.) have been proposed to assess the probability of observed results (for example, see 46). Studies to quantify the uncertain events effectively are needed to employ a statistical model.

Ultimately, forensic samples can experience destructive taphonomic conditions, and thus have often endured extensive microbial and environmental insults. Consequently, the DNA in these environmentally-damaged samples frequently contains multiple complex lesions and may be highly fragmented. Previous studies on repairing DNA focused primarily on damaging extracted or naked DNA. We focused on damaging DNA in its native state. This endeavor entailed extensive studies on conditions to damage DNA while it is still complexed with other cellular molecules. Conditions are described in this report on how to damage such DNA and these can serve as a guide for others who desire to study DNA damage and repair.

The PreCRTM Repair Mix appeared to be challenged by myriad states of DNA damage that may be encountered in forensically-relevant samples. Considering that the amount of sample available in forensic cases is often limited, using 10-20µl of this valuable extract for PreCRTM repair seems to be premature for casework applications, given the assay's varied results. However, additional strategies do exist for potentially improving STR profiles of degraded and/or low-copy templates. Our assessment is that the unpredictable and variable results obtained in our PreCRTM DNA repair experiments indicate that it is more prudent to focus on amplifying existing *intact* template in low-copy or degraded samples as opposed to trying to repair damage.

Our findings suggest that WGA by DOP-PCR is a more fruitful avenue for analyzing challenged samples than attempting DNA repair. DNA repair suffers from the enzyme cocktail's inability to comprehensively address the variety of DNA damage or lesions that may be

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encountered in forensic samples. In addition, controls do not exist for monitoring that the enzymes are functional. So DNA repair studies may fail because of quality control issues of reagents. We were successful in using a modified DOP-PCR to improve STR profiling of damaged DNA from environmentally-exposed bloodstains and skeletal remains. Rather than a prior recommendation not to exceed 100pg of input DNA because of observed excessive artifacts, our results (using a different primer design) indicated that up to 1ng of template can be added without production of excessive artifacts in the resultant electropherograms (especially when the candidate samples are severely degraded and have previously produced very low signal or partial profiles). Future investigations might involve comparing results obtained from these DOP-PCR studies to a 2008 Cold Spring Harbor protocol (which involves "re-charging" the lowstringency PCR product with additional reagents before proceeding with high-stringency thermal cycling). It has been purported that addition of a newly-prepared master mix of PCR reagents to the low-stringency WGA product is necessary to provide sufficient resources for subsequent high-stringency cycles (i.e. because some of these reagents may have been depleted/exhausted during the first 5 cycles, thereby limiting the amount of product that can be produced in the second phase of DOP-PCR). Large sample studies will be needed to estimate, if feasible, the rates of drop-in, dropout, and increased stutter if a statistical model is to be applied to WGA treated samples.

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I. Introduction

Forensic STR analysis is limited by the quality and quantity of DNA extracted from biological samples. Significant damage or alteration to the molecular structure of DNA is problematic because polymerases stall at damaged/altered sites, preventing amplification (and therefore analysis) of target loci. In order to assess potential strategies for improving STR typing of degraded samples, it is necessary to understand the nature and variety of DNA damage, as well as the conditions that cause it. Although the mechanisms of DNA damage can be divided into four major categories (depurination, crosslinking, base alteration, and strand breakage), the molecular chemistry of the resultant nucleic acid modifications is quite complex and the variety of DNA damage (as well as its rate of incidence) depends largely on the sample source, the environment to which it was exposed, and the length of exposure time.

Types of DNA damage

A major consideration in understanding DNA's susceptibility to damage is to acknowledge the inherent instability of the DNA molecule itself, which is largely due to the fact that an aqueous environment favors the hydrolysis of polynucleotides. This aqueous environment exists naturally within the cell, and also can be derived from moisture in the external environment. Aside from the molecule's propensity to be hydrolyzed in the presence of water, DNA is subject postmortem to enzymatic and chemical damage by endonucleases and free radicals that are naturally produced by the cell (30,33). These free radicals, known as reactive oxygen species (ROS) and reactive nitrogen species (RNS), are chemical intermediates generated during the course of a cell's normal metabolic activity (i.e. they are a consequence of aerobic metabolism, in which inhaled oxygen is converted to highly reactive intermediates). *In vivo*, the harmful effects of these highly reactive intermediates are mitigated by enzymatic pathways (e.g. superoxidase dismutase, catalase) and by nonenzymatic mechanisms involving antioxidants. However, when a cell dies, these free radicals immediately attack biomolecules such as DNA and can induce significant damage (31,32).

In addition to postmortem damage caused by endogenous enzymes and free radicals, DNA is prone to depurination (and to a lesser extent depyrimidination) when exposed to high temperatures and acidic pH levels. Depurination (or depyrimidination) occurs when the glycosidic bond between a 5-carbon sugar (deoxyribose) and a nitrogenous base is hydrolyzed, leading to the formation of an abasic or apurinic (AP) site (Figure 1). The presence of these AP sites results in loss of primary sequence information, and polymerases stall at these regions during PCR (thereby inhibiting amplification of that region of DNA). Additionally, accumulation of AP sites destabilizes the DNA backbone, leading to strand breaks (35).



Figure 1: Illustration of an abasic (AP) site, a type of DNA damage caused by cleavage of the glycosidic bond between deoxyribose and the nitrogenous base of a nucleotide. This type of damage occurs when DNA is exposed to high heat/acidic pH conditions. Image modified from (52).

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Besides hydrolysis of glycosidic bonds and the subsequent generation of abasic (AP) sites, another type of damage involves cleavage of phosphodiester bonds in the backbone of DNA. The phosphodiester bond is a covalent linkage between the phosphate of one nucleotide and the hydroxyl (–OH) group attached to the 3' carbon of deoxyribose in another nucleotide, forming what is known as the "sugar-phosphate" backbone of DNA. Hydrolysis of phosphodiester bonds results in DNA strand breaks, which can be present only on one strand [single-strand breaks (SSBs)] or adjacently on both strands [double-strand breaks (DSBs)] (Figure 2). These strand breaks can be caused by a variety of factors, including UV radiation, oxygen radicals (ROS), excessive heat, alkylating agents, environmental chemicals, and postmortem endonuclease activity (30,36). DNA in ancient and forensic samples is often highly fragmented, and this fragmentation significantly hinders the success of PCR amplification and restricts the size (length) of target loci that can be examined. For successful amplification to occur, both the target region and its associated primer-binding sites must be intact (2,38).



Figure 2: Fragmentation occurs when the phosphodiester bonds are broken in the sugar-phosphate backbone of DNA, resulting in a single-strand break (SSB) or a double-strand break (DSB), as shown in this diagram. Strand breaks are caused by a variety of factors and inhibit successful PCR amplification. Image modified from (51).

Exposure to solar UV radiation can generate several different types of damage in the DNA molecule. Although ultraviolet radiation consists of UV-A, UV-B, and UV-C rays, the latter is absorbed by the atmosphere and therefore is not likely to cause substantial damage to DNA (1,9). The UV-A and UV-B rays cause indirect and direct DNA damage, respectively. UV-A rays create free radicals that then cause indirect damage to the DNA molecule (e.g. bond hydrolysis, base modifications), while UV-B rays result in crosslinking. Crosslinks are covalent linkages between nucleobases on the same DNA strand (intrastrand crosslinks) or between bases on opposite strands (interstrand crosslinks) (Figure 3), and can also form between DNA and proteins.



Figure 3: Graphical representation of an A) interstrand crosslink and B) intrastrand crosslink, two types of DNA damage that can be induced by exposure to sunlight, formalin/formaldehyde, or environmental alkylating agents. Image modified from (53).

The most common types of intrastrand crosslinks induced by UV radiation are cyclopyrimidine dimers (CPDs) (e.g. thymine dimers) and 6-4 photoproducts (Figure 4). Regardless of their

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origin, the presence of crosslinks can cause a physical deformation or kink in the double helix. Polymerases stall at intrastrand crosslinks, and interstrand crosslinks are problematic because they inhibit denaturation of the double helix (which is the necessary first step in PCR amplification) (30,33,34). It is important to note that there are other causes of crosslinking besides ultraviolet radiation. Exposure to formalin or formaldehyde (e.g. in the case of medical or museum specimens) also can cause crosslinking, as well as exposure to environmental alkylating agents (which are ubiquitous in nature) (30,36,37).



Figure 4: Diagram of the most common forms of intrastrand crosslinks in DNA, induced by exposure to UV radiation (30,43). Image modified from (54).

Finally, in addition to depurination, crosslinking, and strand breakage, there are various mechanisms that can alter or modify DNA nucleobases, including deamination, oxidation, and alkylation. These chemical processes convert standard Watson-Crick nucleobases into modified versions that are unrecognizable by polymerases (thus inhibiting PCR). One of the major types of base modification occurs through a process called deamination, in which the amino group is removed from the base. Some of the most common forms of deaminated bases include conversion of adenine to hypoxanthine, cytosine to uracil, 5-methylcytosine to thymine, and guanine to xanthine (Figure 5) (30,36).



Figure 5: Examples of common base modifications resulting from deamination: conversion of cytosine to uracil (top) and 5-methylcytosine to thymine (bottom). Other examples (not shown) include deamination of adenine to hypoxanthine, and guanine to xanthine. These modified bases are non-coding derivatives that are not recognized by polymerases during PCR. Image modified from (54).

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Similar to deamination, oxidative damage can occur to DNA bases, resulting in noncoding derivatives. Generally caused by endogenous ROS, chemicals, or free radicals in the environment, oxidation involves the formation of saturated pyrimidine rings and loss of the double bond between carbons 5 and 6. One of the most common types of oxidative damage in DNA involves conversion of guanine to 8-oxoguanine (Figure 6) (44). Alkylating agents provide another means of base modification, primarily resulting in the attachment of methyl- or other alkyl groups to the N- and O- atoms of DNA bases. These alkylating agents are produced endogenously during cellular metabolism and are ubiquitous in nature (i.e. found in air, water, and food, although generally in small concentrations). Variation exists in alkylation patterns because the exact pattern exhibited depends upon the precise alkylating agent (or agents) involved. Alkylated bases are especially problematic because they are prone to spontaneous depurination and hydrolysis, and secondary damage (e.g. strand breaks, crosslinks) often accompanies the presence of alkylation adducts (30,45).



Figure 6: One of the most common modifications to a DNA base via oxidative damage: conversion of guanine to a non-coding 8-oxoguanine derivative. Image modified from (55).

Ultimately, the extensive spectrum of DNA damage and the nearly limitless combinations of lesions that can be present in any particular sample pose a unique challenge for forensic analyses. Table 1 provides a summary of the principal causes/sources of DNA damage and a synopsis of the major types of lesions that occur in forensic and ancient samples. In addition to the challenge of overcoming degradation and low-copy number (LCN), compounds that inhibit PCR amplification can be co-purified with extracted DNA and present further complications for analysis.

Sources of DNA Damage	Types of DNA Damage
"Inherent instability" (aqueous environment)	Abasic/apurinic (AP) sites (depurination)
Endogenous cellular enzymes (endonucleases)	Single-strand breaks (SSBs)
Excessive heat and humidity	Double-strand breaks (DSBs)
Acidic pH levels	Interstrand & Intrastrand crosslinks
Exposure to UV light	DNA-protein crosslinks
Environmental chemicals	Deaminated bases (e.g. cytosine \rightarrow uracil)
Geochemical properties of soil (e.g. humic acids)	Oxidized bases (e.g. 8-oxoguanine)
Microorganism digestion (bacteria, fungi)	Alkylated bases

Table 1: Synopsis of the principal sources of DNA damage and major types of DNA lesions.

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DNA Repair

Given the prevalence of degradation in forensic and ancient samples, the study of DNA damage and its potential for repair has become an important research topic. Previous research on DNA damage (and subsequent repair) focused on exposing cell-line DNA to a variety of chemical agents in an effort to induce lesions similar to those that might occur in nature. In these studies, cell-line DNA typically is extracted and purified prior to being subjected to conditions in the laboratory that generate damage. In human cells, however, nuclear DNA is not a "naked" molecule. It is a supercoiled structure that is highly "packaged" into chromatin and is always associated with a variety of other molecules (such as histone proteins, residual proteins, phosphoproteins, RNA species, and lipids). Hence, the manner or degree in which damage occurs to DNA in its native complexed form is likely quite different than in its "naked" counterpart. Aside from the inherent limitations of repair investigations on naked cell-line moieties that arise and are stored in a controlled environment, previous studies often have involved inducing and repairing only a single type of lesion at a time in DNA. Authentic forensic samples, in contrast, likely contain a number of different lesions.

There is scant information in the literature on how to effectively damage DNA in a controlled manner when the DNA is complexed with proteins and other materials (i.e. in its native state in a cell). Previous studies on environmental damage to DNA have involved setting blood samples in windowsills or in glass containers that are placed outdoors (1,2). However, these studies have not been very successful in inducing significant DNA damage, which likely is due to several factors. First and foremost, the most common types of glass used in residential and commercial buildings are manufactured with three "architectural" purposes in mind ---- (a) to provide a view, (b) to protect from the outside elements (weather), and (c) to enable visible light transmittance to the interior of the building. According to a 2006 study, clear window glass transmits up to 90% of visible light but only allows up to 72% of ultraviolet (UV) light to pass through (9). Since UV light is the component of solar radiation that is known to cause DNA damage, the photoprotection afforded by common window glass may explain in part the inability to cause significant damage in bloodstains that are placed behind or underneath such a barrier. Furthermore, when bloodstains are placed in a windowsill behind a glass pane, they are typically only exposed to average room temperatures (18-22°C) and low relative humidity levels (55-65%). However, research has indicated that elevated temperature and humidity increase the degrading effects of UV light on DNA (5).

There are several commercially-available products that have the potential to improve STR typing from degraded or low-copy (LCN) samples. One of the most promising is the PreCRTM Repair Mix (New England BioLabs), an enzyme cocktail formulated to repair damaged template DNA prior to its use in PCR (Table 2).

PreCR[™] Repair Enzymes (NEB) Taq DNA ligase E.coli Endonuclease IV Bst DNA Polymerase I E.coli Fpg (formamidopyrimidine [fapy]-DNA glycosylase) E.coli Uracil-DNA Glycosylase (UDG) T4 PDG (T4 Endonuclease V) E.coli Endonuclease VIII

Table 2: List of the seven DNA repair enzymes contained in New England BioLabs' PreCR[™] Repair Mix.

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Research studies by the manufacturer have suggested that this enzyme cocktail can repair a broad range of DNA damages/lesions, including those that block or inhibit PCR (e.g. apurinic/apyrimidinic sites, thymine dimers, nicks and gaps) and those that are mutagenic (e.g. deaminated cytosine and 8-oxo-guanine). The PreCR[™] Repair Mix also is capable of removing a variety of moieties from the 3' end of DNA leaving a hydroxyl group. In addition, the PreCR^{$^{\text{TM}}$} kit contains bovine serum albumin (BSA), a reagent known to mitigate the effects of several PCR inhibitors. However, despite these extensive repair capabilities, the PreCR[™] Repair Mix does have limitations. It does not repair 8-oxo-7,8-dihydro-2'deoxyadenosines or fragmented DNA. In fact, the ligase present in the mix is very effective at sealing nicks in DNA but does not successfully ligate blunt ends or nicks near a mismatch (28). A few recent studies have evaluated the ability of PreCR[™] to repair isolated lesions in DNA (2,4). Although these research findings demonstrated that UV-crosslinks, AP sites, and oxidized bases could effectively be repaired with PreCR[™], the samples used in both studies were artificially damaged under controlled conditions in a laboratory. Hence, the utility of the PreCR™ Repair Mix with authentic forensic samples that have been damaged by a variety of environmental insults needs to be further investigated.

Whole Genome Amplification (WGA)

Repair protocols focus on restoring fragmented or otherwise degraded DNA, and because of the possibility that repair protocols may not be able to overcome all lesions, alternate approaches are needed to increase typing capabilities on damaged DNA. Whole genome amplification (WGA) may target and copy any remaining undamaged/intact DNA in a sample. WGA methods were first described in the early 1990s, and a variety of approaches have emerged that tout their ability to amplify microgram quantities of genomic DNA from limited sources (12-14). This amplification of low quantities of DNA is particularly important in forensic DNA analyses, where the availability of sufficient quantities of DNA is critical for the success of STR genotyping and other downstream applications. While early WGA technologies were used primarily on limited clinical specimens for medical diagnostics, genetic testing, and genomic research, interest in the applicability of these methods to forensic analyses has increased and WGA continues to be explored as a tool for improving the possibility of obtaining genetic data from degraded samples.

WGA technology can be divided essentially into two categories: multiple displacement amplification (MDA) and methods involving variations of the PCR (17). MDA has been shown in numerous studies to produce complete genomic DNA amplification with low amplification bias. The high fidelity of the ϕ 29 DNA polymerase used in MDA results in accurate genotyping (14-16). However, the success of MDA is highly dependent on the starting quantity and quality of DNA template used in the reaction, which limits the applicability of this method with the types of samples typically encountered in forensic casework. Established MDA protocols and commercially-available MDA kits (GenomePlex®, GenomiPhi®) recommend input quantities of DNA in the 10-100ng range, and although these reactions are tolerant to mild-to-moderate DNA degradation, the presence of moderate-to-severe degradation significantly affects MDA efficiency. In contrast, PCR-based WGA methods are affected less by DNA quantity or quality, and thus hold more potential as a tool for working with Low copy number (LCN) and degraded templates (12,13,18,19).

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Ultimately, the source and quality of sample from which DNA is extracted must be considered in the choice of WGA methodology to be used. Since MDA requires high-quality, high-molecular-weight DNA (usually >2kb) to be successful, it is not a suitable approach to use with forensically relevant samples. Instead, the goal of this project is to evaluate the efficacy of two widely-used PCR-based WGA methods, either degenerate-oligonucleotide-primed PCR (DOP-PCR) or improved primer-extension pre-amplification PCR (iPEP-PCR). These PCR-based WGA methods provide the advantage of efficiently amplifying very short DNA templates and offer the possibility of generating microgram quantities of genome-representative DNA from picogram or nanogram amounts of starting material (17, 20). Thus, PCR-based WGA methods are preferred over MDA for forensic applications. WGA by degenerate-oligonucleotide-primed PCR (DOP-PCR) was selected for further study because of the initial primer design.

DOP-PCR was described first in 1992 as a method that provides complete genome coverage in a single reaction (12). In contrast to the pairs of target-specific primers used in traditional PCR, only a single primer is used in DOP-PCR. The original DOP-PCR primer (5'-CCGACTCGAGNNNNNATGTGG-3') has defined sequences at both the 5' and 3' ends, with a random hexamer sequence between them. The 10-bp defined sequence at the 5' end of the oligonucleotide contains a 6-bp *XhoI* restriction site that was originally incorporated for use in downstream cloning experiments.

According to Telenius et al. (12), the defined sequences at both the 5' and 3' ends of the DOP-PCR primer are important for efficient and successful WGA (12). The original DOP-PCR method is comprised of two separate cycling stages, a low-stringency followed by a high-stringency reaction. Initial low-stringency cycles ensure annealing of the 6-bp 3' defined sequence to approximately 10⁶ complementary sites in the human genome. The adjacent random hexamer sequence (that contains all possible combinations of dNTPs) can bind and start the DOP-PCR-based WGA reaction. The 10-bp 5' defined sequence reportedly permits efficient annealing of primers to previously-amplified DNA, allowing a higher annealing temperature to be used in subsequent (high-stringency) PCR cycles.

A 2009 study investigated the effects of increasing the degeneracy of the original (6N) DOP-PCR primer to 10N and 16N, by removing the first 4 bp of the 5'defined sequence (leaving only the *XhoI* restriction site) and by completely removing the 10-bp 5' defined sequence, respectively (23). Results demonstrated that both the 10N and 16N primers outperformed the original 6N primer in terms of improving the quality of STR profiles obtained from low-copy and degraded samples. However, given the previous assertion that the 5' defined sequence is crucial for efficient annealing of the primer to low-stringency DOP-PCR WGA products --- and because downstream cloning experiments are not a typical part of processing forensic casework samples --- a major goal of the current project is to assess the efficacy of four modified versions of the original DOP-PCR primer that retain at least a portion of the 5' defined sequence and alter the number of bases on the 3' end.

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Project Goals

- Explore a variety of protocols that degrade or damage native DNA, and determine the best method(s) for generating a pool of compromised samples that realistically emulate those encountered in forensic casework
- Evaluate the efficacy of *in vitro* DNA repair and whole genome amplification (WGA) with forensically-relevant samples
- Compare the effectiveness of *in vitro* DNA repair to WGA, and determine which method is more valuable to the forensic community for improving STR typing results with degraded and/or low-copy (LCN) templates
- Identify/develop optimal *in vitro* DNA repair and/or WGA approaches for use with degraded and LCN samples
- Monitor artifacts produced during these methods (e.g. stutter products, allele drop-in, off-ladder alleles, incomplete adenylation), and assess how their presence impacts the ability to interpret resultant STR profiles accurately

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II. Materials and Methods

Generation of Damaged/Compromised Samples

Oxidative Damage to DNA in Whole Human Blood via Fenton Reaction and Treatment with Potassium Permanganate (KMnO₄)

In the initial Fenton reaction protocol, a 100µl working solution of Fe-EDTA (9mM-18mM) was made by diluting 0.5M EDTA and 0.37M iron chloride (FeCl₃) in molecular grade H₂0. 1 ml of 30mM hydrogen peroxide (H₂0₂) was prepared on ice by adding 3.4µl of 30% H₂0₂ (~8.8M) stock to 1ml of molecular grade H₂0. 18 µl of molecular grade water and 5µl Fe-EDTA (9mM-18mM) were added to sterile microcentrifuge tubes, followed by the addition of 3µl of whole human blood (collected via fingerstick with BD Microtainer contact-activated lancets). The 30mM H₂0₂ solution (4µl) was added last to start the Fenton reaction, for a total reaction volume of 30µl.

In the second round of Fenton reaction experiments, the concentrations of the Fe-EDTA and H_20_2 solutions were increased five-fold to 45mM-90mM and 150mM, respectively. 18 µl of molecular grade water and 5µl Fe-EDTA (45mM-90mM) were added to sterile microcentrifuge tubes, followed by the addition of 3µl of whole human blood (collected via fingerstick with BD Microtainer contact-activated lancets). The 150mM H_20_2 solution (4µl) was added last to start the Fenton reaction, for a total reaction volume of 30 µl.

For the potassium permanganate trials, a 100mM KMnO₄ solution was prepared and stored in the dark. 27 μ l of the 100mM KMnO₄ solution and 3 μ l of whole human blood were added to sterile microcentrifuge tubes and vortexed to thoroughly mix the blood with the damaging agent. A more concentrated KMnO₄ solution (500mM) was prepared for additional experimentation using the same 30 μ l total reaction volume (27 μ l of 500mM KMnO₄ and 3 μ l of whole human blood).

All samples were incubated on a heat block at 37°C for various time intervals (60 minutes, 120 minutes, 6 hours, 12 hours, 24 hours, and 48 hours). After each respective exposure period, DNA extractions were carried out using the QIAamp DNA Investigator Kit (Qiagen Cat.#56504).

Depurination of DNA in Human Blood Samples

Depurination buffer (10X) was prepared by combining 0.2ml of 5M sodium choride, 0.1ml of 1M sodium phosphate, 0.2ml of 0.5M sodium citrate, and 9.5ml of ddH_20 (total volume = 10ml). The pH of the buffer solution was adjusted to 4.8 with hydrochloric acid (HCl). A portion of the 10X stock was diluted to generate a 1X solution. Depurination experiments were conducted both on liquid blood samples and with dried bloodstains.

To depurinate DNA in liquid blood, 47μ l of each buffer solution were added to sterile microcentrifuge tubes. 3 µl of whole blood (collected with BD Microtainer contact-activated lancets) were pipetted directly from the donor's finger into tubes containing the respective depurination buffer solutions. The tubes were capped, vortexed, and incubated at 70°C on a VWR digital heatblock for 48 hours, 96 hours, and 120 hours.

For depurination of DNA in dried bloodstains, 3μ l of whole blood (obtained via fingerstick) were pipetted onto sterile glass microscope slides and allowed to dry in a hood. After drying, 47μ l of each of the depurination buffer solutions (10X and 1X) were pipetted

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directly onto the dried bloodstains. The microscope slides were placed in an incubator at 70°C for 48 hours, 96 hours, and 120 hours. The total reaction volume for both experiments was 50µl. Post-incubation, each sample was extracted using the QIAamp DNA Investigator Kit (Qiagen Cat.#56504).

Oxidative Damage via Peroxide-based Stain Remover

To simulate the manner that this product might be used in a washing machine to eliminate bloodstains from clothing or bedding, two protocols were developed. In the first protocol, 5μ l of whole blood were added to 45μ l of a 10% OxiClean® solution (50μ l total reaction volume) in a microcentrifuge tube and mixed thoroughly. Samples were incubated at room temperature for 30-minute and 60-minute intervals, with periodic vortexing every five minutes. Positive controls consisted of 5μ l of whole blood in 45μ l of molecular grade ddH₂0 (rather than OxiClean®). The second protocol was performed under the same conditions, except at 56°C instead of room temperature (i.e. to simulate the hot water cycle in a washing machine). DNA extractions were performed with the QIAamp DNA Investigator Kit (Qiagen Cat.#56504).

DNA Damage in Human Bloodstains via Environmental Exposure

Four acrylic boxes were constructed to simulate conditions under which DNA degradation would occur at a crime scene. In an effort to differentiate between covered/shaded samples and those that are exposed to sunlight, two different experimental setups were designed. Two boxes were built with black opaque acrylic that blocks UV light, but allows the samples to be exposed to environmental heat and humidity (Figure 7). Another two boxes were constructed of Acrylite® OP-4 acrylic to permit maximum UV light transmission (Figure 8). Acrylite® OP-4 acrylic (Evonik Cyro LLC, Parsippany, NJ) was originally designed for use on indoor sun tanning equipment and in terrariums (6). It offers high levels of UV light transmission and strong resistance to degradation caused by UV light (due to the constituent thermal stabilizers that are introduced during the casting process). The ability of a sheet of Acrylite® OP-4 to resist long-term UV light degradation without loss of physical properties is important in applications such as indoor tanning. If a tanning bed is to produce reliable and rapid tanning results, the acrylic sheet covering the bulbs must maintain consistently high levels of light transmission in the UV-A and UV-B regions during the life of the material. The same rationale was the basis of use of this acrylic for inducing environmental UV damage in dried bloodstains over time.



Figure 7: Black, opaque acrylic box for environmental damage to human bloodstains. Blocks UV light transmission, but ventilation holes allow exposure to heat and humidity. An internal tray that holds the samples is shown here (top) prior to being inserted into the box.

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Figure 8: Front and side view of Acrylite® OP-4 acrylic box (maximum UV light transmission). One-inch ventilation holes along the perimeter of the box allow the blood samples to be exposed to variations in heat and humidity. Ventilation holes were covered with rust-resistant, metal screening in an effort to deter insect/animal activity.

Blood samples from 25 different individuals were collected via fingerstick using BD Microtainer contact-activated lancets (1.8mm x 21G). 5 μ l of whole blood were pipetted (in duplicate) directly from the donor's finger onto sterile glass microscope slides. Six slides (each with duplicate spots of blood) were prepared for each individual and placed on the rooftop of the University of North Texas biology building for five different exposure periods (2-weeks, 4-weeks, 8-weeks, 16-weeks, and 24-weeks). Positive controls for each exposure period consisted of spotting the same volume of whole blood (5 μ l) onto sterile microscope slides and storing at room temperature in the laboratory in a dead-air hood.

A total of 300 bloodstains was subjected to environmental exposure/insult. During the various environmental exposure periods, EL-USB-2-LCD data loggers (Lascar Electronics, Erie, PA; Figure 9) were used to collect temperature and humidity readings. These stand-alone USB data loggers collect and store 16,000+ relative humidity (RH) and temperature readings over the 0-100% RH and -35°C to +80°C (-31 to 176°F) measurement ranges at pre-set time intervals. After completion of each of the designated exposure periods, the blood samples were retrieved from the roof, along with the data logger. Sterile cotton swabs were used to collect the entire 5µl bloodstain from each microscope slide, and DNA extractions were performed using the QIAamp DNA Investigator Kit (Qiagen Inc., Valencia, CA, Cat.#56504). Data recorded by the EL-USB-2-LCD data loggers were downloaded onto a laboratory computer for analysis, and the data loggers then were returned to the rooftop to collect temperature and humidity readings for the remaining exposure periods.



Figure 9: EL-USB-2-LCD Humidity, Temperature, and Dew Point Data Logger (Lascar Electronics). *Not shown: plastic cover/cap for moisture protection.

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Oxidative Damage to DNA in Human Blood via Bleach Exposure

Bleach-damage protocols were conducted with both liquid (non-coagulated) and coagulated whole human blood samples. Blood was collected via fingerstick using BD Microtainer contact-activated lancets (1.8mm x 21G). Household bleach [6% sodium hypochlorite (NaOCl)] was diluted to produce 10% Clorox® (0.6% NaOCl) and 50% Clorox® (3% NaOCl) solutions.

For experiments with liquid (non-coagulated) blood, 45μ l of each of the respective bleach solutions (10% and 50%) were added to sterile microcentrifuge tubes, and 5μ l of blood were pipetted directly from the donor's finger into the tubes (for a total reaction volume of 50μ l). After vortexing, the samples were incubated at room temperature for 1-hour and 2-hour time intervals.

To investigate the effects of bleach on coagulated blood, 5μ l of liquid blood (collected via fingerstick) were pipetted into sterile microcentrifuge tubes and allowed to dry. When coagulation was complete, 45μ l of bleach solution (either 10% or 50% Clorox®) were added. The tubes were vortexed to mechanically resolubilize the blood clot, and the samples then were allowed to sit at room temperature for 1-2 hours. After completion of the incubation period, DNA extractions were performed using the QIAamp DNA Investigator Kit (Qiagen Cat.# 56504).

Human Skeletal Remains

DNA extractions were completed on 80 contemporary bone samples from 20 different individuals using two different extraction methods. Four bone powder fractions (0.5g each) from each individual were extracted, two fractions using the Hi-Flow® (Generon) protocol and two via the Amicon® Ultra-4/MinElute® method. Bone powder aliquots used for each extraction method were alternated to eliminate sample bias. In addition to contemporary bones, samples from the 120-year-old skeletal remains of an exhumed Civil War soldier were included as potential candidate samples for DNA repair and whole genome amplification (WGA) assays. These historical remains, obtained from Mercyhurst Archaeological Institute, were a partial skeleton consisting of a femur, both tibiae, and four teeth (2 canines, 1 lateral incisor, 1 premolar).

External sanding and surface decontamination of bones

Prior to extraction, the external surfaces of all bones and teeth were sanded with a Dremel[®] 4000 High Performance Rotary Tool and individually-sterilized grinding stones. Surface-sanding was conducted under a laminar flow hood in a designated low-copy area of the laboratory. After sanding, the diaphyses of femora and tibiae were sectioned using a Stryker® autopsy saw and individually-sterilized Stryker® sectioning blades. Each resultant bone section was placed in a sterile 50ml polypropylene conical tube. Further surface decontamination procedures were performed on individual bone sections and teeth to remove any remaining exogenous or contaminant DNA. Each bone fragment or tooth was immersed in 50% commercial bleach (3% NaOCl) for 15 minutes, followed by 4-5 washes with molecular grade (nuclease-free) H_2O and brief immersion in 95% ETOH. After the final ETOH rinse, conical tubes containing individual teeth or bone sections were placed in a hood overnight (with lids off) to dry.

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Each individual bone or tooth then was placed (along with a stainless steel impactor) in a sterile polycarbonate sample vial flanked by two stainless steel endcaps. Sample vials were submerged in the liquid nitrogen chamber of a SPEX SamplePrep 6750 Freezer Mill® and ground into a fine powder using the following parameters: 10-minute pre-chill, 5-minute grind time, 15-impacts-per-second. Post-grinding, bone powder from each sample was transferred to sterile 15ml polypropylene conical tubes in 0.5-gram aliquots in preparation for DNA extraction.

DNA Extraction Methods for Bones and Teeth

Due to the age and condition of the skeletal remains, several different extraction methods were employed in an effort to maximize DNA recovery. Bone samples were extracted separately in small batches in a designated low-copy area of the laboratory.

Amicon® Ultra-4/MinElute® Extraction

Demineralization was carried out by mixing 0.5g of bone powder with 3ml digestion buffer (0.5M EDTA pH 8.0, 0.5% sodium N-lauroylsarcosinate, 100µg/ml proteinase K), followed by incubation in a hybridization oven at 56°C under constant agitation for 24 hours. After demineralization, bone powder was pelleted via centrifugation, and the supernatant was transferred to an Amicon® Ultra-4 centrifugal filter unit (Millipore Corp., Billerica, MA) for volume reduction. After the volume of each sample was reduced to 100µl, the concentrated supernatant was transferred to a sterile 1.5ml microcentrifuge tube. Final cleanup of the supernatant was performed using MinElute® silica columns (Qiagen MinElute® PCR Purification Kit, Cat.#28004, Valencia, CA), with a 100µl final elution volume.

Hi-Flow® Silica Column Extraction

Demineralization was carried out by mixing 0.5g of bone powder with 3ml digestion buffer (0.5M EDTA pH 8.0, 1% sodium N-lauroylsarcosinate, 100 µg/ml proteinase K), followed by incubation in a hybridization oven at 56°C under constant agitation for 24 hours. After demineralization, bone powder was pelleted via centrifugation, and the supernatant was transferred to a sterile microcentrifuge tube and mixed with five volumes of binding buffer (PB buffer, Qiagen Cat.#19066). This mixture was vortexed thoroughly, transferred to a Hi-Flow® DNA Purification Spin Column (Generon, Berkshire, UK), and centrifuged. With the Hi-Flow® silica column, both cleanup and volume reduction were accomplished with a single device, decreasing the chances of contamination. After discarding the flow-through, the column was washed with 15ml PE buffer (Qiagen Cat.#19065), and the DNA bound to the membrane was eluted with 100µl EB buffer (Qiagen Cat.#19086).

Phenol-chloroform (Organic) Extraction

Demineralization was achieved by mixing 0.5g of bone powder with 3ml digestion buffer (0.5M EDTA pH 8.0, 1% sodium N-lauroylsarcosinate) and 200 μ l of proteinase K (20mg/ml). Samples were incubated at 56°C under constant agitation for 24 hours. After the incubation period, an equal volume of phenol chloroform isoamyl alcohol (25:24:1) was added to the aqueous extract and the mixture was vortexed for approximately 30 seconds. The bone powder was pelleted via brief centrifugation, the resultant supernatant (aqueous layer) was transferred to an Amicon® Ultra-4 centrifugal filter device (Millipore Corp., Billerica, MA), and a subsequent 10-20 minute centrifugation cycle was performed until all of the sample had passed through the filter. 2 ml of molecular grade H₂O were pipetted into the Amicon® and the column

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was centrifuged at maximum speed until the volume of supernatant was reduced to 50μ l. An additional volume of molecular grade H₂O was added to bring the total volume to 100μ l, and the entire supernatant was transferred to a sterile microcentrifuge tube. The Amicon® Ultra-4 filter was subsequently rinsed with an additional 100µl of molecular grade H₂O, and this extract was added to the same microcentrifuge tube. Five volumes of Buffer PB (Qiagen Cat.#19066) were added to 1 volume of the sample extract, vortexed briefly, and 650µl of the mixture were transferred to a QIAquick® spin column that had been placed in a 2ml collection tube (Qiagen QIAquick® PCR Purification Kit, Cat.#28106). After centrifuging and discarding the flow-through, repeated additions and centrifugations of the Buffer PB/DNA extract were carried out until all of the Buffer PB/DNA extract mixture had been filtered through the spin column. The columns were washed with 750µl of Buffer PE (Qiagen Cat.#19065), the flow-through was again discarded, and the clean QIAquick® column was transferred to a sterile 1.5ml microcentrifuge tube. DNA bound to the column membrane was eluted with 100µl of EB buffer (Qiagen Cat.#19086).

DNA Quantification

The quantity of DNA in each extract was determined using the Quantifiler® Human DNA Quantification Kit (Applied Biosystems Cat.#4343895) and an ABI 7500 Real-Time PCR System. The assay was carried out in a 25 μ l total reaction volume (23 μ l Quantifiler® master mix and 2 μ l DNA extract), with final sample concentrations determined via comparison to a standard curve. This kit amplifies a 62-bp intron of the human telomerase reverse transcriptase (hTERT) gene (59).

PCR Amplification of Autosomal DNA (all sample extracts)

Amplification of autosomal DNA was carried out using the AmpF/STR[®] Identifiler[®] Plus PCR Amplification Kit (Applied Biosystems, Cat.#4427368). All extracts were amplified using the ABI GeneAmp[®] 9700 PCR System, with the following PCR parameters: initial incubation at 95°C for 11 minutes; 28 cycles of (94°C for 20 seconds and 59°C for 3 minutes); final extension at 60°C for 10 minutes. Total reaction volume for each sample was 25µl (15µl PCR master mix and 10µl extract/TE, with a target input of 1ng template DNA). Negative and positive controls consisted of 10µl low-TE buffer and 10µl 9947A Control DNA (0.1ng/µl), respectively.

PCR Amplification of Y-chromosome DNA (*Historical bone samples only*)

Amplification of Y-chromosome DNA was carried out using the AmpF/STR[®] Yfiler[®] PCR Amplification Kit (Applied Biosystems, Cat.#4359513). Historical bone extracts were amplified using the ABI GeneAmp[®] 9700 PCR System, with the following PCR parameters: initial incubation at 95°C for 11 minutes; 30 cycles of (94°C for 1 minute, 61°C for 1 minute, and 72°C for 1 minute); final extension at 60°C for 80 minutes. Total reaction volume for each sample was 25μ l (15 μ l PCR master mix and 10 μ l extract/TE, with an optimal input of 1ng template DNA). Negative and positive controls consisted of 10 μ l low-TE buffer and 10 μ l 007 Male Control DNA (0.1ng/ μ l), respectively.

DNA Separation, Detection, and Analysis

The amplified DNA samples were size-separated and detected on an ABI 3500*xl* Genetic Analyzer (Applied Biosystems, Foster City, CA) using 1µl PCR product, 8.7µl of Hi-Di[™]

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Formamide, and 0.3μ l of GeneScanTM 600 LIZ[®] Internal Lane Size Standard. One microliter of AmpF*I*STR Identifiler[®] Plus or Yfiler[®] allelic ladder was included at least once per injection on the 96-well plate. All samples were denatured at 95°C for 5 minutes and then immediately cooled on ice for 5 minutes. Electrophoresis was performed on a 36-cm capillary array with POP-4TM polymer (Applied Biosystems, Cat.#4393715) using standard (default) injection parameters. The collected STR data were sized and typed with GeneMapper[®] ID-X Software Version 1.2 (Applied Biosystems, Foster City, CA).

DNA Repair with PreCR[™] Repair Mix

A total of 415 repair reactions were performed using the $PreCR^{TM}$ Repair Mix (New England BioLabs). Repair reactions were performed only on samples that exhibited evidence of damage upon STR typing (i.e. samples with marked decreases in RFU levels and/or allele dropout compared with no-damage controls). Since inhibition often cannot be distinguished with degradation, internal PCR control (IPC) values were monitored during the quantification step to assess the potential presence of PCR inhibitors in the extracts used for repair reactions. The volume of DNA template and/or molecular grade H₂0 was calculated based upon the initial quantification results for each sample after exposure to a damage-inducing protocol. For purposes of performing post-repair STR analysis, care was taken to maintain the same molar ratio of template DNA:Identifiler Plus reaction components as was used in the pre-repair (damaged) STR typing.

Manufacturer Recommended Protocol

After preparation of a master mix from the reagents in the PreCR^{\mathbb{M}} kit (Table 3), 4.68µl of the master mix were combined with 15.32µl of DNA template/molecular grade water (amount dependent upon original quant value), for a total reaction volume of 20µl.

Volume (per sample)	Reagent	Final Concentration
2 μl	10X ThermoPol Buffer	1X
0.08 µl	25mM dNTPs	100µM
0.2 µl	100X NAD+	1X
2 µl	10X BSA	1X
0.4 µl	PreCR [™] Repair Mix	

Table 3:

Manufacturer recommended protocol for DNA repair with PreCR[™] Repair Mix

The repair reaction was carried out via incubation on a heat block at 37°C for 20 minutes. After incubation, the samples were immediately placed on ice. Ten microliters of the repair reaction product were added to 15µl of Identifiler® Plus Master Mix/Primer Set, and PCR amplification was performed using the ABI GeneAmp[®] 9700 PCR System with the following PCR parameters: initial incubation at 95°C for 11 minutes; 28 cycles of (94°C for 20 seconds and 59°C for 3 minutes); final extension at 60°C for 10 minutes.

Modified Repair Protocol

In addition to following manufacturer recommendations, a modified $PreCR^{TM}$ protocol was investigated. In the modified version, damaged DNA extract, $PreCR^{TM}$ Repair mix, and

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100X NAD+ were added directly to the Identifiler® Plus Master Mix. After preparation of the master mix (shown in Table 4), 10.75µl of the master mix were combined with 10µl of DNA template/molecular grade water (amount dependent upon original quant value), for a total reaction volume of 20.75µl. The tubes were vortexed and then incubated at 37°C for 20 minutes. Immediately after the incubation period, 5µl of the Identifiler® Plus primer set were added, and PCR amplification was performed using an ABI GeneAmp[®] 9700 PCR System with the following PCR parameters: initial incubation at 95°C for 11 minutes; 28 cycles of (94°C for 20 seconds and 59°C for 3 minutes); final extension at 60°C for 10 minutes.

Volume (per sample)	Reagent
0.25µl	100X NAD+
0.5µl	PreCR [™] Repair Mix
10µl	Identifiler [®] Plus Master Mix

Table 4: Modified protocol for DNA repair with $PreCR^{M}$

Degenerate-oligonucleotide-primed PCR (DOP-PCR)

Primer Degeneracy

Various different primers were investigated for their efficacy in improving STR profiles of degraded and LCN samples. Table 5 describes the degenerate primers used in the DOP-PCR reactions, including the original DOP-PCR primer (6N), two primers from a 2009 study (by Dawson Cruz, VCU), and four newly-modified primers that retain at least a portion of the 5' defined sequence and alter the number of bases on the 3' end.

	Primer Sequence Primer Description		
6N	5'-CCGACTCGAGNNNNNNATGTGG-3'	Original DOP-PCR primer (Telenius et al. 1992)	
10N	5'-CTCGAGNNNNNNNNNATGTGG-3'	Modified dcDOP-PCR primer (Dawson Cruz 2009)	
16N	N 5'-NNNNNNNNNNNNNNNATGTGG-3' Modified dcDOP-PCR primer (Dawson Cruz 20		
10N	N 5'-CCGACTNNNNNNNNNNNATGTGG-3' CT from <i>XhoI</i> restriction site remaining		
12N	5'-CCGANNNNNNNNNNATGTGG-3'	' Complete removal of <i>XhoI</i> restriction site	
121(2)	5' CCGACTNININININININININICTCC 2'	CT from XhoI restriction site remaining;	
1214(2)	5-CCOAC INNININININININININININIO I OG-5	Shortened 3' sequence from 6bp to 4bp	
14N	SI CCCANINININININININININININININI	Complete removal of XhoI restriction site;	
Table	5-CCGAINININININININININININININININININININ	Shortened 3' sequence from 6bp to 4bp	

Table 5: Primers used for degenerate oligonucleotide-primed PCR (DOP-PCR). The portion of the 5'defined sequence in **bold** (**CTCGAG**) represents a *XhoI* restriction site for cloning.

Master Mix Preparation

The DOP-PCR master mix was based on the original Roche DOP-PCR Master Kit (Roche Molecular, Mannheim, Germany). Per sample, the master mix used in this study consisted of 10 μ l of 10X High Fidelity PCR Buffer (Invitrogen), 4.0 μ l of 50mM MgSO₄, 5.0 μ l of dNTPs (4mM each), 5.0 μ l of degenerate primer (40 μ M), and 0.5 μ l of Platinum *Taq* High Fidelity DNA Polymerase 5U/ μ l (Invitrogen) (Table 6). Using sterile filter tips, 24.5 μ l of master mix were added to each sample tube, and after addition of 1-50 μ l of degraded or LCN

template, 25.5-74.5µl of TE⁻⁴ buffer were added to bring the total reaction volume up to 100µl. 5µl of 9947A control DNA (0.1ng/µl) and 5µl of TE⁻⁴ buffer served as positive and negative controls, respectively. A variety of input DNA template amounts, ranging from less than 100 picograms to one nanogram, were explored to determine the minimum and maximum amounts needed for optimal DOP-PCR results.

Master Mix Component	Volume per sample	
10X High Fidelity PCR buffer	10µl	
MgSO ₄ (50mM)	4µl	
dNTPs (4mM each)	5µl	
Degenerate primer (40µM)	5µl	
Platinum Taq High Fidelity	0.51	
DNA Polymerase (5U/µl)	0.5μ1	
Total volume	24.5µl	

Table 6: Preparation of master mix for degenerate-oligonucleotideprimed PCR (DOP-PCR)

DOP-PCR Amplification Parameters

In addition to evaluating seven different DOP-PCR primers, a variation in DOP-PCR thermal cycling parameters was investigated. In particular, the efficacy of the original DOP-PCR method was compared to a 2009 Dawson Cruz protocol (which increases the number of low-stringency cycles from five to twelve) (23).

Optimization with High-quality DNA

Prior to use with damaged and low-copy templates, DOP-PCR reactions were carried out with high-quality (non-degraded) cell-line DNA as a proof-of-concept. 100pg and 500pg of both 9947A (female) and 007 (male) control DNA were amplified separately using each of the seven modified degenerate primers.

Traditional (original) DOP-PCR Amplification

Amplification of the 100µl reaction mixture was carried using the ABI GeneAmp[®] 9700 PCR System, with the following PCR parameters: initial incubation at 95°C for 5 minutes; 5 cycles of non-specific amplification (94°C for 1 minute, 30°C for 1.5 minutes, and 72°C for 3 minutes) with a 3-minute ramp to 72°C; 35 cycles of specific amplification (94°C for 1 minute, 62°C for 1 minute, and 72°C for 3 minutes) with a 1-second increase in each subsequent cycle; and a final extension at 72°C for 10 minutes (Table 7).

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Denaturation	Non-specific amplification	Specific amplification	Final
	(Low-stringency conditions)	(High-stringency conditions)	Extension
95°C 5 min	5 cycles: 94°C for 1 min 30°C for 1.5 min (3 min transition from 30°-72°C) 72°C for 3 min	<u>35 cycles</u> : 94°C for 1 min 62°C for 1 min 72°C for 3 min (Increase by 1 sec each cycle)	72°C 10 min

Table 7: Thermal cycling conditions for the original DOP-PCR protocol, as described in 1992 by Telenius et al. (12)

dcDOP-PCR Amplification

Samples (100µl total reaction volume) were amplified with the ABI GeneAmp[®] 9700 PCR System. After an initial 5-minute denaturation step at 95°C, non-specific amplification consisted of 12 cycles of (94°C for 1 minute, 30°C for 1.5 minutes, and 72°C for 3 minutes) with a 3-minute ramp to 72°C, followed by 35 cycles of specific amplification (94°C for 1 minute, 62°C for 1 minute, and 72°C for 2 minutes) with a 14-second increase in each subsequent cycle, and final extension for 7 minutes at 72°C (Table 8).

	Denaturation	Non-specific amplification (Low-stringency conditions)	Specific amplification (High-stringency conditions)	Final Extension
Dawson Cruz DOP-PCR (dcDOP-PCR)	95°C 5 min	<u>12 cycles</u> : 94°C for 1 min 30°C for 1.5 min (<i>Ramp for 3min to72°C</i>) 72°C for 3 min	<u>35 cycles:</u> 94°C for 1 min 62°C for 1 min 72°C for 2 min (Increase by 14sec each cycle)	72°C 7 min

Table 8: Thermal cycling parameters for the dcDOP-PCR method, which increases the number of non-specific amplification cycles to twelve (as opposed to the five low-stringency cycles used in original DOP-PCR) (12, 23).

Sample Concentration after DOP-PCR

Following DOP-PCR amplification, all samples were concentrated using Amicon® Ultra-0.5 centrifugal filter units with Ultracel-10 membranes (Millipore, Billerica, MA). After pre-hydrating the membrane of the filter unit with 25μ l of molecular grade H₂O, the entire volume of DOP-PCR product (100 μ l) and an additional 375 μ l of molecular grade water were added to the Amicon® (500 μ l maximum filter volume), followed by centrifugation at 14,000 x g for 20 minutes. The filtrate was carefully pipetted off and discarded. Molecular grade H₂O was added back to the filter (up to a total volume of 500 μ l), and the columns were centrifuged at

14,000 x g for 30 minutes (or until the volume was reduced to 25μ l). The Amicon® filters then were inverted in new sterile tubes and centrifuged at 1000 x g to recover the concentrated DOP-PCR product.

Multiplex STR Amplification

Amplification of 16 STR loci was carried out using the AmpF/STR[®] Identifiler[®] Plus PCR Amplification Kit (Applied Biosystems, Cat.#4427368). 10µl of the concentrated DOP-PCR product were combined with 15µl of master mix (for a total reaction volume of 25µl). The master mix (per sample) consisted of 10µl of AmpF/STR[®] Identifiler[®] Plus Master Mix and 5µl of AmpF/STR[®] Identifiler[®] Plus Primer Set. Negative and positive controls were 10µl of TE⁻⁴ buffer and 10µl 9947A Control DNA (0.1ng/µl), respectively. PCR amplification was performed on the ABI GeneAmp[®] 9700 PCR System, with the following parameters: initial incubation at 95°C for 11 minutes; 28 cycles of (94°C for 20 seconds and 59°C for 3 minutes); final extension at 60°C for 10 minutes.

Post-PCR Purification and CE Analysis

Following STR amplification, the samples were purified using the Qiagen MinElute® Post-PCR Purification Kit (Qiagen Cat.#28004) according to the manufacturer's recommendations. Purified Identifiler[®] Plus-amplified DOP-PCR products were size-separated and detected on an ABI 3500*xl* Genetic Analyzer (Applied Biosystems, Foster City, CA) using 1µl PCR product, 8.7µl of Hi-DiTM Formamide, and 0.3µl of GeneScanTM 600 LIZ[®] Internal Lane Size Standard. 1µl of AmpF*l*STR Identifiler[®] Plus allelic ladder was included at least once per injection on the 96-well plate. All samples were denatured at 95°C for 5 minutes and then immediately cooled on ice for 5 minutes. Electrophoresis was performed on a 36-cm capillary array with POP-4TM polymer (Applied Biosystems, Cat.#4393715) using standard (default) injection time (10 seconds). The collected STR data were sized and typed with GeneMapper[®] ID-X Software Version 1.2 (Applied Biosystems, Foster City, CA).

III. Results and Discussion

Generation of Damaged/Compromised Samples

Many methods that have previously been used on "naked" DNA molecules to simulate *in situ* DNA damage had significantly less effect on native DNA. This was not surprising, given that native DNA is afforded some protection from damage when surrounded by the normal cellular milieu of proteins, lipids, carbohydrates, and other nucleic acids. For each of the methods employed in this study to degrade DNA, noticeable decreases in RFU peak heights and/or allele dropout (compared to non-damaged controls) were used as rough indicators that damage had occurred. It should be noted, though, that generation of significantly damaged samples was much more challenging than anticipated and required extensive periods of time and substantial effort to accomplish. However, this aspect was imperative to the principal goals of our study (i.e. to assess the efficacy of DNA repair and WGA on samples that realistically emulate those encountered in forensic casework).

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Results of each of the respective damaging protocols are shown below. Based on these findings, the pool of degraded samples used for DNA repair and WGA studies were narrowed to three sample types: environmentally-damaged bloodstains, human skeletal remains, and bleach-damaged whole blood.

Oxidative Damage to DNA in Whole Human Blood via Fenton Reaction and Treatment with Potassium Permanganate (KMnO₄)

The Fenton reaction is a method commonly used to generate oxidative damage in naked DNA (2,3,8). With this method, a solution of hydrogen peroxide (H_2O_2) and an iron catalyst (FeCl₃) react to produce two hydroxyl radicals (-OH) that damage the DNA molecule. A 2009 study on DNA damage and repair used the Fenton reagent and potassium permanganate (KMnO₄) to successfully damage *naked* cell line DNA (2). In order to damage *native* DNA in whole blood (which presumably poses more challenges due to its protected state), our experiments involved a five-fold increase in concentration of each of the damaging agents used (Table 9). Additionally, the incubation periods for each of the reactions were increased from 20-120 minutes (with naked DNA) to up to 48 hours with native DNA targets.

Sample Type Fenton Reaction		Potassium Permanganate	
Naked DNA (cell-line)	Fe-EDTA (9mM-18mM) Hydrogen peroxide (30mM)	100mM KMn04	
Native DNA (whole blood)	Fe-EDTA (45mM-90mM) Hydrogen peroxide (150mM) *5-fold increase in concentration	500mM KMn04 *5-fold increase in concentration	

Table 9: Comparison of treatment of *naked* cell-line DNA vs. *native* DNA in whole human blood with the Fenton reagent and potassium permanganate.

As stated previously, in human cells DNA does not occur as a "naked" structure, and hence the same Fenton chemistry that generated oxidative damage in purified DNA may not do so to the same degree when DNA is complexed with other materials. Attempts to substantially damage DNA in whole human blood with Fenton reagents or potassium permanganate were not successful (i.e., damage here is defined as that which will impact STR typing results). Even when the concentration of the damaging agent and exposure times were increased five-fold (compared to conditions typically used with naked DNA samples), no allele dropout occurred. Small reductions in allele peak heights were observed, but not enough to affect the quality or interpretation of the STR profiles (Table 10).

It should be noted here that our Fenton reaction parameters were modeled after a 2009 study that successfully damaged *naked* DNA molecules using the concentrations described in Table 9. This 2009 study did not report the pH (or pH range) under which the Fenton reaction was carried out (2) and we did not measure it. The kinetics of Fenton chemistry reveals that the efficiency of the reaction is greatly affected by the pH of the solution. The optimal pH range for the Fenton reaction is between pH 3 and pH 6. At higher (more basic) pH levels, ferrous iron

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catalytically decomposes H_2O_2 into oxygen and water, without the formation of the hydroxyl radicals that cause the intended damage (56,57). For this reason, any future studies utilizing Fenton reagents to generate *in vitro* DNA damage should closely monitor pH levels of the reactions.

	Sample Genotype	Non-damaged Positive control	After treatment with Fenton reagents at 5X concentration for 12 hours Fe-EDTA (45mM-90mM) Hydrogen Peroxide (150mM)	After treatment with Fenton reagents at 5X concentration for 48 hours Fe-EDTA (45mM-90mM) Hydrogen Peroxide (150mM)
	Allele	RFU	RFU	RFU
D001150	12	7755	6393	4490
D8511/9	13	7660	5687	4992
Datott	27	7943	4440	3631
D21811	31	7166	3532	2874
DECOM	8	8325	3064	2424
D/8820	12	7900	3187	2596
CSF1P0	12	8653	8024	5792
Decidero	16	8005	6395	5278
D381358	17	7502	6472	5100
TH01	6	9570	8302	6725
DIAGAIR	12	6518	6389	5064
D138317	15	5987	5061	4126
DICCERO	12	7248	6391	5160
D168539	13	6878	5208	3696
Dagiago	17	7788	5977	3572
D251338	23	7417	4900	3407
D19S433	14	8568	7729	6662
***	15	5422	5402	3298
VWA	16	8488	5123	3177
TRON	9	7687	6814	4815
IPOX	12	7295	4341	3799
D18S51	14	8368	8253	6815
Amel	x	9873	7593	6870
DECOIO	11	7567	5633	3700
D58818	12	7477	5223	4033
ECL	20	7264	4469	3618
FGA	24	6891	4903	3019
	Mean \pm SD	7675 ± 949	5737 ±1463	4398 ± 1326

Table 10: Oxidative damage to native DNA in a representative whole human blood sample after treatment with Fenton reagents for 12 hours and 48 hours, showing minimal reduction in RFU levels and no incidence of allele dropout (despite increasing the concentration of the reagents five-fold as compared to the concentrations used with naked DNA samples). Results obtained using another well-known oxidizing agent --- potassium permanganate (KMnO₄) --- were comparable (data not shown).

Depurination of DNA in Human Blood Samples

Depurination is an alteration of DNA in which the purine base (adenine or guanine) is cleaved from the deoxyribose sugar by hydrolysis of the beta-N-glycosidic bond between them. This action results in an abasic/apurinic (AP) site that is not recognized by the DNA polymerase

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and thus stalls PCR amplification. High-heat and acidic pH levels (in combination) are common conditions under which depurination of DNA occurs. The same 2009 study that used the Fenton reaction and KMnO₄ to damage naked cell-line DNA also successfully utilized an acidic buffer (pH 4.8) and heat to depurinate the purified nucleic acid (2). Similar to the oxidative damage studies using Fenton reagents and potassium permanganate, our depurination experiments with native DNA involved increasing both the concentration of the buffer as well as the exposure times. The effects of this depurination buffer on both liquid (non-coagulated) and coagulated human blood also were explored.

The results shown in Tables 11-12 demonstrate that damage occurred in liquid blood samples more so than in the dried bloodstains (and in a much more consistent manner). Since most intracellular chemical reactions occur in an aqueous environment, it is expected that damage would occur more slowly in a dehydrated substrate. The results shown in Table 11 clearly illustrate that the ten-fold increase in buffer concentration, as well as significant increases in incubation times, are necessary to depurinate native DNA in human blood (compared to protocols previously used on naked templates). Differences in DNA damage in dehydrated versus hydrated blood may be an important variable to further investigate since evidentiary samples from crime scenes may be collected in either state (although samples are typically dried before packaging).

	Sample	Non-damaged	LIQU After d	JID WHOLE BL lepurination in 1X (pH 4.8) at 70°C	OOD (buffer		Sample	Non-damaged	LIQUI After dep (D WHOLE BL purination in 102 pH 4.8) at 70°C	OOD (buffer
	Genotype	Positive control	48 hrs	96 hrs	120 hrs		Genotype	Positive control	48 hrs	96 hrs	120 hrs
	Allele	RFU	RFU	RFU	RFU		Allele	RFU	RFU	RFU	RFU
0001150	12	7655	3610	2255	2196	D001170	12	7655	406		
D881179	13	7660	3482	2177	2034	D851179	13	7660	435		
DAICH	30	8943	1832	1181	1117	DAIGH	30	8943	111		
D21511	31	7164	1952	940	867	D21511	31	7164	128		
D76920	8	8235	1498	663	554	D76920	8	8235			
D/3620	11	7800	1738	604	662	D/3620	11	7800			
CSF1P0	12	8563	3720	1942	2186	CSF1P0	12	8563	175		
0001050	16	9005	4567	3658	3554	D201220	16	9005	1100	180]
D351358	17	8502	3341	2935	2637	D381358	17	8502	771]
TH01	9	9750	8470	4949	4456	TH01	9	9750	1815	117	
	11	6815	2711	1699	1519	D120215	11	6815	114		1
D138317	13	5897	3010	1311	1144	D13S317	13	5897	145]
D1/0720	12	7842	2829	1756	1713	D1/0720	12	7842	250		Complete
D165539	13	6875	2817	1685	1357	D105559	13	6875	120		loss of STP
D001000	21	7768	2418	1370	1303	D261220	21	7768			profile
D251338	24	7412	2436	1156	1101	D251558	24	7412			prome
D19S433	12	8628	6120	4873	4443	D19S433	12	8628	3013	590	
-XVA	15	6422	3238	1848	1275		15	6422]
VWA	17.	8455	3068	1927	1620	VWA	17	8455	166		
TROV	9	7285	2139	1594	1502	TROV	9	7285	243		
IFUX	11	8881	2359	1313	1035	IFUX	11	8881	239	1	
D18851	13	8634	4649	2506	1919	D18S51	13	8634			
Amel	x	8411	5773	4427	3955	Amel	x	8411	1308	570	
B #0040	11	7567	2560	1362	1607	D 20010	11	7567			1
D18551 Amel D55818	12	7477	2503	1298	1016	D55818	12	7477			
P.C.I.	19	7624	2083	1491	1203	FOL	19	7624	225		
FGA	20	6891	1948	933	1244	FGA	20	6891			1
	Mean ± SD	7858 ± 884	3217 ± 1559	1995 ± 1195	1823 ± 1088		Mean ± SD	7858 ± 884	399 ± 688	54 ± 157	

Table 11: Depurination of DNA in a whole human (liquid) blood sample using 1X and 10X depurination buffers (pH 4.8) and after incubation on a heat block at 70°C for 48 hours, 96 hours, and 120 hours.

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		N	DRI After de	ED BLOODSTA epurination in 1X (pH 4.8) at 70°C	AIN buffer		formula	Newdowend	DRI After de	ED BLOODST purination in 102 (pH 4.8) at 70°C	AIN K buffer
	Genotype	Positive control	48 hrs	96 hrs	120 hrs		Genotype	Positive control	48 hrs	96 hrs	120 hrs
	Allele	RFU	RFU	RFU	RFU		Allele	RFU	RFU	RFU	RFU
0001150	12	7655	3693	3374	2599	D001170	12	7655	3340	2848	2805
D851179	13	7660	3729	2579	2085	D851179	13	7660	2872	2421	2263
DALCH	30	8943	2899	1900	1177	Datett	30	8943	2264	1896	1947
D21511	31	7164	2846	1642	1374	D21511	31	7164	1953	1677	1306
D.90000	8	8235	1972	1243	1247	076920	8	8235	1441	1416	856
D/5620	11	7800	2373	1237	1137	D/3620	11	7800	1288	1641	1198
CSF1P0	12	8563	5867	3454	2651	CSF1P0	12	8563	4006	3288	2411
-	16	9005	6372	3880	3344	0201250	16	9005	4623	4334	4035
D381358	17	8502	4363	3236	3034	D381358	17	8502	4916	3670	3098
TH01	9	9750	8528	8112	5350	TH01	9	9750	8083	6156	5630
	11	6815	4396	2719	2170	D120215	11	6815	3532	2816	2442
D13S317	13	5897	3648	2647	1831	D138317	13	5897	3326	1781	1051
D1/0720	12	7842	3797	2798	2034	D1/CE20	12	7842	2647	2714	2047
D105559	13	6875	4050	2593	2520	D105559	13	6875	2864	2357	1603
D101220	21	7768	4564	2431	1699	D201220	21	7768	2599	1977	1578
D251338	24	7412	2915	1611	1685	D231330	24	7412	2422	1672	1051
D19S433	12	8628	7525	6012	4642	D19S433	12	8628	7789	6556	5637
	15	6422	4498	2572	2103		15	6422	3040	2560	2502
VWA	17	8455	2630	2743	1933	VWA	17	8455	3449	2372	2332
TROV	9	7285	3104	2396	1459	TROY	9	7285	2383	2353	2177
IPOX	11	8881	2485	1937	1692	IFUX	11	8881	2494	2417	1765
D18S51	13	8634	6292	3356	3123	D18S51	13	8634	5326	3994	3840
Amel	X	8411	6372	6336	5868	Amel	x	8411	6978	4588	4483
-	11	7567	3028	2404	2308		11	7567	2574	2259	2175
D5S818	12	7477	2768	2049	2015	D5S818	12	7477	1988	1846	2535
DOL	19	7624	2953	1314	1419	PCI	19	7624	2057	1849	1563
FGA	20	6891	2837	1544	1374	FGA	20	6891	1698	1603	1460
	Mean ± SD	7858 ± 884	4093 ± 1690	2893 ± 1610	2366 ± 1219		Mean ± SD	7858 ± 884	3406 ± 1815	2780 ± 1332	2437 ± 1287

Table 12: Depurination of DNA in a dried human bloodstain using 1X and 10X depurination buffers (pH 4.8) and after incubation on a heat block at 70°C for 48 hours, 96 hours, and 120 hours.

Oxidative Damage via Peroxide-based Stain Remover

Another protocol that was explored to assess its ability to generate oxidative damage in DNA involved Arm & Hammer's OxiClean® Free Triple Power Stain Fighter, a popular laundry additive with claims to completely remove bloodstains from clothing. Blood is a protein-based stain that contains an enzyme called catalase which reportedly reacts with ingredients in this product to produce water and oxygen. According to the manufacturer, the oxygen attacks and breaks down the bloodstain. The chemical ingredients in OxiClean® include water, ethoxylated alcohols C12-15, hydrogen peroxide, sodium polyacrylate, alkylbenzenesulfonic acid C10-16, linear alkylbenzene sulfonate, tinopal, and sanolin blue dye (27). After a 30-minute incubation period at both room temperature and 56°C, only slight decreases in allele peak heights were observed (Table 13). Results shown in this table were representative of the pool of samples subjected to this treatment protocol. Even when the incubation period was extended to one hour (which exceeds the length of a typical wash cycle), reduction in RFU levels was minimal and no allele dropout occurred (data not shown).

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	Sample Genotype	Non-damaged Positive control (room temp)	After treatment w/10% OxiClean® for 30 min (room temp)	Non-damaged Positive control (56°C)	After treatment w/10% OxiClean® for 30 min (56°C)
	Allele	RFU	RFU	RFU	RFU
D951170	12	6189	5628	3826	2625
D0311/3	13	5491	4928	3314	2305
D21511	27	5213	4172	2679	1664
D21511	31	4553	3896	2272	1354
D79920	8	3640	2935	1970	1131
D/3020	12	3253	2992	1875	1152
CSF1P0	12	8867	7480	4542	3196
D201250	16	6520	7254	4805	3859
D391328	17	5595	5737	4174	3573
TH01	6	14589	12271	8443	6349
DISCOL	12	6545	4711	3443	3012
D135317	15	5734	5748	3632	2360
D1/0220	12	6511	5498	3176	2220
D102239	13	6052	5271	2949	2519
D261220	17	5789	4095	3043	2024
D251556	23	5574	3924	2663	2107
D19S433	14	9736	8713	6148	4578
	15	6635	4299	3456	2693
VWA	16	5127	4189	2439	2311
TROY	9	5425	4909	2856	2181
IFUA	12	4541	3830	2825	2102
D18S51	14	10506	7106	5349	3599
Amel	x	8583	6343	5174	3881
DECOID	11	4063	3758	3176	1906
D55818	12	3782	4088	2228	1698
	20	3807	3368	2408	1365
rGA	24	3920	3047	1813	1442
	$Mean \pm SD$	6157 ± 2489	5192 ± 2044	3507 ± 1485	2563 ± 1174

Table 13: Oxidative damage to DNA in a representative sample of whole human blood after treatment with OxiClean® Free Triple Power Stain Fighter at A) room temperature and B) 56°C, respectively.

DNA Damage in Human Bloodstains via Environmental Exposure

In addition to evaluating previously-documented techniques that damage naked cell-line DNA via chemical means, it was important investigate the combined effects of UV radiation, temperature, and humidity on DNA. In this study, human bloodstains were exposed to all three of these environmental insults simultaneously, since authentic forensic samples are typically subjected to a *combination* of exogenous insults (and thus would likely contain a *variety* of different DNA lesions, rather than a single type).

Record high-temperature and low-precipitation conditions in Texas during the summer of 2011 provided harsh conditions for assessing the stability and survivability of DNA in bloodstains. Despite these conditions, DNA in the bloodstains that were placed on the roof remained fairly durable and resistant to damage, likely due to the dry conditions. After two full weeks of environmental exposure, a decrease in STR allele peak heights was observed for all samples, although the level of damage was not severe enough to prevent a full genetic profile from being obtained. For samples placed in UV-transparent Acrylite® OP-4 acrylic boxes, allele dropout was not observed until the 4-week and 8-week exposure times and, interestingly, the *degree* of damage and amount of allele dropout observed varied between samples despite the fact that they were all subjected to the exact same environmental conditions and for identical exposure times (Table 14).

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There are a number of possible explanations for these observations. Blood is composed of plasma and cellular elements, including leukocytes, erythrocytes, and thrombocytes Typically, plasma constitutes approximately 55% of blood volume; 45% of the (platelets). volume is composed of erythrocytes; and the remaining 1% contains leukocytes and thrombocytes, but it is widely known that pathologic changes in specific blood cell concentrations may occur as a result of disease, infection, or injury (11). Although the volume of blood collected from each individual in this study was the same, variations in the quantity of leukocytes per sample (e.g. due to sampling variance) could account for the differences observed between bloodstains in terms of apparent DNA damage. In other words, the level of damage may actually be very similar between samples, but certain bloodstains may have initially contained more leukocytes (and hence more DNA), contributing to the illusion that one individual's DNA was more robust than another's. Additionally of interest is that physiologic differences in the concentration of cellular elements in blood do occur according to race, age, sex, and geographic location. For example, the leukocyte counts for Caucasians are higher by 0.5×10^9 /L than for African Americans (11).

	Sample Genotype	Positive control	After 8-weeks environmental exposure in a UV-transparent Acrylite® OP-4 acrylic box		Sample Genotype	Positive control	After 8-weeks environmental exposure in a UV-transparent Acrylite® OP-4 acrylic box
	Allele	RFU	RFU		Allele	RFU	RFU
D961170	12	1970	1495	D961170	12	1995	
D0511/9	13	2187	885	D0511/9	13	1830	261
D11011	27	1718	367	D21611	31	1150	
021511	31	1959		D21511	31	1412	
D76930	8	1111	171	175920	12	1951	
D/3620	12	1230		D/5620	12	1051	
CSEIDO	12	2112	297	CSE1D0	10	1548	
CSFIFU	12	5115	207	CSFIFU	12	1527	
D2C1250	16	2618	1924	D2C1259	16	2078	485
D221229	17	3406	1390	D351356	18	1807	210
THAT	(5415	2242	71101	9	2920	
THUI	0	5415	2342	THVI	9.3	2631	162
D126217	12	2360	520	D126217	12	2135	
D135317	15	2299	423	D138317	13	2205	
D1/0220	12	2443	436	D1(0520	11	2613	
D105559	13	2371	369	D108559	12	2126	
D3C1220	17	1295	306	D001220	17	1636	
D251558	23	1912	161	D281558	23	1920	
D19S433	14	3755	1968	D19S433	14	3501	477
****	15	1832	942	*** *	14	2059	
VWA	16	1808	603	VWA	17	1740	
TRON	9	1684	434	TDOX	8	1388	
TPOX	12	1638	322	IPOX	11	1306	
D10021		2224	222	D10071	12	1549	
D19921	14	3324	332	D18851	16	1422	
A	v	2662	2442	A	X	1357	201
Amei	А	3003	2442	Amei	Y	1482	301
D50919	11	1580	375	D20010	11	1433	
D22212	12	1688	365	D22919	12	1327	
ECA	20	1168	293	ECA	20	1188	
FGA	24	1628	375	FGA	22	1247	

Table 14: DNA damage in two different human bloodstains after environmental exposure for eight weeks in a UV-transparent Acrylite® OP-4 acrylic box. Results are representative of the variation in levels of damage that were observed amongst all experimental samples. Boxes containing no RFU data signify the occurrence of allele dropout at that locus.

Another explanation for the observed differences in DNA damage between bloodstains of different individuals involves the plasma component of blood. Although the principal component of plasma is water, it also contains dissolved ions, proteins, carbohydrates, fats, hormones, vitamins, and enzymes (11). It is possible that certain plasma constituents (cholesterol, for example) may absorb some of the UV radiation and provide a protective barrier of sorts to the DNA within the leukocytes of that particular bloodstain. Lastly, the difference in levels of DNA damage between bloodstains could simply be stochastic. It is reasonable to assume that random insults by chance will vary somewhat from sample to sample even though exposure conditions are similar. These findings further assert the importance of investigating how DNA damage occurs in its native state as opposed to as a naked molecule.

Oxidative Damage to DNA in Human Blood via Bleach Exposure

Household bleach (sodium hypochlorite, NaOCl) degrades DNA through oxidative damage and the production of chlorinated base products. Exposure of DNA to increasingly higher concentrations of NaOCl will eventually cause cleavage of the strands, breaking the DNA into smaller and smaller pieces, and eventually to individual bases (7). Although in a laboratory setting decontamination procedures are carried out with fairly dilute concentrations of 10% bleach (0.6% NaOCl), bleach also may be used by criminals at much higher concentrations at a crime scene in an effort to destroy DNA evidence. Bleach was explored as a damaging agent to generate samples for potential use in repair and whole genome amplification studies.

Results show that even after liquid (non-coagulated) blood samples were immersed in a 10% Clorox® solution (0.6% NaOCl) for 1-hour and 2-hour incubation periods, full STR profiles could still be obtained from the exposed blood (although continual decreases in allele peak heights indicated that some oxidative damage was occurring). When the bleach concentration was increased to 50% Clorox® (3% NaOCl), allele dropout was observed at completion of the 1-hour incubation period, followed by complete loss of the STR profile after 2 hours of immersion (Table 15).

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	Sample Genotype	Non-damaged Positive control	LIQUID BLOOD: After immersion in 10% Clorox® for 1 hour	LIQUID BLOOD: After immersion in 10% Clorox® for 2 hours		Sample Genotype	Non-damaged Positive control	LIQUID BLOOD: After immersion in 50% Clorox® for 1 hour	LIQUID BLOOD: After immersion in 50% Clorox® for 2 hours
	Allele	RFU	RFU	RFU		Allele	RFU	RFU	RFU
DOCISEO	12	8008	3726	1877	0001170	12	7755	113	
D851179	13	6050	3973	1590	D881179	13	7660	147	
DALOUT	27	4966	3083	1666	DALCH	27	7943	107	
D21511	31	4741	2276	1603	D21511	31	7166		
DECOMO	8	4180	1977	683	D.50000	8	8325	152	
D/8820	12	3009	1775	763	D/8820	12	7900		
CSF1P0	12	8977	4839	654	CSF1P0	12	8653	284	
	16	8240	4584	2988	2001000	16	8005	374	
D381358	17	7018	4889	2109	D381358	17	7502	121	
TH01	6	14148	9013	3427	TH01	6	9570	332	
	12	7688	3332	3419	DIAGAIR	12	6518	220	
D138317	15	5205	3152	3200	D138317	15	5987	115	
D1/0830	12	6123	3708	1756	D1/0520	12	7248	316	1
D105539	13	5346	3050	1233	D105559	13	6878	386	Complete loss
D401220	17	4457	3614	1121	D201220	17	7788		of STR profile
D251558	23	5293	2825	1969	D251558	23	7417	390	
D198433	14	10463	5892	4385	D19S433	14	8568	284	
	15	7145	3219	1944		15	5422	285	
VWA	16	4756	3101	1847	VWA	16	8488	273	· · · · · · · · · · · · · · · · · · ·
TROV	9	4327	2384	1642	TROY	9	7687	181	
TPOX	12	3904	2271	2023	TPOX	12	7295	238	
D18S51	14	8653	4859	347	D18851	14	8368	433	
Amel	X	9246	6353	7134	Amel	x	7935	488	
D. #0040	11	4610	2141	2178	D.50010	11	7567	123	
D55818	12	3314	2632	1333	D5S818	12	7477	110	
ECA	20	3866	1852	431	ECA	20	7264	115	
FGA	24	4080	2145	234	FGA	24	6891	148	
,	Mean ± SD	6215 ± 2563	3580 ± 1630	1984 ± 1447		Mean ± SD	7603 ± 844	239±118	

Table 15: A) DNA damage in liquid (non-coagulated) blood after immersion in a 10% Clorox (0.6% sodium hypochlorite) bleach solution for 1-hour and 2-hour incubation periods, showing moderate decreases in allele peak heights but no allele dropout. B) DNA damage in liquid (non-coagulated) blood after immersion in a 50% Clorox (3% sodium hypochlorite) bleach solution, showing allele dropout and complete loss of STR profile at completion of the 1-hour and 2-hour periods, respectively.

In addition to damaging liquid whole blood samples, the effect of bleach on coagulated blood was investigated. Although blood samples were allowed to clot in microcentrifuge tubes prior to the initiation of the damaging protocol, only small decreases in allele peak heights were observed after two hours of incubation in 50% Chlorox® solution (despite mechanical resolubilization of the clot via vortexing after the bleach solution was added) (Table 16). In the process of clotting, blood separates into four distinct layers: a dark red (almost black) jellylike clot; a thin layer of oxygenated red cells; a layer of white cells and platelets; and a layer of yellowish serum (11). Completion of the clotting mechanism appears to interfere with the bleach solution's ability to cause oxidative DNA damage. The damage does appear to still be occurring (as evidenced by the decrease in allele peak heights), but at a significantly lower rate than in the case in which liquid (non-coagulated) blood was pipetted directly into the bleach solution.

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	Sample Genotype	Non-damaged Positive control	COAGULATED BLOOD: After immersion in 50% Clorox® for 2 hours
	Allele	RFU	RFU
D8\$1170	12	8589	6987
D031179	13	10000	6333
D21511	27	7207	5066
DAISII	31	4937	4397
D75920	8	3545	3209
D/3020	12	3345	2959
CSF1P0	12	9499	7848
Dagiano	16	9828	9336
D351358	17	10220	7678
TH01	6	13377	12638
D120217	12	7535	6780
D138317	15	7635	5538
D168520	12	7359	5491
D102223	13	6009	6635
D101220	17	6181	5640
D251558	23	5315	4194
D19S433	14	13806	11324
	15	7696	5609
VWA	16	6093	5223
TROY	9	6113	4362
IFUA	12	5783	4768
D18S51	14	10012	8074
Amel	x	13206	11025
D#0010	11	5930	4487
N22919	12	5703	5055
ECA	20	3425	3837
rga	24	3970	2926
	Mean \pm SD	7493 ± 2957	6201 ± 2534

Table 16: DNA damage in coagulated human blood after immersion in a 50% Clorox® (0.6% sodium hypochlorite) bleach solution for 2 hours, showing considerably less damage than was observed with liquid, non-coagulated blood (despite mechanical resolubilization of the clot via vortexing)

These findings with bleach have additional value beyond a method to damage native DNA. The results indicate that current decontamination methods using bleach in the laboratory may not be as effective as believed (at least for DNA complexed with other materials). Further studies may be warranted to determine if native DNA contamination is neutralized with bleach.

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Human Skeletal Remains

STR analysis of most of the bone-derived extracts revealed moderate-to-severe levels of degradation (and possibly inhibition), as evidenced by allele dropout at multiple loci and/or low RFU peak heights. Combined with the low quantification values obtained (most under $lng/\mu l$, Tables 17-18) and the fact that skeletal remains are exposed to environmental inhibitors (e.g. humic and fulvic acids in soil), the samples with partial or low-RFU STR profiles were determined to be good candidates for subsequent DNA repair and WGA experiments.

L		Bone Extra	action Method	
	Hi-Flow® (G	eneron)	Amicon® Ultra-4/	/ MinElute®
	Sample ID	Quantity (ng/µl)	Sample ID	Quantity (ng/µl)
	036.001.001	0.2440	036.001.002	0.2490
Г	036.002.002	0.2300	036.002.001	0.2080
	037.001.001	0.0051	037.001.002	0.0056
	037.001.003	0.0077	037.002.001	0.0100
	038.001.002	0.0030	038.001.001	0.0009
	038.002.001	0.0030	038.002.002	0.0016
Γ	039.002.001	0.0900	039.002.002	0.0420
	039.001.001	0.0898	039.001.002	0.0648
	040.001.002	0.0000	040.001.001	0.0867
	040.002.001	0.1100	040.002.002	0.0704
	041.001.001	0.0134	041.002.001	0.0069
	041.003.001	0.0209	041.003.002	0.0098
	042.001.001	0.0761	042.001.002	0.0285
	042.002.002	0.0550	042.002.001	0.0615
	044.001.002	0.0229	044.001.001	0.0034
	044.002.001	0.0016	044.002.002	0.0053
	045.003.001	1.3500	045.001.001	2.1900
	045.002.001	0.0606	045.002.002	1.1200
١Ľ	046.001.002	0.0029	046.001.001	0.0036
	046.002.001	0.0000	046.001.003	0.0029
	047.001.001	0.0474	047.001.002	0.0154
	047.002.002	0.0413	047.002.001	0.0295
ı٢	048.001.003	0.0218	048.001.001	0.0145
	048.002.001	0.0157	048.001.002	0.0152
F	048.002.003	0.0095	048.002.002	0.0051
	049.001.002	0.0122	049.001.001	0.0144
ιΓ	032.001.003	0.0012	032.001.001	0.0000
	032.002.001	0.0000	032.002.002	0.0000
5	033.001.003	0.0000	033.001.001	0.0000
	033.002.001	0.0000	033.002.002	0.0004
51	034.001.002	0.0000	034.001.001	0.0000
	034.002.001	0.0022	034.002.002	0.0004
7	035.001.003	0.0013	035.001.001	0.0000
	035.002.001	0.0000	035.002.002	0.0008
3	028.001.004	0.0028	028.001.003	0.0082
	028.001.005	0.0058	028.001.006	0.0065
ト	029.001.003	0.0203	029.001.004	0.0125
	029.001.005	0.0153	029.001.006	0.0159
۱Ľ	030.001.003	0.0042	030.001.004	0.0045
	030.001.005	0.0049	030.001.006	0.0032

Table 17: Summary of DNA extractions (80) on the contemporary skeletal remains of 20 different individuals, with reported DNA quantities obtained ($ng/\mu l$) using two different extraction methods. In addition to the low quantities of DNA recovered, most of these samples produced partial or low-RFU STR profiles upon analysis, making them ideal candidates for DNA repair and whole genome amplification.

	En e	Bone Extract	tion Method		
Hi-Flow®	(Generon)	Amicon® Ultra	a-4/MinElute®	Organic	(PCIA)
Sample ID	Quantity (ng/µl)	Sample ID	Quantity (ng/µl)	Sample ID	Quantity (ng/µl)
Tooth #1	0.0400	Tooth #2	0.0433	Femur 009.001	0.0291
Tooth #4	0.0458	Tooth #3	0.1220	Femur 010.001	0.0255
Femur 001.001	0.0184	Femur 001.002	0.0096	Femur 011.002	0.0450
Femur 002.002	0.0284	Femur 002.001	undetermined	Femur 012.002	0.0449
Femur 003.002	0.0214	Femur 003.001	0.0287	Tibia 003.001	0.0456
Femur 004.001	0.0074	Femur 004.002	0.0440	Tibia 012.001	0.0331
Femur 005.002	0.0299	Femur 005.001	0.0016	Tibia 017.002	0.0353
Femur 006.002	0.0313	Femur 006.001	0.0043		
Femur 007.001	0.0227	Femur 007.002	0.0442		
Femur 008.002	0.0100	Femur 008.001	0.0218		
Femur 010.002	undetermined	Tibia 008.002	0.0292		
Femur 011.001	0.0460	Tibia 009.002	0.0038		
Femur 012.001	0.0305	Tibia 011.002	0.0081		
Tibia 003.002	0.0291	Tibia 013.002	0.0296		
Tibia 008.001	0.0438	Tibia 014.00 1	undetermined		
Tibia 009.001	0.0228	Tibia 015.002	0.0323		
Tibia 011.001	0.0163	Tibia 016.001	0.0378		
Tibia 012.002	undetermined	Tibia 018.002	0.0173		
Tibia 013 .001	0.0153				
Tibia 014.002	0.0372				
Tibia 015.001	0.0178				
Tibia 016.002	0.0263				
Tibia 017.001	undetermined				
Tibia 018.001	0.0221		2	<i>20</i>	

Table 18: Summary of DNA extractions on the exhumed historical (120-year-old) skeletal remains of a Civil War soldier, with reported DNA quantities obtained $(ng/\mu l)$ using three different extraction methods. In addition to the low quantities of DNA recovered, all of these samples produced partial, low-RFU STR profiles upon analysis, making them ideal candidates for DNA repair and whole genome amplification studies. For samples with quantification values listed as "undetermined," the AmpFlSTR Quantifiler® Human DNA Quantification assay was unable to detect/amplify the 62-bp hTERT region in the extract (hence, for calculations in subsequent DNA repair and WGA experiments, the quantification values for these samples were assumed to be 0 ng/ μ l).

PreCR[™] Repair of Compromised Samples

After identifying methoods that were successful in causing damage to DNA in its native state, repair protocols were investigated to assess their ability to improve obtaining STR profiles from degraded or LCN samples. As shown in Figure 10, the manufacturer-recommended $PreCR^{TM}$ Repair protocol improved the performance of STR profiling of bleach-damaged DNA for all 16 loci amplified. Sodium hypochlorite (NaOCl) primarily generates oxidative damage in DNA. Hence, successful repair of the type of lesion induced in these samples was consistent with previous studies involving repair of singular, sequestered damage (2,4).

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Figure 10: Average damage to DNA in whole human blood after immersion in a 50% Clorox® bleach solution (3% NaOCl) and average repair after treatment w/PreCR^T Repair Mix (according to the manufacturer's recommendations). n = 40 blood samples (each repaired in duplicate, for a total of 80 repair reactions).

For some of the bleach-damaged samples, sufficient extract remained to perform the modified version of the PreCRTM Repair protocol. Twenty-five bleach-damaged samples were each repaired in duplicate, for a total of 50 modified repair reactions. Results from the modified protocol were directly compared with results generated with the manufacturer-recommended approach for the exact same samples (Figure 11). Congruent with a 2012 study on repair of UV-crosslinked DNA (4), the modified PreCRTM protocol outperformed the manufacturer-recommended approach in increasing allele peak heights for every locus examined with this bleach-damaged sample set. The repair modification may provide utility for casework because it eliminates the need to perform a separate repair reaction (which saves reagent costs and analyst time) and reduces the potential for contamination when transferring samples between tubes.

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Repair of Bleach-Damaged DNA with NEB PreCR™ Repair Mix: Manufacturer Recommended Protocol vs. Modified Protocol

Figure 11: Comparison of repair of bleach-damaged DNA w/PreCR^T(manufacturer vs. modified protocol). n = 25 blood samples (each repaired in duplicate, for a total of 50 repair reactions)

The results show a consistent trend but are not significant. In part the variation is likely due to low level target sites and stochastic effects. Some of these effects may be due to variation in pipetting volumes. Ultimately, forensic samples may be damaged by mutiple mechanisms resulting in a variety of lesions, and the quantity available for testing often is limited. Hence, it is our recommendation that the use of $PreCR^{TM}$ in casework should not be considered at this time due to its varied, unpredictable, and inconsistent results.

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The manufacturer-recommended $PreCR^{TM}$ Repair protocol also improved STR profiles of environmentally-damaged DNA at the majority of loci examined, although to a lesser degree than with the bleach-damaged samples (Figure 12).



Figure 12: Average non-repaired DNA damage to environmentally-exposed bloodstains and average repair after treatment w/PreCRTM Repair Mix (according to the manufacturer's recommendations). n = 75 bloodstains (each repaired in duplicate, for a total of 150 repair reactions).

For some of the environmentally-damaged samples, sufficient extract remained to perform the modified version of the $PreCR^{T}$ Repair protocol. Thirty environmentally-damaged blood samples were repaired in duplicate, for a total of 60 modified repair reactions. Results from the modified protocol were directly compared with results generated with the manufacturer-recommended approach for the exact same samples (Figure 13). For this sample set, however, the repair assay did not improve the profile (i.e., increase allele peak heights) for the majority of loci (and in some cases resulted in lower RFU values), leaving its utility with environmentally-damaged samples in question. Additionally, in this case, the modified method did not surpass the manufacturer-recommended protocol in terms of increasing the total signal.

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Figure 13: Comparison of repair of environmental damage ($PreCR^{\text{TM}}$ manufacturer vs. modified protocol). n = 30 bloodstains (each repaired in duplicate, for a total of 60 repair reactions).

Figures 14 and 15 represent the results for $PreCR^{TM}$ repair of degraded DNA from contemporary human skeletal remains. Fifty bone samples were repaired in duplicate using the manufacturer-recommended protocol (for a total of 100 repair reactions), while 30 bone samples were repaired in duplicate using the modified $PreCR^{TM}$ method (for a total of 60 modified repair reactions).

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Figure 14: Average non-repaired DNA damage in contemporary human bone samples and average repair after treatment w/PreCR^T Repair Mix (according to the manufacturer's recommendations). n = 50 bone samples (each repaired in duplicate, for a total of 100 repair reactions).



Figure 15: Comparison of repair of DNA damage in contemporary human bone w/PreCR^T Repair Mix (manufacturer vs. modified protocol). n = 30 bone samples (each repaired in duplicate, for a total of 60 repair reactions).



Figure 16: Average non-repaired DNA damage in historical human bone samples and average repair after treatment w/PreCR^T Repair Mix (modified protocol). Bones were 120 years old (n = 20).

Figures 14-16 reveal a reduction in total signal for the majority of loci examined in bonederived DNA (for both the manufacturer-recommended and modified protocols, and for both the contemporary and historical skeletal remains). Skeletal samples likely contain a number of different types of lesions and thus present a substantially greater challenge in terms of DNA repair. One potential explanation for this "degradation effect" involves the complexity of damage in these samples combined with the fact that some of the PreCR[™] enzymes require the damaged DNA to be in its double-stranded conformation. Although these enzymes can recognize damage in denatured strands, ssDNA lacks the complementary information necessary for the polymerization and ligation steps that occur during full repair of a lesion. Additionally, the presence of lesions directly adjacent to each other on opposite strands of dsDNA provides vet another possible explanation for the observed reduction in allele peak heights. In this scenario, if the two damaged bases are removed simultaneously, a double-strand break in the template would occur. Not only is highly-fragmented DNA difficult to repair, but polymerases would stall at these sites and inhibit PCR amplification. Lastly, the PreCR[™] Repair Mix will not repair DNAprotein or DNA-DNA crosslinks present in a sample (28). Ultimately, if both strands of DNA in a forensic sample are damaged, there will be no template for repair. The scenarios under which the latter may occur are illustrated in Figure 17, providing a possible explanation for both the lack of repair in some damaged samples and the variability in the level of repair observed amongst environmentally-damaged samples from this study.

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Lesion in single strand

Figure 17: Illustration of scenarios in which both strands of a DNA template are damaged, leaving no template available for subsequent repair reactions with $PreCR^{TM}$

Additionally, as mentioned previously in the introduction of this report, damage to DNA in ancient or forensic samples typically arises from both endogenous and exogenous sources. Since ancient and forensic samples often have been exposed to environmental insults for extended periods of time, it is likely that the DNA contained within them possesses many of these more complex, bulky lesions (30,36). These types of lesions pose a greater challenge for DNA repair in general, but especially in the case of the *in vitro* $PreCR^{TM}$ assay. **DNA Repair:** Implications for Forensic Casework

Results to date indicate that the PreCR[™] Repair assay holds some promise as an additional tool for improving STR typing of bleach-damaged DNA, although further studies are needed before its implementation into forensic casework could be considered. One important consideration is that UV-crosslinking and bleaching of laboratory workspaces, instruments, and plasticware are currently the standard practices for destroying exogenous/extraneous DNA molecules prior to DNA extraction or PCR amplification (47,48,49). A 2012 study demonstrated the effectiveness of PreCR[™] in repairing naked DNA that has been damaged in the laboratory with a UV-crosslinker (4), and although the ability of PreCR[™] to successfully improve bleach-damaged DNA profiles could be of great utility in cases involving crime scenes that have been cleaned with bleach by a perpetrator, these two research studies in combination reveal a complicating factor for the use of PreCR[™] in casework. Since the PreCR[™] Repair Mix can repair both UV-crosslinked and bleach-damaged DNA, it may also restore exogenous DNA that was intentionally destroyed by laboratory personnel during standard decontamination procedures.

Conversely, the repair assay did not significantly improve DNA profiles from environmentally-damaged bloodstains or bone (and in some cases resulted in lower RFU values for STR alleles), leaving its utility with these types of samples in question. Ultimately, the collective results from studies with environmentally-damaged bloodstains and skeletal remains suggest that the complexity and degree of damage dictates the efficacy of repair. Given that many forensic samples are significantly damaged and the quantity available for testing is often limited, the use of PreCRTM as a potential tool in casework is questionable due to its variable and unpredictable results. Additionally, aside from the need for additional research data and validation studies, quality control measures would need to be taken by the manufacturer if the PreCRTM Repair Mix were to be utilized in a probative forensic context. All of the PreCRTM quality control assays have been performed on *E. coli* DNA (not human substrates), and the product is not currently certified as being free of contaminating human DNA (28).

Degenerate-oligonucleotide-primed PCR (DOP-PCR)

Optimization with High-Quality DNA

Seven different DOP-PCR primers and two different variations in DOP-PCR thermal cycling parameters were tested. In particular, the efficacy of the original DOP-PCR method was coampared with the 2009 Dawson Cruz protocol (which increased the number of low-stringency cycles from five to twelve) (23).

Amplification of high-quality cell-line DNA with each of the seven degenerate primers was performed to demonstrate that the reactions were working and to assess which primer(s) performed better. Ultimately, early investigations during this study demonstrated that the six modified DOP primers outperformed the original/traditional DOP primer (12) in terms of increased RFU levels, recovery of alleles, and number of artifacts observed (data not shown). For this reason, the study proceeded with focus on the modified primers. Two different input template amounts (100pg and 500pg) of female 9947A and male 007 control DNA were used for proof-of-concept prior to using the primers on damaged and LCN samples. All six primers improved the STR profiling of both 9947A and 007 templates, as shown in Tables 19-22. In these tables, the primer designations "dcDOP" and "abDOP" reflect modifications made to the original DOP primer by the Dawson Cruz lab (23) and our laboratory, respectively (and as described previously in the material and methods section of this report)

		_							_				
	D8S1179	D21S11	000020	070010	Cetao		0064050	0221220	1011	1HO1	D13S317		D16S539
9947A STR Profile	13	30	10	11	10	12	14	15	8	9.3	11	11	12
No WGA control (100pg)	424	150		175			327	206		184	266		247
10N dcDOP primer	8689	7306	833	640	3250	2480	1857	1659	4312	4316	10,643	2841	2196
12N(2) abDOP primer	6773	2546	1329	983	5217	1944	6577	4599	7470	11,059	3193	3675	1243
12N abDOP primer	2834	3907	583	1039	1926	2087	3547	3701	4007	3920	2916	803	2171
14N abDOP primer	2334	690	645	434	1219	1280	2607	3095	2639	4037	4287	1078	909
16N dcDOP primer	3747	3522	836	605	2912	1630	1080	1861	1157	3471	5414	1562	911
10N abDOP primer	1113	878	203		339	413	1425	999	975	1526	1510	853	688
	D261338		D406422	2000	VIII	-	TPOX	D18CE4		Amel	D5S818	- CL	LGA
9947A STR Profile	19	23	14	15	17	18	8	15	19	Х	11	23	24
No WGA control (100pg)	193	225	154	255		359	447		203	188			
10N dcDOP primer	2064	2154	1553	560	19,508	19,459	2927	1053	1047	4902	8792	705	703
12N(2) abDOP primer						and the second se							
	3316	3009	2369	2137	2737	3381	5472	2100	1040	12,097	2453	1704	2450
12N abDOP primer	3316 1171	3009 785	2369 1726	2137 1670	2737 1829	3381 1335	5472 2613	2100 560	1040 1454	12,097 2583	2453 2357	1704 962	2450 1130
12N abDOP primer 14N abDOP primer	3316 1171 704	3009 785 1582	2369 1726 1029	2137 1670 182	2737 1829 306	3381 1335 889	5472 2613 986	2100 560 611	1040 1454 318	12,097 2583 1842	2453 2357 2100	1704 962 1272	2450 1130 510
12N abDOP primer 14N abDOP primer 16N dcDOP primer	3316 1171 704 902	3009 785 1582 419	2369 1726 1029 820	2137 1670 182 881	2737 1829 306 4420	3381 1335 889 2709	5472 2613 986 1513	2100 560 611 1133	1040 1454 318 930	12,097 2583 1842 4800	2453 2357 2100 4519	1704 962 1272 586	2450 1130 510 1344

Table 19: Comparison of RFU peak heights after DOP-PCR of 100pg of high-quality control DNA (9947A) with six different degenerate primers.

	D8S1179	D21S11	028852CO 10 11 866 715		CCE1DO		0364350	0001000	THOM		D13S317		D16S539
9947A STR Profile	13	30	10	11	10	12	14	15	8	9.3	11	11	12
No WGA control (500pg)	1855	1497	866	715	1090	752	1529	1475	1226	1289	2911	1826	1228
10N dcDOP primer	32,511	30,551	4240	4130	12,469	12,209	11,019	6510	1467	11.625	32,530	13,903	10,705
12N(2) abDOP primer	32,301	15,383	3399	4121	22,554	19,409	25,154	25,254	32,132	29,886	25,543	14,989	13,787
12N abDOP primer	32,696	16,293	7980	11,736	22,969	15,118	18,033	14,240	20,370	18,500	32,709	14,747	17,957
14N abDOP primer	14,002	9760	2406	2066	7352	6334	19,482	11,070	12,801	15,565	19,261	5455	6689
16N dcDOP primer	6970	5514	2066	1218	5989	5638	3563	3230	4620	4674	14,289	5281	2616
10N abDOP primer	13,925	8467	3400	3014	7723	15,035	10,003	8955	13,361	10,595	21,022	9074	7483
	D261338	000	DIDEA22	cotoe In	VININ		трох	DIRSEI		Amel	D5S818	, i	FGA
9947A STR Profile	19	23	14	15	17	18	8	15	19	X	11	23	24
No WGA control (500pg)	1458	1182	1075	1011	1377	1296	2098	669	875	1987	1825	834	976
10N dcDOP primer	6660	5783	9856	6648	8,601	32,115	9154	6114	6734	29,110	25,755	6613	7274
12N(2) abDOP primer	16,484	18,237	16,895	11,837	18,262	20,266	21,360	5835	6404	25,554	15,479	12,465	12,767
12N abDOP primer	9851	8057	12,174	10,881	20,856	20,011	15,368	11,069	8893	25,015	23,979	8136	5808
14N abDOP primer	5818	6103	9130	9519	7291	6703	9287	5391	3920	12,858	10,658	3498	3320
16N dcDOP primer	3043	3331	3321	2145	7708	6214	4564	2746	2286	9585	8614	1969	2392
								the second se	the second se				

Table 20: Comparison of RFU peak heights after DOP-PCR of 500pg of high-quality control DNA (9947A) with six different degenerate primers.

	000470		Pod Cdd	116170	000024	070070	Carao		0364360	00001000	NO.14	2	D13S317	0020010	
Control DNA 007 STR Profile	12	13	28	31	7	12	11	12	15	16	7	9.3	11	9	10
No WGA control (100pg)		79		125			76	81				177	201	215	88
10N dcDOP primer	1966	3335	1429	445	732	601	3269	2799	900	1011	7998	2537	4290	613	707
12N(2) abDOP primer	1141	2014	701	471	384		2671	941	2382	2944	7997	2816	2161	1040	1138
12N abDOP primer	4990	4456	1306	1454	157	274	1201	354	2982	2573	7751	4285	8853	3949	3926
14N abDOP primer	2706	1112	2591	229	1076	330	1521	1375	2885	4505	6227	3507	2195	1827	3479
16N dcDOP primer	1237	469	1034	757	1046	366	2368	1310	2415	2342	5114	3457	5899	1467	1582
10N abDOP primer	684	552	240	623	223	180	999	712	711		326	163	807	1362	246
6N original DOP primer	851	2299	3768	715	311	339	1412	1792	3018	5354	6575	8727	6103	5952	2854
	D261320		D405433	CC+CC10	ANG	YAAA	TPOX	DIOCEA	100010	InmA		D5S818	ې تې	Kol	
Control DNA 007 STR Profile	20	23	14	15	14	16	∞ TPOX	12	15	X	Y	D5S818	24	26	
Control DNA 007 STR Profile No WGA control (100pg)	20 183	23 195	14	15	14	16 130	XO41 8 169	12 93	15	X 102	Y	818S90 11 160	24 120	26	
Control DNA 007 STR Profile No WGA control (100pg) 10N dcDOP primer	20 183 350	23 195 1359	14	15 521	14	16 130 3207	XO4L 8 169 773	12 93 1299	15	X 102 616	Y 3093	8188590 111 160 2895	24 120 668	26 552	
Control DNA 007 STR Profile No WGA control (100pg) 10N deDOP primer 12N(2) abDOP primer	20 183 350 975	23 195 1359 1268	14 2005 3383	15 521 2139	14 3852 3512	16 130 3207 742	XOLL 8 169 773 2642	12 93 1299 699	15 1084 619	X 102 616 2500	Y 3093 2780	8188590 111 160 2895 3073	24 120 668 1635	26 552 1395	
Control DNA 007 STR Profile No WGA control (100pg) 10N deDOP primer 12N(2) abDOP primer 12N abDOP primer	20 183 350 975 1237	23 195 1359 1268 2335	14 2005 3383 1940	15 521 2139 1382	14 3852 3512 1801	16 130 3207 742 2784	8 169 773 2642 2353	12 93 1299 699 787	15 1084 619 620	X 102 616 2500 1969	Y 3093 2780 7877	80 80 80 80 90 11 160 2895 3073 3247	24 120 668 1635 1518	26 552 1395 1202	
Control DNA 007 STR Profile No WGA control (100pg) 10N dcDOP primer 12N(2) abDOP primer 12N abDOP primer 14N abDOP primer	20 183 350 975 1237 1908	23 195 1359 1268 2335 2872	14 2005 3383 1940 2075	15 521 2139 1382 1554	14 3852 3512 1801 4678	16 130 3207 742 2784 1422	8 169 773 2642 2353 1665	12 93 1299 699 787 651	15 1084 619 620 779	X 102 616 2500 1969 1970	Y 3093 2780 7877 871	80000000000000000000000000000000000000	24 120 668 1635 1518 746	26 552 1395 1202 687	
Control DNA 007 STR Profile No WGA control (100pg) 10N deDOP primer 12N(2) abDOP primer 12N abDOP primer 14N abDOP primer 16N deDOP primer	20 183 350 975 1237 1908 1493	23 195 1359 1268 2335 2872 2180	14 2005 3383 1940 2075 1432	15 521 2139 1382 1554 2281	14 3852 3512 1801 4678 3226	16 130 3207 742 2784 1422 1457	8 169 773 2642 2353 1665 1149	12 93 1299 699 787 651 985	15 1084 619 620 779 614	X 102 616 2500 1969 1970 1104	Y 3093 2780 7877 871 3181	80 50 50 50 50 50 50 50 5	24 120 668 1635 1518 746 940	26 5552 1395 1202 687 262	
Control DNA 007 STR Profile No WGA control (100pg) 10N deDOP primer 12N (2) abDOP primer 12N abDOP primer 14N abDOP primer 16N deDOP primer 10N abDOP primer	20 183 350 975 1237 1908 1493	23 195 1359 1268 2335 2872 2180	14 2005 3383 1940 2075 1432 526	15 521 2139 1382 1554 2281 520	14 3852 3512 1801 4678 3226 321	16 130 3207 742 2784 1422 1457 613	8 169 773 2642 2353 1665 1149 603	12 93 1299 699 787 651 985 574	15 1084 619 620 779 614	X 102 616 2500 1969 1970 1104	Y 3093 2780 7877 871 3181	8 5 6 7 7 11 160 2895 3073 3247 3825 3712 324	24 120 668 1635 1518 746 940	26 552 1395 1202 687 262 275	

Table 21: Comparison of RFU peak heights after DOP-PCR of 100pg of high-quality control DNA (007) with seven different degenerate primers.

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	0264470		124644		000020	020510	Cetton		0364360	000000	POILT	2	D13S317	0166530	20000
Control DNA 007 STR Profile	12	13	28	31	7	12	11	12	15	16	7	9.3	11	9	10
No WGA control (500pg)	964	1058	824	573	777	534	880	853	1418	1436	1893	1410	2912	1337	1478
10N deDOP primer	16,734	22,371	5074	5988	2870	2275	7722	7927	10,678	8464	28,336	21,931	24,903	8054	4745
12N(2) abDOP primer	19,601	18,100	6536	4955	3158	2007	12,029	14,296	23,670	19,023	28,194	14,221	24,033	17,310	10,561
12N abDOP primer	22,439	15,181	11,436	4998	5646	7120	15,592	12,442	16,795	15,525	21,952	20,023	32,754	19,903	10,539
14N abDOP primer	13,199	9360	7804	4036	2241	2612	12,234	10,086	29,102	22,962	26,897	32,022	16,100	8741	8224
16N dcDOP primer	12,330	10,974	6804	6665	2807	5173	15,849	11,210	13,186	10,593	14,296	13,111	32,371	17,488	9442
10N abDOP primer	9186	8801	8115	6046	4175	4896	9944	9894	14,772	12,409	20,532	18,086	31,316	21,234	10,052
6N original DOP primer	13,420	7994	7426	14,210	3345	1546	10,719	12,398	7600	6521	18,553	26,248	27,172	8272	5970
	D361338		D105432		e la	YAAA	трох	DARCEA	2000	Amol		D5S818	C A	40L	
Control DNA 007 STR Profile	20	23	14	15	14	16	8 TPOX	12	15	X	Y	1 D5S818	24	26	
Control DNA 007 STR Profile No WGA control (500pg)	20 1151	23 1476	14 1095	15 983	14 1134	16 1278	XOd1 8 2204	12 963	15 817	X 913	Y 1057	818S90 11 1563	24 807	26 617	
Control DNA 007 STR Profile No WGA control (500pg) 10N dcDOP primer	20 1151 6254	23 1476 8904	14 1095 4825	15 983 7545	14 1134 20,149	16 1278 19,890	8 2204 7229	12 963 4845	15 817 3896	X 913 9513	Y 1057 16,390	8188590 111 18,201	24 807 3799	26 617 2508	
Control DNA 007 STR Profile No WGA control (500pg) 10N dcDOP primer 12N(2) abDOP primer	20 1151 6254 11,781	23 1476 8904 10,818	14 1095 4825 13,586	15 983 7545 11,438	14 1134 20,149 15,291	16 1278 19,890 20,961	8 2204 7229 18,093	12 963 4845 5221	15 817 3896 5027	X 913 9513 23,092	Y 1057 16,390 12,586	80 80 80 90 11 1563 18,201 15,683	24 807 3799 9235	26 617 2508 6562	
Control DNA 007 STR Profile No WGA control (500pg) 10N deDOP primer 12N(2) abDOP primer 12N abDOP primer	20 1151 6254 11,781 12,007	23 1476 8904 10,818 11,555	14 1095 4825 13,586 11,134	15 983 7545 11,438 10,870	14 1134 20,149 15,291 14,881	16 1278 19,890 20,961 20,503	8 2204 7229 18,093 15,863	12 963 4845 5221 7717	15 817 3896 5027 7499	X 913 9513 23,092 21,267	Y 1057 16,390 12,586 24,002	80 80 80 111 1563 18,201 15,683 27,394	24 807 3799 9235 6988	26 617 2508 6562 9970	
Control DNA 007 STR Profile No WGA control (500pg) 10N deDOP primer 12N(2) abDOP primer 12N abDOP primer 14N abDOP primer	20 1151 6254 11,781 12,007 9501	23 1476 8904 10,818 11,555 7081	14 1095 4825 13,586 11,134 11,732	15 983 7545 11,438 10,870 9254	14 1134 20,149 15,291 14,881 6339	16 1278 19,890 20,961 20,503 12,731	8 2204 7229 18,093 15,863 16,805	12 963 4845 5221 7717 4220	15 817 3896 5027 7499 4697	X 913 9513 23,092 21,267 8874	Y 1057 16,390 12,586 24,002 6668	11 1563 18,201 15,683 27,394 12,530	24 807 3799 9235 6988 4343	26 617 2508 6562 9970 4033	
Control DNA 007 STR Profile No WGA control (500pg) 10N deDOP primer 12N(2) abDOP primer 12N abDOP primer 14N abDOP primer 16N deDOP primer	20 1151 6254 11,781 12,007 9501 9420	23 1476 8904 10,818 11,555 7081 11,078	14 1095 4825 13,586 11,134 11,732 9053	15 983 7545 11,438 10,870 9254 10,160	14 1134 20,149 15,291 14,881 6339 15,926	16 1278 19,890 20,961 20,503 12,731 20,406	8 2204 7229 18,093 15,863 16,805 17,660	12 963 4845 5221 7717 4220 7099	15 817 3896 5027 7499 4697 7672	X 913 9513 23,092 21,267 8874 10,828	Y 1057 16,390 12,586 24,002 6668 11,550	11 1563 18,201 15,683 27,394 12,530 21,640	24 807 3799 9235 6988 4343 7157	26 617 2508 6562 9970 4033 2722	
Control DNA 007 STR Profile No WGA control (500pg) 10N deDOP primer 12N(2) abDOP primer 12N abDOP primer 14N abDOP primer 16N deDOP primer 10N abDOP primer	20 1151 6254 11,781 12,007 9501 9420 6937	23 1476 8904 10,818 11,555 7081 11,078 6535	14 1095 4825 13,586 11,134 11,732 9053 8168	15 983 7545 11,438 10,870 9254 10,160 8424	14 1134 20,149 15,291 14,881 6339 15,926 16,525	16 1278 19,890 20,961 20,503 12,731 20,406 15,050	8 2204 7229 18,093 15,863 16,805 17,660 14,569	12 963 4845 5221 7717 4220 7099 5717	15 817 3896 5027 7499 4697 7672 5482	X 913 9513 23,092 21,267 8874 10,828 24,126	Y 1057 16,390 12,586 24,002 6668 11,550 24,487	11 1563 18,201 15,683 27,394 12,530 21,640 20,547	24 807 3799 9235 6988 4343 7157 5930	26 617 2508 6562 9970 4033 2722 6796	

Table 22: Comparison of RFU peak heights after DOP-PCR of 500pg of high-quality control DNA (007) with seven different degenerate primers.

Modified DOP-PCR with Compromised Samples

With high-quality DNA, the preliminary data indicated that the 10N dcDOP primer, the modified 12N abDOP primer, and the modified12N(2) abDOP primer performed best in terms of increasing allele peak heights. Given these results, DOP-PCR with two of these new primers was performed on damaged DNA from a human bloodstain that had been environmentally-exposed for 24 weeks. The amount of template was varied to assess the range of input DNA needed to obtain optimal results. Although the 2009 Dawson Cruz study recommended that no more than 100pg of DNA be used in the DOP-PCR reaction (to ensure profile quality with minimal artifacts) (23), our results show that 100pg may not be enough template when dealing with degraded samples (Table 23). Degraded samples simply may contain lower intact template molecules, and in turn do not provide sufficient DNA for efficient binding of the degenerate primers and subsequent DOP-PCR. The latter presumption (and our results) are further supported by a 2003 study which found that, when amplifying low-copy and/or degraded DNA, WGA requires several hundred picograms of template DNA to be effective in dealing with stochastic selection of alleles (although this depends on the quality and specific characteristics of each sample, and mitigating these stochastic effects may not be possible in all cases) (50).

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	0200000	6/11000	10000	116120	000020	020010	CSF1PO	0267360	0001000	COL	2	D13S317	D16S539	
STR Profile from an Environmentally-Damaged Bloodstain (24-week exposure)	12	13	28	29	8	10	11	17	18	9	9.3	12	9	
No WGA control (65.7pg)		105	61			1 1	87	189	98	89	9.3	520	234	
10N dcDOP primer	363	466						5.78					382	
12N(2) abDOP primer	206	208				398			607		560	539	294	
No WGA control (328,5pg)	598	457	278	205			319	378	808	177	363	679	410	1
10N dcDOP primer	787	949	340	178				300	500	220	536	550	369	1
12N(2) abDOP primer	3148	955	779		392	632	444	2551	3450	2474	1946	2493	3143	
No WGA control (657pg)	802	1130	574	417	302	403	714	4603	1614	1070	1426	1204	1270	1
10N dcDOP primer	1557	2083	810	1241	215	262	831	1740	3728	1111	1010	2669	1370	
12N(2) abDOP primer	3937	4172	2061	2774	432	806	1199	9065	6947	4451	2881	6292	3640	
		0001020	D406422	0190400	VWA	VORT	YOLI	Discer	100010	lomA		DECOLO	0100007	FGA
STR Profile from an Environmentally-Damaged Bloodstain (24-week exposure)	22	22	44	16.0	10			45	40	v	v		12	20
		20	14	10.2	18	8	11	15	16	X	T	11	14	20
No WGA control (65.7pg)		2.5	14	120	18	8 96	11	15	16	X	167	102	12	123
No WGA control (65.7pg) 10N deDOP primer		20	211	10.2 120	18 <u>18</u> 454	8 96	11	15	16	*	167	102 356	12	123
No WGA control (65.7pg) 10N deDOP primer 12N(2) abDOP primer		235	211 383	10.2 120 299	18 18 454 428	8 96			191	191	167	102 356 311		123 585
No WGA control (65.7pg) 10N deDOP primer 12N(2) abDOP primer No WGA control (328.5pg)		235	211 383 428	10.2 120 299 312	18 18 454 428 665	8 96 283	272		191	X 191 720	167	102 356 311 262	355	123 585 326
No WGA control (65.7pg) 10N deDOP primer 12N(2) abDOP primer No WGA control (328.5pg) 10N deDOP primer		235	211 383 428 382	120 299 312 545	18 18 454 428 665 895	8 96 283 375	272 448		19 191	X 191 720 553	167 471 522	102 356 311 262 209	355	123 585 326
No WGA control (65.7pg) 10N deDOP primer 12N(2) abDOP primer No WGA control (328.5pg) 10N deDOP primer 12N(2) abDOP primer	657	235 235 264 801	211 383 428 382 2466	10.2 120 299 312 545 922	18 18 454 428 665 895 2204	8 96 283 375 458	272 448 1072	202	191 191 320	191 720 553 2645	471 522 1628	102 356 311 262 209 1345	355 588 1782	123 585 326 1342
No WGA control (65.7pg) 10N deDOP primer 12N(2) abDOP primer No WGA control (328.5pg) 10N deDOP primer 12N(2) abDOP primer	657	235 235 264 801	14 211 383 428 382 2466	120 299 312 545 922	18 18 454 428 665 895 2204	8 96 283 375 458	272 448 1072	202	191 196 320	X 191 720 553 2645	471 522 1628	102 356 311 262 209 1345	355 588 1782	20 123 585 326 1342
No WGA control (65.7pg) 10N deDOP primer 12N(2) abDOP primer No WGA control (328.5pg) 10N deDOP primer 12N(2) abDOP primer No WGA control (657pg) 10N deDOP primer	657 510 716	235 235 264 801 559	14 211 383 428 382 2466 1110 1863	10.2 120 299 312 545 922 880 2703	18 18 454 428 665 895 2204 1882 4165	8 96 283 375 458 802	272 448 1072 792 700	202	191 191 320 310	X 191 720 553 2645 991 2060	471 522 1628 869	102 356 311 262 209 1345 952 2758	355 588 1782 767	20 123 585 326 1342 1119
No WGA control (65.7pg) 10N deDOP primer 12N(2) abDOP primer No WGA control (328.5pg) 10N deDOP primer 12N(2) abDOP primer No WGA control (657pg) 10N deDOP primer 10N deDOP primer	657 510 716 725	235 235 264 801 559 152 255	14 211 383 428 382 2466 1110 1863 4400	120 299 312 545 922 880 2703 4556	18 454 428 665 895 2204 1882 4165 6754	8 96 283 375 458 802 1194	272 448 1072 792 709	202	191 196 320 310 358 245	X 191 720 553 2645 991 3060 5703	471 522 1628 869 3101	102 356 311 262 209 1345 952 3758	355 588 1782 767 1379	123 585 326 1342 1119 1019

Table 23: DOP-PCR whole genome amplification of environmentally-damaged DNA in a bloodstain after 24 weeks of exposure: Comparison of RFU values obtained with the10N dcDOP primer and 12N(2) abDOP primer for three different amounts of input DNA (65.7pg, 328.5pg, and 657pg).

As shown in Table 23, both the 10N and 12N(2) primers were generally effective at improving STR profiling of the damaged template, although they both performed better with a higher amount of input DNA (657pg) than previously recommended. In fact, some allele dropout was observed when less than 657pg of damaged template were added to the DOP-PCR. The electopherograms shown in Figures18-19 further reveal that the previous assertion that addition of more than 100pg of DNA results in significant artifacts (making results uninterpretable) does not necessarily apply when the candidate template is substantially degraded prior to DOP-PCR.



Figure 18A:

STR typing results for an environmentallydamaged bloodstain (Table 23) prior to **DOP-PCR**, with 328.5pg of input DNA.

Figure 18B: Electropherogram results

for DOP-PCR of an environmentally-damaged bloodstain with the 10N dcDOP primer and 328.5pg of input DNA. These results demonstrate that input amounts greater than the previouslyrecommended "maximum" of 100pg do not produce substantial artifacts when the template is significantly degraded prior to the DOP-PCR reaction.



Figure 18C:

Electropherogram results for DOP-PCR of an environmentally-damaged bloodstain with the **12N(2)** abDOP primer and 328.5pg of input DNA. Even with three times the previously-recommended input amount, only three artifacts were observed (one stutter peak, a drop-in allele, and one off-ladder allele, as labeled in the

image). Note the higher allele peak heights compared with Figure 18B.



Interestingly, when the input template was increased to 657pg, the 10N dcDOP primer did generate a substantial number of artifacts, but 12N(2)abDOP primer still produced an electropherogram with minimal artifacts (with the same quantity of input DNA) (Figures 19B and 19C). Figure 19A shows STR typing results from this blood sample (Table 23) prior to DOP-PCR.



STR typing results for an environmentally-damaged bloodstain (Table 23) **prior to DOP-PCR**, with 657pg of input DNA.



Figure 19B: Electropherogram results for DOP-PCR of an environmentallydamaged bloodstain with the **10N dcDOP primer** and 657pg of input DNA. Substantial artifacts are present (e.g. stutter peaks, drop-in alleles), which would make interpretation of this profile more difficult.

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Figure 19C:

Electropherogram results for DOP-PCR of an environmentally-damaged bloodstain with the **12N(2) abDOP primer** and 657pg of input DNA. This sample displays only a few artifacts and is thus better quality than the results obtained when the 10N dcDOP primer was used with the same sample and an equivalent quantity of input DNA (Figure 19B).

Table 24 shows results of another environmentally-damaged bloodstain that was amplified with the 10N dcDOP primer and 12N(2) abDOP primer. Since the maximum volume of extract that can be added to the Identifiler® Plus PCR amplification reaction is 10 μ l, the "before DOP-PCR" quantity listed in the table (728pg) represents the amount of DNA used in pre-DOP-PCR genotyping (10 μ l × 0.0728 ng/ μ l). Given that initial STR typing yielded a partial profile with low RFU levels (Figure 20A), a full 1ng of input template DNA was used for the subsequent DOP-PCR reactions.

		D8S1179		118120	000040	020210	CSF1PO		0221220	i i	Ē	D13S317	D16S539	
STR Profile from an Environmentally-Damaged Bloodstain (24-week exposure)	12	13	28	29	8	10	11	17	18	9	9.3	12	9	
Before DOP-PCR (728pg)	245	299	147	69				312	642	96	91	371	226	
10N dcDOP primer	1928	1703		310	177	176	242	3008	2640	1257	382	1485	847	
12N(2) abDOP primer	1585	677	653	502				1239	1458	1321	1534	1181	973	
		D2S1338		0195433	vwa	V.C.C.T	XOAT		2000		Alle	010010	0 0000	FGA
STR Profile from an Environmentally-Damaged Bloodstain (24-week exposure)	22	D223338	14	16.2	AWA	8	XOL 11	15	16	X	Alle	11	12	EGA 20
STR Profile from an Environmentally-Damaged Bloodstain (24-week exposure) Before DOP-PCR (728pg)	22	23 23	14	16.2 267	K 18 373	8 158	11	15 112	16	X 291	Y 332	11 336	12 143	E 20 215
STR Profile from an Environmentally-Damaged Bloodstain (24-week exposure) Before DOP-PCR (728pg) 10N dcDOP primer	22	23 23	14 185 1865	16.2 1063	EXAMPLE 18 373 1735	8 158	11 120 415	15 112	16 124	X 291 2471	Y 332 3104	11 336 1632	12 143 885	P 20 215 939

Table 24: DOP-PCR whole genome amplification of environmentally-damaged DNA in a bloodstain after 24 weeks of exposure: Comparison of RFU peak heights obtained with the10N dcDOP primer and 12N(2) abDOP primer (1ng total input template DNA).

The electropherograms shown in Figures 20B-20C reveal DOP-PCR results with each primer when a full 1ng (1000pg) of damaged template was used during WGA. Stutter peaks were observed at a few loci with both primers, although these artifacts are generally interpretable and could potentially be accounted for if 1) replicate DOP-PCR reactions were carried out on the same sample and/or 2) if the stochastic interpretation threshold were raised.

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Figure 20A: STR typing results for an environmentallydamaged bloodstain (Table 24) **prior to DOP-PCR**, with 728pg of input DNA.



Figure 20B: Electropherogram results for DOP-PCR of an environmentallydamaged bloodstain with the 10N dcDOP primer and 1 ng of input DNA. Two stutter peaks and an off-ladder allele are observed at locus D3S1358, but the profile does not exhibit excessive or uninterpretable artifacts, even with lng of input template.

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Figure 20C: Electropherogram results for DOP-PCR of an environmentallydamaged bloodstain with the 12N(2)abDOP primer and lng of input DNA. Stutter peaks are observed at both D8S1179 and D3S1358 loci, but the profile does not exhibit excessive or uninterpretable artifacts, even with lng of input template.

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In yet another example (Table 25), pre-WGA genotyping of an environmentally-damaged bloodstain yielded a partial, low RFU profile. Again, since the maximum volume of extract that can be added to the Identifiler® Plus PCR amplification reaction is 10µl, the "before DOP-PCR" quantity listed in the table (107pg) represents the amount of DNA used in pre-DOP-PCR genotyping (10μ l × 0.0107 ng/µl). Since this initial STR typing yielded a partial profile with low RFU levels (Figure 21A), a full 1ng of input template DNA was used for the subsequent DOP-PCR reactions. DOP-PCR results using the 10N dcDOP and 12N(2) abDOP primers are shown in Figures 21B and 21C. Still consistent with the previously-described results, some artifacts are observed, but they are not excessive and could potentially be accounted for if 1) replicate DOP-PCR reactions were carried out on the same sample and/or 2) if the stochastic interpretation threshold were raised.

	0112001	6/11con	2202CC	222	000020	020610	CGE1BO			0001000	Cut		125347	100010	000000000000000000000000000000000000000	
STR Profile from an Environmentally-Damaged Bloodstain (24-week exposure)	11	14	30.2	32.2	10	11	9	13	14	18	7	9.3	11	12	9	12
10N dcDOP primer	2942	3446	1005	1140	183	407	338	323	5320	4783	2437	2529	1396	523	1165	459
12N(2) abDOP primer	697	3085	492	191	291	283	369	360	6405	3910	3630	2838	664	330	783	844
	0	0	0	ĵ		ndang (gantan) pan		7			80		in an	ĺ		
		123133	519050	C400	0.700		трох	0010	200	Amel	D5S81	а (Ц	ADL .			
STR Profile from an Environmentally-Damaged Bloodstain (24-week exposure)	23	25	14	16	16	19	* TPOX	15	19	× Amel	12	21	23			
STR Profile from an Environmentally-Damaged Bloodstain (24-week exposure) Before DOP-PCR (107pg) 10N dcDOP primer	23	25	14 66 3048	16 144	16	19	XOdL 8 118 1830	15	19	Peur X 178 8150	12 12 122	21 54 676	23			

Table 25: DOP-PCR WGA of environmentally-damaged DNA from a bloodstain after 24 weeks of exposure: Comparison of RFU peak heights obtained with the10N dcDOP primer and 12N(2) abDOP primer (1ng total input template DNA).



Figure 21A: STR typing results for an environmentallydamaged bloodstain (Table 25) **prior to DOP-PCR**, with 107pg of input DNA.



Figure 21B:

Electropherogram results for DOP-PCR of an environmentallydamaged bloodstain with the **10N dcDOP primer** and 1ng of input DNA. Multiple stutter peaks, two off-ladder alleles, and two drop-in alleles are observed.



Figure 21C:

Electropherogram results for DOP-PCR of an environmentally-damaged bloodstain with the **12N(2) abDOP primer** and 1ng of input DNA. This sample displays only a few artifacts and is thus better quality than the results obtained when the 10N dcDOP primer was used with the same sample and an equivalent quantity of input DNA (Figure 21B).

In addition to environmentally-damaged bloodstains, DOP-PCR reactions also were carried out on damaged DNA from human skeletal remains. Table 26 shows an example of DOP-PCR results (using three different primers) with degraded DNA from a contemporary human bone. With this particular sample, 413pg of initial input DNA yielded a very low RFU profile when amplified with the Identifiler® Plus PCR amplification kit (10μ l × 0.0413 ng/µl) (Figure 22A). For this reason, 1000pg (1ng) of DNA was used in the subsequent DOP-PCR reactions in an attempt to provide sufficient template for the degenerate primers and to try to mitigate stochastic sampling during WGA. Interestingly, with this sample, very few stochastic artifacts appeared in any of the resulting electropherograms (Figures 22B-22D). Furthermore, both the 12N abDOP and 12N(2) abDOP primers outperformed the 10N dcDOP primer (in terms of increased RFU peak heights) at nearly every locus examined.

	01111000	P0011/9	D21S11	000020	020210	Cataoo		0361368	221220	PONT.		A NO OCTU	1100010	0165530	
STR Profile from Contemporary Skeletal Remains (Environmental Damage: Bone 047.002.002)	13	14	31	10	11	10	12	15	16	6	7	12	13	10	12
Before DOP-PCR (413pg)	90	148	256		59		80	366	422	126	133	300	80	89	
10N dcDOP primer	1987	783	3049	212	267	269	226	1189	1270	1399	1228	709	2003	371	1874
12N abDOP primer	2673	3519	1423	507	371	385		1931	1861	1146	2059	1621	1059	2003	861
12N(2) abDOP primer	3200	1552	2249		290		1703	1162	1788	930	1904	1348	1157	770	682
	1987 783 2673 3519 3200 1552														
		0201330	066433		AWV	VCat	X)	1986	200				010000		5
STR Profile from Contemporary Skeletal Remains (Environmental Damage: Bone 047.002.002)	22	23	13.2	14	V MA	8	11	15	17	X	Y	12	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	19	25
STR Profile from Contemporary Skeletal Remains (Environmental Damage: Bone 047.002.002) Before DOP-PCR (413pg)	22 82	23 98	13.2 119	14	VMA 16 516	8 123	11 147	15	17	X 157	Y 210	12 75	13 101	ری 19	25
STR Profile from Contemporary Skeletal Remains (Environmental Damage: Bone 047.002.002) Before DOP-PCR (413pg) 10N dcDOP primer	22 82 578	23	13.2 1033	14 60 944	V 16 516 1614	8 123 632	11 147 254	15 271	17 64	X 157 798	Y 210 1391	12 477	13 13 101 1325	19 328	25 480
STR Profile from Contemporary Skeletal Remains (Environmental Damage: Bone 047.002.002) Before DOP-PCR (413pg) 10N dcDOP primer 12N abDOP primer	22 82 578	23 98 347	13.2 1033 593	14 60 944 1426	4 16 516 1614 2377	8 123 632 753	11 147 254 1136	15 271 458	17 64 158	X 157 798 2003	Y 210 1391 1487	12 75 477 1259	13 101 1325 1710	19 328 1275	25 480 479

Table 26: DOP-PCR WGA of degraded DNA from an environmentally-damaged contemporary human bone sample: Comparison of RFU peak heights obtained using three different degenerate primers [10N dcDOP, 12N abDOP, and 12N(2) abDOP] and with 1000pg (1ng) total input DNA.



Figure 22A: STR typing results for environmentallydamaged DNA from a contemporary human bone sample (Table 26) **prior to DOP-PCR**, with 413pg of input DNA.



Electropherogram results for DOP-PCR of environmentallydamaged DNA from a contemporary human bone sample with the 10N dcDOP primer and lng of input DNA. No stochastic artifact

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Figure 22C: Electropherogram results for DOP-PCR of environmentallydamaged DNA from a contemporary human bone sample with the 12N abDOP primer and lng of input DNA. Two stutter peaks were observed (17.9% and 14%, respectively). DOP-PCR with this primer outperformed the 10N dcDOP primer (in terms of increased RFU peak heights) at virtually every locus examined (see Table 26).

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Figure 22D:

Electropherogram results for DOP-PCR of environmentallydamaged DNA from a contemporary human bone sample with the 12N(2) abDOP primer and lng of input DNA. Only one stutter peak was observed, despite adding ten times the previouslyrecommended maximum of 100pg template. DOP-PCR with this primer (as well as with the 12N abDOP primer, Figure 22C) outperformed the 10N dcDOP primer (in terms of increased RFU peak heights) at virtually every locus examined (see Table 26).

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A set of historical skeletal remains (120-year-old Civil War bones) also were subjected to WGA with the three modified DOP-PCR primers. It should be noted that no single extract from these remains yielded a full STR profile when initially examined (i.e. prior to WGA). Fifty different bone sections (tibiae, femora, and teeth) were extracted via three different methods, amplified with the AmpFISTR Identifiler® Plus PCR amplification kit, and the results were compiled to generate a consensus profile (Table 27).

			, /s		2 / 2		- / 5		0 / 2	° / 5	~ / _	. / 4	x / 5	. / .	, / s	, / _
	J 881	D21S			3813 J			2108	2513	5010	14	/ E		Wł I	6	
Sample ID	~	/~	<u> </u>	/ ~	/~	/	/~	/~	~	/~	/	/	<u> </u>	<u> </u>	<u> </u>	
Tooth #1_Hi-Flow-E1	13	32			17,18	9	12	9		12,16	14			X,Y	11,12	19
Tooth #1_H-Flow-E2	13	20			18	6.0	11.10	0.11		12	14	11	17	Y	11.10	20
Tooth #2_AFDIL-EI	13	28			17,18	6,9	11,12	9,11		12,16	14,20	11	17	X,Y XX	11,12	20
Tooth #3_AFDIL-EI	13	28,32			17,18	6	-			12,16	20			X,Y X.V	11,12	20
Tooth #4_Hi-Flow-E1	13				17,18					12,10	20			A, Y V V	11,12	19
P farmer 001 001 Hi Flow E1	13	22			17		11	11		12,10	14.20			A, I V V	11	
R femur 001 001 Hi-Flow-E1	13	52			17	6	11	11			14,20	11		Λ, Ι		
R femur 001 002 AEDIL-E1	13	28.32			17.18	6	11.12	9.11			14 20	8 11	15	XY	11.12	19.20
R femur 001 002_AFDIL-E2	15	20,02			17,10	0	,.2	>,			1 1,20	0,11		X	11,12	17,20
R femur 002 001 AFDIL-E1	13				17									XY		
R.femur 002.002 Hi-Flow-E1	13	28.32			17.18	6.9	11.12	9.11			14.20	11	17	X.Y	11.12	19.20
R.femur 002.002 Hi-Flow-E2	13	-)-			17,18	6	,	9						X,Y	,	.,
R.femur 003.001 AFDIL-E1	13	28,32			17,18	6,9	11,12	9			20		15	X,Y	11,12	19,20
R.femur 003.002 Hi-Flow-E1	13				17,18						14,20	-		X,Y		
R.femur 004.001_Hi-Flow-E1	13	28			17,18	9	11,12				20	11	15	X,Y	11,12	
R.femur 004.002_AFDIL-E1	13	32			17,18	6	12				14	11	17	X,Y	11,12	19,20
R.femur 005.001_AFDIL-E1	13	28			17,18	6					20	11		X,Y	11,12	
R.femur 005.002_Hi-Flow-E1	13	28			17,18	6,9	11	9		16	14	11		X,Y	11,12	
R.femur 005.002_Hi-Flow-E2	13					6,9		9				11	15	X	11,12	
R.femur 006.001_AFDIL-E1	13				17	6,9	11	9			20	11		X,Y		
R.femur 006.002_Hi-Flow-E1	13	28,32	9,11		17,18	6,9	11,12	9,11		12	14,20	11	15	X,Y	11,12	19,20
R.femur 007.001_Hi-Flow-E1	13	28,32			17,18	6,9	11,12	9,11		12	14	11	17	X,Y	11,12	19,20
R.femur 007.002_AFDIL-E1	13	28	9,11		17,18	6,9	11,12	9			14,20	11	15,17	X,Y	11,12	19,20
R.femur 008.001_AFDIL-E1	13	32			17,18	6	12	9,11			14,20	11		X,Y	11,12	19,20
R.femur 008.002_Hi-Flow-E1	13	28			17,18	-				12		11		X,Y	11,12	
R.femur 009.001_Organic-E1	13	28			17,18	9	11,12	9		12,16	14	11		X,Y	11,12	19,20
R.femur 010.001_Organic-E1	13				17,18		12			12,16	14	11		X	11	
R. temur 010.002 Hi-Flow-El	12	20	11		17.10	6.0	10	11		10.16	14			XX	11.10	10
R.temur 011.001_HFFIow-EI	13	28	11		17,18	6,9	12	0.11		12,16	14,20	11		X,Y X V	11,12	19
R. lemur 011.002_Organic-E1	13	28			17,18	6,9	11,12	9,11		12,10	14,20	11		A, Y V V	11,12	19,20
R famur 012.001_HFFIOW-EI	13	20		0	17,18	6.0	11,12	0.11	17.10	12,10	14,20	11		A, I V V	11 12	19,20
Tibia 003 001 Organic-E1	13	20,32		9	17,18	6.9	11,12	9,11	17,19	12,10	20	11		X,I X V	11,12	19,20
Tibia 003.002 Hi-Flow-F1	13	52			17,18	9	11,12	11		12,10	14 20	11		XY	11,12	20
Tibia 008.001 Hi-Flow-E1	13	28.32	9.11		17,18	69	11,12	9.11			14,20	11	17	XY	11,12	19.20
Tibia 008 001 Hi-Flow-E2	13	20,52	,,,,,		17	6.9	11,12	>,11			14 20	11	17	XY	11,12	19,20
Tibia 008 002 AEDII -E1	13	28.32	9.11		17.18	6.9	11.12	9.11			14 20	11	15.17	XY	11.12	19
Tibia 009 001 Hi-Flow-El	13	28,32	>,		17.18	6.9	11.12	9			14 20	11	17	XY	11.12	.,
Tibia.009.001 Hi-Flow-E2	13	,			17.18	9	12	9		12	14.20	11	- /	Y	11.12	
Tibia 009.002 AFDIL-E1	13	32			17.18		12	9		_	,_ ,	-		X,Y	12	19
Tibia 011.001 Hi-Flow-E1	13	28			17,18	6,9	11,12	9,11		12	14	11		X,Y	11,12	19
Tibia 011.001 Hi-Flow-E2		l			17,18	9			1					X,Y	11	19
Tibia 011.002_AFDIL-E1	13	28,32	9,11		17,18	6,9	11,12	9			14,20	11	17	X,Y	11,12	19
Tibia 012.001_Organic-E1	13		9		17,18	6,9	11	9		12,16	14,20	11	15	X,Y	11,12	
Tibia 012.002_Hi-Flow-E1	13				17,18		11							Х		
Tibia 013.001_Hi-Flow-E1	13				17,18			9,11			14	11		X,Y	11	
Tibia 013.002_AFDIL-E1	13	28,32			17,18	6	11,12	11			14,20	11	15	X,Y	11,12	19
Tibia 014.001_AFDIL-E1	13				17,18	6					20			X,Y	11,12	
Tibia 014.002_Hi-Flow-E1	13	28,32	11		17,18	6,9	11,12	9,11		12,16	14,20	11		X,Y	11,12	19,20
Tibia 014.002_Hi-Flow-E2	13				17,18	9	12	9		16	20	11	17	X,Y	11	19
Tibia 015.001_Hi-Flow-E1	13	32		l	17,18		11,12	9		12	14,20	11		X,Y	11,12	19,20
Tibia 015.002_AFDIL-E1	13	28,32	9,11		17,18	6,9	11,12	9,11		12	14,20	11	17	X,Y	11,12	19,20
Tibia 016.001_AFDIL-E1	13	28,32	9,11		17,18	6,9	11,12	9,11			14,20	11	15,17	X,Y	11,12	19,20
Tibia 016.002_Hi-Flow-E1	13	28,32	9		17,18	6,9	11,12	9,11		12	14,20	11	15,17	X,Y	11,20	19,20
Tibia 017.001_Hi-Flow-E1	12	20.22	0.11	10	17	6.0	12	0.11		12	14.00	11	15.15	X	11.10	19
Tibia 017.002_Organic-El	13	28,32	9,11	12	17,18	6,9	11,12	9,11		12,16	14,20	11	15,17	X,Y	11,12	19,20
11bta 018.001_Hi-Flow-El	13	28	11		17,18	6	11,12	9,11		12	14,20	11	15,17	X,Y	11,12	19,20
Tibla 018.001_HFFlow-E2	13	28.22			1710	6,9	11 12	0.11		12	14.20	11		vv	11,12	10
Consoners CTD D. Cl	13	28,32	0.11		17,18	6,9	11,12	9,11		12.16	14,20	11	15.17	л, Y V V	11,12	19
Consensus STR Profile	15,13	20,32	9,11		17,18	0,9	11,12	9,11		12,10	14,20		15,17	л, т	11,12	19,20

Table 27: STR typing results for 120-year-old historical skeletal remains

Table 28 and Figure 23A show DOP-PCR results using 489pg of input template DNA from these remains. A higher quantity of DNA (e.g., 1ng) would have been preferable, but sufficient volume of extract was not available to carry out the comparison DOP-PCR reactions using each of the three modified primers. Using 1ng of input template likely would have further improved the STR typing results. However, even when less than 1ng was used for the DOP-PCR reaction, the RFU values at most loci increased and several alleles that had previously dropped out of the profile were recovered. More importantly, the majority of the alleles that were recovered as a result of DOP-PCR were consistent with the alleles in the compiled consensus profile (see Table 27 and Figures 23B-23D).

	D8S1179	D8S1179		D7S820		CSF1PO		D3S1358		ТНО1		D13S317		D16S539		
120-year-old Skeletal Remains: Consensus STR Profile	13	28	32	9	11	9	12	17	18	6	9	11	12	9	11	
Femur 005.001 AFDIL-E1 (No WGA)	746	78						440	346	77						
10N dcDOP primer	1944	224	422		328			1090	1950	886	627	880	681	475	225	
12N abDOP primer	854	245	500	99	252		91	2748	2539	1690	689	649	318	474	237	
12N(2) abDOP primer	1212	613	276	115	333			943	792	133	460	208	321	577	349	
	D2S1338		T	******					1		1		1			
	D364330									22		Аще		222010	(
120-year-old Skeletal Remains: Consensus ST <u>R Profile</u>	D361330		12	16	14	20	8	11	15	17	X	Amer	11	22 22 22 22 22 22 22 22 22 22 22 22 22	19	20
120-year-old Skeletal Remains: Consensus STR Profile Femur 005.001 AFDIL-E1 (No WGA)	D3C4330		12	16	14	20 191	8	11 252	15	17	X 460	Y 631	11 212	22 22 20 20 20 20 20 20 20 20 20 20 20 2	19	20
120-year-old Skeletal Remains: Consensus STR Profile Femur 005.001 AFDIL-E1 (No WGA) 10N dcDOP primer	0000		12	16	14	20 191 147	8	1 11 252 462	15	17	X 460 1800	Y 631 1117	11 212 680	12 137 493	19 117	20
120-year-old Skeletal Remains: Consensus STR Profile Femur 005.001 AFDIL-E1 (No WGA) 10N dcDOP primer 12N abDOP primer	0261330		12 1198 776	16 338 362	14	20 191 147 461	8	11 252 462 305	15 148	17 17 454 166	X 460 1800 1022	Y 631 1117 1998	11 212 680 683	12 137 493 675	19 117 268	20 266 362

Table 28: DOP-PCR WGA of degraded DNA from 120-year-old historical human skeletal remains (femur): Comparison of RFU peak heights obtained with the10N dcDOP primer, 12N abDOP primer, and 12N(2) abDOP primer. Amount of DNA added to DOP-PCR reaction was 489pg. Numbers in red represent original RFU values prior to subjecting the sample to DOP-PCR.


Figure 23A: STR typing results for degraded DNA from 120-year-old historical human skeletal remains (femur) **prior to DOP-PCR**, with 489pg of input DNA (Table 28).

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Figure 23B:

Electropherogram results for DOP-PCR WGA of degraded DNA from 120year-old historical human skeletal remains (femur) with the**10N dcDOP primer**. Amount of DNA added to DOP-PCR reaction was 489pg. No artifact peaks were observed, and recovered alleles were consistent with the previouslyconstructed consensus profile (Table 27).



Figure 23C:

Electropherogram results for DOP-PCR of degraded DNA from 120-year-old historical human skeletal remains (femur) with the12N abDOP primer. Amount of DNA added to DOP-PCR reaction was 489pg. A few artifact peaks were observed, including a drop-in allele (allele 19) at the D18S51 locus that had not previously been observed in any of the 50 samples used to construct the consensus profile (Table 27).





Table 29 (below) depicts DOP-PCR results of another 120-year-old historical bone (tibia). As was mentioned previously with the sample described in Table 28, a higher quantity of input template DNA (e.g. 1ng) would have been preferable, but sufficient volume of extract was not available to carry out the comparison DOP-PCR reactions using each of the three modified primers. Again, using 1ng of input template likely would have further improved the STR typing results. However, even when less than 1ng was used for the DOP-PCR reaction, the RFU values at most loci increased and several alleles that had previously dropped out of the profile were recovered (similar to the results with the femur sample in Table 28). Once again, the majority of the alleles that were recovered as a result of DOP-PCR were consistent with the alleles in the compiled consensus profile (electropherograms not shown).

	D8S1179		D21S11		028270	CSF1PO		D3S1358		ТНО1		D13S317		D16S539		
120-year-old Skeletal Remains: Consensus STR Profile	13	28	32	9	11	9	12	17	18	6	9	11	12	9	11	
Tibia 018.002 AFDIL-E1 (No WGA)	723	231	89					449	200	89	92	138	180	87	178	
10N dcDOP primer	1433	344		103	199			801		590	383	673	433			
12N abDOP primer	2275	142	122	119	127			781	700	547	532		242	725	341	
12N(2) abDOP primer	1568	218	209	399	99	l		755	498	336	293	353			146	
	D2S1338		D19S433		vWA		ТРОХ		D18S51		Amel		D5S818		FGA	
120-year-old Skeletal Remains: Consensus STR Profile			12	16	14	20	8	11	15	17	X	Y	11	12	19	20
Tibia 018.002 AFDIL-E1 (No WGA)					172	192		88			567	333	110	170	181	
						1					1000					1/0
10N dcDOP primer			365		441	188		979		214	1380	225	250	351	387	462
10N dcDOP primer 12N abDOP primer			365 158		441 443	188 162		979 501		214 341	1380 1303	225 810	250 432	351 415	387 286	462 263

Table 29: DOP-PCR WGA of degraded DNA from 120-year-old historical skeletal remains (tibia): Comparison of RFU peak heights obtained with the10N dcDOP primer, 12N abDOP primer, and 12N(2) abDOP primer. Amount of DNA added to DOP-PCR reaction was 519 pg. Numbers in red represent original RFU values prior to subjecting the sample to DOP-PCR.

DOP-PCR: Implications for Forensic Casework

The redesign of DOP-PCR primers was hypothesized to improve typing success of degraded DNA and the data support that prediction. The original primer (and 10N dcDOP primer) contained a restriction site because cloning of fragments was desired in the original study. Thus, the restriction site in itself does not contribute to the amplification success and can be removed. If removed, then there is more flexibility in primer design. In addition, the original primer (i.e. 3' end of the primer) design will identify on average a site in the genome approximately every 4000 bases. Thus, the original primer could be effective for relatively intact DNA; however, forensic samples may be degraded and such long fragments may not be available for DOP-PCR. The newly designed primers are designed to sit on average approximately every 256 bases and thus could amplify shorter fragments.

The methods employed in the studies herein increased the sensitivity of detection of DNA typing. However, as with any samples with low amounts of template DNA that are subjected to increased sensitivity of detection analyses, exaggerated stochastic effects were observed. These effects manifested as heterozygote allele peak height imbalance, allele dropout, and increased stutter. Also, allele drop-in was observed. These properties are inherent in low template or LCN typing assays and are not novel observations. Thus no new artifacts were observed. Such effects, however, will impact the ability to interpret results and apply reliable statistical assessments. They are random and may not be observed consistently from multiple aliquots of the same sample with the levels of DNA and sampling variance inherent in such systems. Statistical models that incorporate uncertain events (e.g., peak area/height, drop-in, dropout, stutter etc.) have been proposed to assess the probability of observed results (for example see 46). Studies to quantify the uncertain events effectively are needed to employ a statistical model.

IV. Conclusions

Forensic samples can experience destructive taphonomic conditions, and thus have often endured extensive microbial and environmental insults. Consequently, the DNA in these environmentally-damaged samples frequently contains multiple complex lesions and may be highly fragmented. Previous studies on repairing DNA focused primarily on damaging extracted or naked DNA. We focused on damaging DNA in its native state. To do so entailed extensive studies on conditions to damage DNA while it is still complexed with other cellular molecules. Conditions are described in this report on how to damage such DNA and these can serve as a guide for others who desire to study DNA damage and repair.

The PreCR^{$^{\text{M}}$} Repair Mix appeared to be challenged by myriad states of DNA damage that may be encountered in forensically-relevant samples. Considering that the amount of sample available in forensic cases is often limited, using 10-20µl of this valuable extract for PreCR^{$^{\text{M}}$} repair seems to be premature for casework applications, given the assay's varied results. However, additional strategies do exist for potentially improving STR profiles of degraded and/or low-copy templates. Our assessment is that the unpredictable and variable results obtained in our PreCR^{$^{\text{M}}$ </sup> DNA repair experiments indicate that it is more prudent to focus on amplifying existing *intact* template in low-copy or degraded samples as opposed to trying to repair damage. We were successful in using a modified DOP-PCR to improve STR profiling of damaged DNA from environmentally-exposed bloodstains and skeletal remains. Rather than a prior recommendation not to exceed 100pg of input DNA (23) because of observed excessive artifacts, our results, with different primer design, indicated that up to 1ng of template can be added without production of excessive artifacts in the resultant electropherograms (especially when the candidate samples are severely degraded and have previously produced very low-RFU peak heights or partial profiles). However, the same stochastic and contamination effects observed with LCN typing were observed in the amplified samples. Future investigations might involve comparing results obtained from these DOP-PCR studies to a 2008 Cold Spring Harbor protocol (which involves "re-charging" the low-stringency PCR product with additional reagents before proceeding with high-stringency thermal cycling). It has been purported that addition of a newly-prepared master mix of PCR reagents to the low-stringency WGA product is necessary to provide sufficient resources for subsequent high-stringency cycles (i.e., because some of these reagents may have been depleted/exhausted during the first 5 cycles, thereby limiting the amount of product that can be produced in the second phase of DOP-PCR) (20).

Another potential strategy that could help mitigate and account for the stochastic effects observed in DOP-PCR of degraded and LCN templates is to perform independent replicate amplifications of each sample. Performing replicate DOP-PCR reactions could assist in the generation of a consensus STR genotype, and would help compensate and account for alleles that may drop in or out of the profile. This recommendation, however, assumes that sufficient template/extract is available for replicate DOP-PCR reactions. Lastly, large sample studies will be needed to estimate, if feasible, the rates of drop-in, dropout, and increased stutter if a statistical model is to be applied to WGA treated samples.

In late 2012, Zong et al (60) described a novel WGA method termed Multiple Annealing and Looping-Based Amplification Cycles (MALBAC). The methodology is based on quasilinear preamplification to reduce the bias often associated with nonlinear amplification. Their results with MALBAC demonstrate successful amplifification of picogram quantities of DNA. However, DNA fragment sizes in the 10-100kb in size are required as starting templates for MALBAC reaction (60). Since these fragment sizes are substantially larger than those typically encountered in degraded samples, MALBAC is not likely a candidate for use in forensic casework. But the fact that it showed promise for minute quantities of DNA may suggest some specialized applications.

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VI. Dissemination of Research Findings

Presentations

Promega 22nd International Symposium on Human Identification October 2011 (National Harbor, MD)

• Poster presentation: "Assessing the Role of DNA Repair in Forensically Revelant Samples." A.Ambers, R.Benjamin, M.Turnbough, and B.Budowle

National Institute of Justice (NIJ) Annual Conference June 2012 (Arlington, VA)

• Poster presentation: "Assessing the Role of DNA Repair in Forensically Revelant Samples." A.Ambers, R.Benjamin, M.Turnbough, and B.Budowle

Promega 23rd International Symposium on Human Identification October 2012 (Nashville, TN)

> • Poster presentation: "Assessing the Role of DNA Repair and Whole Genome Amplification (WGA) in Forensically Revelant Samples." A.Ambers, R.Benjamin, M.Turnbough, and B.Budowle

American Academy of Forensic Sciences (AAFS) 65th Annual Scientific Meeting NIJ Grantees Meeting, February 2013 (Washington D.C.)

• Oral presentation: "Assessing the Role of DNA Repair and Whole Genome Amplification (WGA) in Forensically Relevant Samples." A.Ambers and B.Budowle

American Academy of Forensic Sciences (AAFS)

NIJ Grantees Live Webinar: Current Forensic Research Seminar Series "Tarnished Gold Standard: Limited Quantity and Degraded DNA, Part I"

(Three separate webinars: May 7, 14, and 16, 2013)

• Webinar oral presentation: "Addressing Quality and Quantity: the Role of DNA Repair and Whole Genome Amplification (WGA) in Forensically Relevant Samples." A.Ambers and B.Budowle

Publications

- **Manuscripts covering the following topics are in progress**
- "Assessment of the Role of DNA Repair in Forensically Relevant Samples"
- "Improved DOP-PCR for Amplification of Degraded DNA in Environmentally-damaged bloodstains and Human Skeletal Remains"

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VII. Participating Scientists and Collaborations

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