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ANNUAL REPORT OF THE  
CENTRALIZED SUBSTANCE ABUSE ASSESSMENT PROJECT

September 1994 - June 1995

Iowa Medical and Classification Center  
Mid-Eastern Council on Chemical Abuse

Barbara McDonald, MSW, CAC II  
MECCA Supervisor

## PROJECT OVERVIEW

In 1993-94, a research and demonstration project undertaken by the Iowa Department of Corrections (DOC), the Iowa Department of Public Health (DPH), the division of Criminal and Juvenile Justice Planning (CJJP), and the Mid-Eastern Council on Chemical Abuse (MECCA) led to the establishment of a centralized substance abuse assessment unit at the Iowa Medical and Classification Center (IMCC). Funded by a grant from the Governor's Alliance on Substance Abuse, and with additional support from IMCC and the DOC, Mecca provided over 1300 substance abuse assessments from September 1994 through June 1995.

In order to understand as fully as possible both treatment needs and resources, MECCA and IMCC staff visited all of the treatment programs in the Iowa correctional institutions. The assessment tool was revised and refined to reflect both the characteristics of the prison population and the treatment available. As well, the assessment tool incorporated levels of care criteria found in the DPH adult placement screening tool, again revised to reflect the special circumstances of the prison population. Close supervision helped ensure consistent treatment recommendations.

From the September to June time period, 1322 assessments were completed. Monthly records of recommendations made were kept, and are included in this report. Demographic information from 1307 assessments was also obtained and is presented here as well. Also included is information regarding type of substances used, prior treatment admissions, use-related arrests, the presence of psychiatric or other addiction issues, and AA/NA attendance. Finally, implications are drawn from the data presented for future treatment needs, and other areas of concern.

## DEMOGRAPHICS

Data was collected on 1307 inmates from September 1994 through June 1995. Of the 1307, 1138 (87.07%) were male and 169 (12.93%) were female.

By race, the breakdown was as follows:

White	70.70%
Black	22.95%
Native American	2.52%
Asian	0.61%
Other	3.21%

When employment rate was looked at, fully 30% of inmates had not been employed at all in the six months before incarceration. Those employed from 1 to 5 months in the last six months accounted for 39.02%. Only 30.83% had been employed for the full six months.

The highest grade completed ranged from 4 to 18 years.

Grades 4-11	36.57%
Grade 12/GED	48.20%
Grades 13-15	13.54%
Grades 16 +	1.68%

In summary, the great majority of inmates were white and male, although blacks were a far greater proportion of the prison population than they are general population in Iowa. The majority of inmates had not been fully employed in the six months before incarceration. Nearly two-thirds of inmates had at least twelfth grade education or GED, but less than 2% had completed college. Sex and race data remain consistent with other studies. It appears that educational and vocational deficits are an issue for many inmates.

## SUBSTANCE USE

The following chart shows primary, secondary and tertiary substance use as reported by inmates.

	<u>Primary</u>	<u>Secondary</u>	<u>Tertiary</u>	<u>Total</u>
Alcohol	60.60%	13.61%	7.73%	81.94%
Cocaine	11.02%	16.83%	3.67%	31.52%
Marijuana	9.26%	29.91%	16.30%	55.47%
Methamphetamine	9.18%	3.60%	10.25%	23.03%
Heroin/Opiates	0.76%	0.84%	1.53%	3.13%
Sedatives	0.23%	0.99%	1.15%	2.37%
Hallucinogens	0.15%	0.31%	0.92%	1.38%
Other*	0.23%	0.92%	1.07%	2.22%
None	8.57%	32.98%	57.38%	NA
TOTAL	100.00%	100.00%	100.00%	NA

As in the previous CJJP study, alcohol continues to be the most frequently abused. Cocaine is slightly more likely to be the primary problem than is marijuana, but marijuana is more often the secondary or tertiary problem.

There has been a significant rise in methamphetamine use. In the 1994 CJJP study, approximately 4% of inmates were identified as amphetamine abusive. This study did not differentiate between types of amphetamines.

Our current data does make that distinction. The methamphetamine data shown in the above chart was further broken down to determine whether there had been changes in use frequency over the past year. The following results were obtained:

	Sept. - Dec. 1994	Jan. - June 1995
Primary	5.90%	10.18%
Secondary	2.62%	3.89%
Tertiary	4.92%	11.88%
Total	13.44%	25.95%

There appears to be an increasing pattern of use, with an almost doubling of use shown above. The implications of this will be explored in a later section.

#### USE RELATED INFORMATION

Inmates reporting psychiatric problems consisted of 16.22%, while 83.78% did not report psychiatric problems.

Inmates who were multiply addicted, such as sex offenders, gamblers, or those with eating disorders totaled 4.06% with 95.94 reporting none.

Intravenous drug use has occurred in 22.11% of inmates interviewed.

AA or NA attendance before incarceration was reported by only 19.36% of inmates. The vast majority, 80.64% reported no attendance. The following chart breaks attendance down further.

Per Month Meetings	
0 meetings	80.64%
1-3 meetings	3.98%
4-10 meetings	9.95%
11-20 meetings	4.13%
21-29 meetings	1.30%

Previous substance abuse treatment admissions was another area looked at. In the 1994 CJJP study, 61.76% of inmates had had at least one previous treatment. This is consistent with our current data showing 57.08% of inmates having had previous treatment.

0 admissions	42.92%
1 admission	26.32%
2 admission	13.24%
3 admissions	7.80%
4 admissions	3.98%
5 +	5.74%

It appears that there is a group of about 10% who have a chronic pattern of treatment admissions (four or more). Many of these inmates report ongoing problems with relapse, and may be appropriate for some type of relapse prevention program. MECCA staff identified 20 out of 165 (12.12%) inmates seen in June 1995 who appeared to meet criteria for a relapse prevention recommendation. Again, the implications of this will be discussed further.

## **SUBSTANCE ABUSE AND ARRESTS**

The number and types of arrests within the 12 months before incarceration are delineated below. The 1307 inmates interviewed reported a total of 1531 arrests.

### **Number of Arrests Per Year:**

0	6.40%
1-2	65.19%
3-4	19.20%
5-7	7.27%
8 +	2.22%

### **Type of Arrest**

OWI:	6.40%
Drug/Alcohol	21.95%
Other-under influence	28.93%
Non-use related	42.72%

In the CJJP study, over 60% of inmates were incarcerated for a substance use-related offense. While we included more than just the offense inmates were incarcerated for in our current data, we obtained consistent results; 57.28% of arrests were use-related. Clearly, substance abuse continues to have a major impact on the prison population.

## TREATMENT RECOMMENDATIONS

Treatment recommendations were made for both institutional setting and upon release. Institutional recommendations include residential treatment, outpatient treatment, education, and no treatment. Recommendations at release include residential treatment, outpatient treatment, halfway house, aftercare, and no treatment. In addition, we may recommend residential or outpatient treatment that could take place either in the institution or upon release. These recommended levels of care are further explained in the "How Level of Care is Determined" section.

We found a consistent increase in recommendations for residential treatment, both in the institution and in institution/release. For institution, it rose from 22% to 32% from October of 1994 to June 1995. For institution/release, it rose from 12% to 20%. Outpatient treatment in the institution and institution/release remained fairly constant during this time period, at about 15% and 3% respectively. The education recommendation was made for 8-9% of inmates in 1994, but for 5-6% in 1995. No treatment in the institution was recommended for over 40% of inmates initially, but fell to less than 24% by June 1995 after a consistent decline.

For care upon release, the residential recommendation has dropped steadily from over 7% in October 1994, to a little over 2% by June 1995. Halfway House recommendations have stayed fairly constant, between 47% and 53%. Outpatient recommendations, always small, have declined from over 4% to less than 2%. Aftercare recommendations have steadily increased from less than 40% to over 75%. The no treatment recommendation has declined as well, from 28% to 17%.

In summary, our recommendations for more intensive types of treatment and aftercare have increased. Moderate level treatment recommendations remained fairly constant. Less intensive types of treatment recommendations have decreased. And there are fewer inmates for whom treatment is not recommended.

In the CJJP study, 55% of inmates assessed were recommended for some type of substance abuse intervention while incarcerated, and almost 80% for treatment upon release. Total current recommendations are that over 75% of inmates receive some type of treatment while in the institution, and almost 85% receive services upon release.

There may be several reasons for the trends noted above. One is that we may be seeing an increase in substance abuse severity. The increase noted in methamphetamine use would point to this. Another reason might be that as MECCA staff gained in experience and knowledge, both of the population and of treatment resources available, more appropriate recommendations were made. Yet another reason might be that as MECCA staff became an increasingly familiar part of the classification process, inmates felt more comfortable in providing accurate information. Finally, this may also reflect the increasing communication and information-sharing between MECCA and DOC staff. It will be interesting to note whether these trends continue, and important to continue monitoring the types and severity of substance use that is being reported.

## **GENDER AND RACE ISSUES**

Although the majority of inmates are white males, there are significant numbers of women and minorities. Data has been collected on these groups, which will be utilized at a later date to provide us with the information on important similarities and differences. Further information in this area will be forthcoming.

## **CONCLUSIONS**

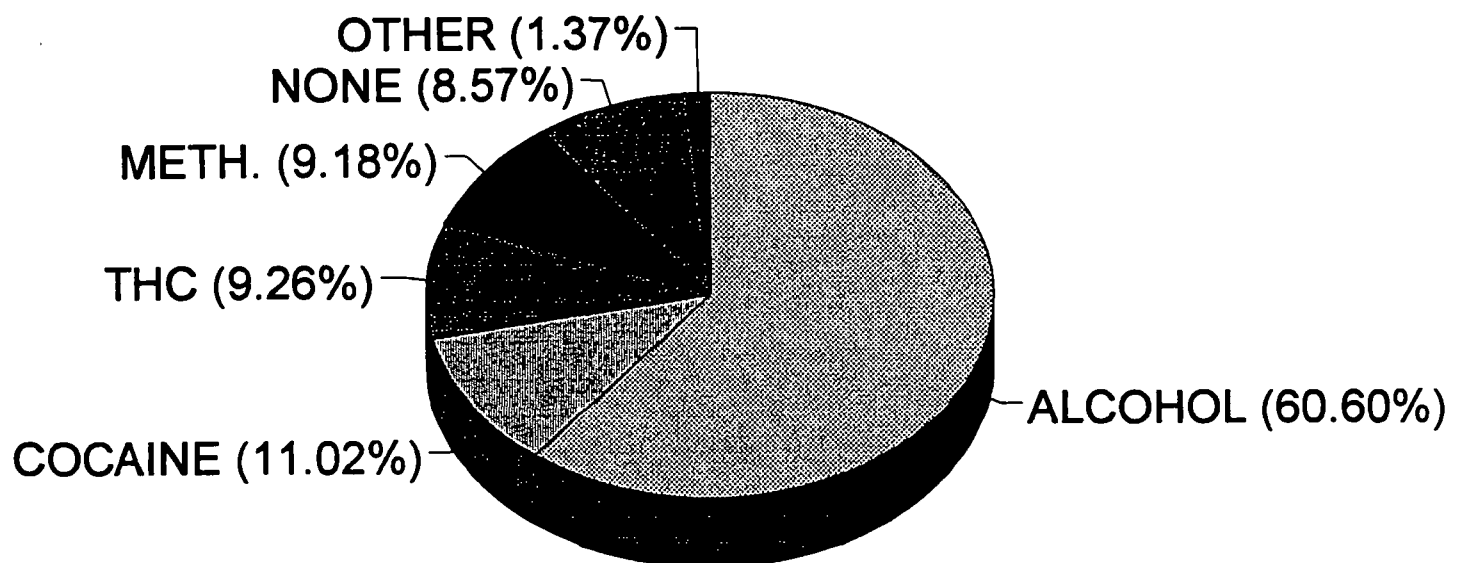
Much of the data gathered during the Centralized Substance Abuse Assessment Project's first year of operation is consistent with the data generated from the CJJP study and others.

The most significant differences are found in the increase in methamphetamine addiction and the increased number of recommendations made for treatment within the institution. As discussed before, it will be important to monitor use trends, and to be prepared to address the perhaps unique treatment needs of methamphetamine dependent persons. Effects of methamphetamine use include long periods of sleep deprivation, extreme weight loss, paranoia, hallucinations, memory loss, increased irritability, irrationality and violence. In addition, it is highly addictive, and onset of negative consequences often occurs quite rapidly. While people are under the influence of methamphetamines, they are often quite reluctant to seek treatment, and it may take weeks or months for impairments in judgement and other cognitive areas to resolve themselves once use is stopped. Many inmates interviewed recently have directly linked their incarceration and criminal behavior to their methamphetamine use. Further study is needed in this area.

We have attempted to identify inmates within the substance abusing population who may benefit from relapse prevention treatment. Much work has been done in the addiction field regarding treatment interventions in this area. Relapse prevention may well be a cost-effective option.

Vocational and educational deficits are of course an issue in the inmate population. A minority reports psychiatric problems or multiple addictions as well. Few inmates appear to be utilizing support services such as AA in the community. The majority of arrests reported are use-related. Clearly not all of these issues can be addressed within the institution. What suggests itself is a comprehensive response including coordination of multiple resources within the institution and communities. MECCA is proud to be part of such an effort. The Centralized Substance Abuse Assessment Project has been doubled for FY 1996. We are pleased to continue our partnership with DOC in the challenges we face ahead.

# PRIMARY DRUG PROBLEM





**Recommended Level of Care and Criteria:**

Institutional

420 a. Residential substance abuse treatment in institution (31.77%)  
273 b. Residential substance abuse treatment in institution or at release (20.65%)  
190 c. Outpatient substance abuse treatment in institution (14.37%)  
48 e. Outpatient substance abuse treatment in institution or at release (3.64%)  
75 g. Education and information in institution (5.67%)  
316 h. No Treatment (23.90%)

**1322 TOTAL (100%)**

Release

30 b. Residential substance abuse treatment at release (2.27%)  
702 d. Halfway House with substance abuse treatment at release (53.10%)  
23 e. Outpatient substance abuse treatment at release (1.74%)  
1019 f. Aftercare at release (77.08%)  
221 h. No Treatment (16.72%)

**1995 TOTAL (Total is higher than 1322 since some clients are given more than one recommendation at discharge, usually halfway and aftercare services)**

**Recommended Level of Care and Criteria:**

Institutional

58 a. Residential substance abuse treatment in institution (35.15%)  
29 b. Residential substance abuse treatment in institution or at release (17.58%)  
25 c. Outpatient substance abuse treatment in institution (15.15%)  
5 e. Outpatient substance abuse treatment in institution or at release (3.03%)  
9 g. Education and information in institution (5.45%)  
39 h. No Treatment (23.64%)  
165 TOTAL (100%)

Release

3 b. Residential substance abuse treatment at release (1.82%)  
79 d. Halfway House with substance abuse treatment at release (47.88%)  
3 e. Outpatient substance abuse treatment at release (1.82%)  
138 f. Aftercare at release (83.64%)  
25 h. No Treatment (15.15%)  
248 TOTAL (Total is higher than 165 since some clients are given more than one recommendation at discharge, usually halfway and aftercare services)

**Recommended Level of Care and Criteria:**

**Institutional**

- 46 a. Residential substance abuse treatment in institution (32.39%)
- 26 b. Residential substance abuse treatment in institution or at release (18.31%)
- 24 c. Outpatient substance abuse treatment in institution (16.90%)
- 5 e. Outpatient substance abuse treatment in institution or at release (3.52%)
- 8 g. Education and information in institution (5.64%)
- 33 h. No Treatment (23.24%)
- 142 TOTAL (100%)

**Release**

- 1 b. Residential substance abuse treatment at release (.70%)
- 64 d. Halfway House with substance abuse treatment at release (45.07%)
- 3 e. Outpatient substance abuse treatment at release (2.11%)
- 117 f. Aftercare at release (82.39%)
- 23 h. No Treatment (16.20%)
- 208 TOTAL (Total is higher than 142 since some clients are given more than one recommendation at discharge, usually halfway and aftercare services)

We also made the following recommendations:

Relapse Prevention:	13
Mental Health:	26
UA's:	26
Breathalyzers:	1
Anger Management:	5
Batterer's:	4
Sex Offenders:	13
MOA/AA/NA:	4
Medical:	1

**Recommended Level of Care and Criteria:**Institutional

- 12 a. Residential substance abuse treatment in institution (52.17%)
- 3 b. Residential substance abuse treatment in institution or at release (13.04%)
- 1 c. Outpatient substance abuse treatment in institution (4.35%)
- 0 e. Outpatient substance abuse treatment in institution or at release (0.00%)
- 1 g. Education and information in institution (4.35%)
- 6 h. No Treatment (26.09%)
- 23 TOTAL (100%)

Release

- 2 b. Residential substance abuse treatment at release (8.70%)
- 15 d. Halfway House with substance abuse treatment at release (65.22%)
- 0 e. Outpatient substance abuse treatment at release (0.00%)
- 21 f. Aftercare at release (91.30%)
- 2 h. No Treatment (8.70%)
- 40 TOTAL (Total is higher than 23 since some clients are given more than one recommendation at discharge, usually halfway and aftercare services)

We also made recommendations:

Relapse Prevention:	7
Mental Health:	11
UA's:	11
Anger Management:	1
Batterer's:	1

**Recommended Level of Care and Criteria:**

**Institutional**

362 a. Residential substance abuse treatment in institution (31.29%)  
244 b. Residential substance abuse treatment in institution or at release (21.09%)  
165 c. Outpatient substance abuse treatment in institution (14.26%)  
43 e. Outpatient substance abuse treatment in institution or at release (3.72%)  
66 g. Education and information in institution (5.70%)  
277 h. No Treatment (23.94%)  
1157 TOTAL (100%)

**Release**

27 b. Residential substance abuse treatment at release (2.33%)  
623 d. Halfway House with substance abuse treatment at release (53.85%)  
20 e. Outpatient substance abuse treatment at release (1.73%)  
881 f. Aftercare at release (76.15%)  
196 h. No Treatment (16.94%)  
1747 TOTAL (Total is higher than 1157 since some clients are given more than one recommendation at discharge, usually halfway and aftercare services)

**Recommended Level of Care and Criteria:**

**Institutional**

82 a. Residential substance abuse treatment in institution (37.61%)  
49 b. Residential substance abuse treatment in institution or at release (22.48%)  
30 c. Outpatient substance abuse treatment in institution (13.76%)  
7 e. Outpatient substance abuse treatment in institution or at release (3.21%)  
12 g. Education and information in institution (5.50%)  
38 h. No Treatment (17.43%)  
218 TOTAL (100%)

**Release**

2 b. Residential substance abuse treatment at release (0.92%)  
145 d. Halfway House with substance abuse treatment at release (66.51%)  
2 e. Outpatient substance abuse treatment at release (0.92%)  
189 f. Aftercare at release (86.70%)  
32 h. No Treatment (14.68%)  
370 TOTAL (Total is higher than 218 since some clients are given more than one recommendation at discharge, usually halfway and aftercare services)

**Recommended Level of Care and Criteria:****Institutional**

- 68 a. Residential substance abuse treatment in institution (35.05%)
- 48 b. Residential substance abuse treatment in institution or at release (24.74%)
- 26 c. Outpatient substance abuse treatment in institution (13.40%)
- 7 e. Outpatient substance abuse treatment in institution or at release (3.61%)
- 12 g. Education and information in institution (6.19%)
- 33 h. No Treatment (17.01%)
- 194 TOTAL (100%)

**Release**

- 2 b. Residential substance abuse treatment at release (1.03%)
- 127 d. Halfway House with substance abuse treatment at release (65.46%)
- 2 e. Outpatient substance abuse treatment at release (1.03%)
- 168 f. Aftercare at release (86.60%)
- 29 h. No Treatment (14.95%)
- 328 TOTAL (Total is higher than 194 since some clients are given more than one recommendation at discharge, usually halfway and aftercare services)

We also made the following recommendations:

UA's:	37	AA:	2
Breathalyzer:	3	ACOA:	1
Mental Health:	48		
Sex Offender:	18		
Batterer's:	22		
Anger Mangament:	5		
Medical:	6		
Antabuse:	5		

**Recommended Level of Care and Criteria:**

**Institutional**

- 14 a. Residential substance abuse treatment in institution (58.33%)
- 1 b. Residential substance abuse treatment in institution or at release (4.17%)
- 4 c. Outpatient substance abuse treatment in institution (16.67%)
- 0 e. Outpatient substance abuse treatment in institution or at release (0.00%)
- 0 g. Education and information in institution (0.00%)
- 5 h. No Treatment (20.83%)
- 24 TOTAL (100%)

**Release**

- 0 b. Residential substance abuse treatment at release (0.00%)
- 18 d. Halfway House with substance abuse treatment at release (75.00%)
- 0 e. Outpatient substance abuse treatment at release (0.00%)
- 21 f. Aftercare at release (87.50%)
- 3 h. No Treatment (12.50%)
- 42 TOTAL (Total is higher than 24 since some clients are given more than one recommendation at discharge, usually halfway and aftercare services)

We also made recommendations:

UA's: 4  
Medical: 1  
Batterers: 2  
Breathalyzer: 1  
Mental Health: 12



**Recommended Level of Care and Criteria:**

Institutional

280 a. Residential substance abuse treatment in institution (29.82%)  
195 b. Residential substance abuse treatment in institution or at release (20.77%)  
135 c. Outpatient substance abuse treatment in institution (14.38%)  
36 e. Outpatient substance abuse treatment in institution or at release (3.83%)  
54 g. Education and information in institution (5.75%)  
239 h. No Treatment (25.45%)  
939 TOTAL (100%)

Release

25 b. Residential substance abuse treatment at release (2.66%)  
478 d. Halfway House with substance abuse treatment at release (50.91%)  
18 e. Outpatient substance abuse treatment at release (1.92%)  
692 f. Aftercare at release (73.70%)  
164 h. No Treatment (17.47%)  
1377 TOTAL (Total is higher than 939 since some clients are given more than one recommendation at discharge, usually halfway and aftercare services)

**Recommended Level of Care and Criteria:**

Institutional

- 70 a. Residential substance abuse treatment in institution (34.83%)
- 46 b. Residential substance abuse treatment in institution or at release (22.89%)
- 29 c. Outpatient substance abuse treatment in institution (14.43%)
- 10 e. Outpatient substance abuse treatment in institution or at release (4.98%)
- 12 g. Education and information in institution (5.97%)
- 34 h. No Treatment (16.92%)

**201 TOTAL**

Release

- 3 b. Residential substance abuse treatment at release (1.49%)
  - 118 d. Halfway House with substance abuse treatment at release (58.71%)
  - 3 e. Outpatient substance abuse treatment at release (1.49%)
  - 175 f. Aftercare at release (87.06%)
  - 22 h. No Treatment (10.95%)
- 321 TOTAL (Total is higher than 201 since some clients are given more than one recommendation at discharge, usually halfway and aftercare services)**

**Recommended Level of Care and Criteria:**

Institutional

- 61 a. Residential substance abuse treatment in institution (34.08%)
- 41 b. Residential substance abuse treatment in institution or at release (22.91%)
- 27 c. Outpatient substance abuse treatment in institution (15.08%)
- 10 e. Outpatient substance abuse treatment in institution or at release (5.59%)
- 12 g. Education and information in institution (6.70%)
- 28 h. No Treatment (15.64%)
- 179 TOTAL (100%)

Release

- 3 b. Residential substance abuse treatment at release (1.68%)
- 105 d. Halfway House with substance abuse treatment at release (58.66%)
- 2 e. Outpatient substance abuse treatment at release (1.12%)
- 155 f. Aftercare at release (86.59%)
- 20 h. No Treatment (11.17%)
- 285 TOTAL (Total is higher than 179 since some clients are given more than one recommendation at discharge, usually halfway and aftercare services)

We also made the following recommendations:

UA's: 26  
Breathalyzer: 4  
Mental Health: 32  
Sex Offender: 15  
Batterer's: 15  
Antabuse: 6  
AA: 2

FEMALE TOTAL FOR APRIL 1995

**Recommended Level of Care and Criteria:**

Institutional

9 a. Residential substance abuse treatment in institution (40.91%)  
5 b. Residential substance abuse treatment in institution or at release (22.73%)  
2 c. Outpatient substance abuse treatment in institution (9.09%)  
0 e. Outpatient substance abuse treatment in institution or at release (0.00%)  
0 g. Education and information in institution (0.00%)  
6 h. No Treatment (27.27%)  
22 TOTAL (100%)

Release

0 b. Residential substance abuse treatment at release (0.00%)  
13 d. Halfway House with substance abuse treatment at release (59.09%)  
1 e. Outpatient substance abuse treatment at release (4.55%)  
20 f. Aftercare at release (90.91%)  
2 h. No Treatment (9.09%)  
36 TOTAL (Total is higher than 22 since some clients are given more than one recommendation at discharge, usually halfway and aftercare services)

We also made recommendations:

UA's: 5

Med: 1

Mental Health: 10

**Recommended Level of Care and Criteria:**

Institutional

210 a. Residential substance abuse treatment in institution (28.46%)  
149 b. Residential substance abuse treatment in institution or at release (20.19%)  
106 c. Outpatient substance abuse treatment in institution (14.36%)  
26 e. Outpatient substance abuse treatment in institution or at release (3.52%)  
42 g. Education and information in institution (5.69%)  
205 h. No Treatment (27.78%)  
738 TOTAL (100%)

Release

22 b. Residential substance abuse treatment at release (2.98%)  
360 d. Halfway House with substance abuse treatment at release (48.78%)  
15 e. Outpatient substance abuse treatment at release (2.03%)  
517 f. Aftercare at release (70.05%)  
142 h. No Treatment (19.24%)  
1056 TOTAL (Total is higher than 738 since some clients are given more than one recommendation at discharge, usually halfway and aftercare services)

**Recommended Level of Care and Criteria:**

Institutional

- 34 a. Residential substance abuse treatment in institution (24.28%)
- 42 b. Residential substance abuse treatment in institution or at release (30.00%)
- 17 c. Outpatient substance abuse treatment in institution (12.14%)
- 7 e. Outpatient substance abuse treatment in institution or at release (5.00%)
- 6 g. Education and information in institution (4.29%)
- 34 h. No Treatment (24.29%)

**140 TOTAL**

Release

- 3 b. Residential substance abuse treatment at release (2.14%)
- 72 d. Halfway House with substance abuse treatment at release (51.43%)
- 1 e. Outpatient substance abuse treatment at release (0.71%)
- 114 f. Aftercare at release (81.43%)
- 25 h. No Treatment (17.86%)
- 215 **TOTAL (Total is higher than 140 since some clients are given more than one recommendation at discharge, usually halfway and aftercare services)**

**Recommended Level of Care and Criteria:**

Institutional

- 30 a. Residential substance abuse treatment in institution (24.39%)  
38 b. Residential substance abuse treatment in institution or at release (30.89%)  
16 c. Outpatient substance abuse treatment in institution (13.01%)  
7 e. Outpatient substance abuse treatment in institution or at release (5.69%)  
5 g. Education and information in institution (4.07%)  
27 h. No Treatment (21.95%)  
123 TOTAL (100%)

Release

- 3 b. Residential substance abuse treatment at release (2.44%)  
65 d. Halfway House with substance abuse treatment at release (52.85%)  
1 e. Outpatient substance abuse treatment at release (0.81%)  
104 f. Aftercare at release (84.55%)  
18 h. No Treatment (14.63%)  
191 TOTAL (Total is higher than 123 since some clients are given more than one recommendation at discharge, usually halfway and aftercare services)

We also made the following recommendations:

UA's: 37  
Mental Health: 24  
Sex Offenders: 10  
Anger Management: 8  
Antabuse: 2  
Batterer's: 13  
Medical: 1  
Litholyzer: 2

**FEMALE TOTAL FOR MARCH 1995**

**Recommended Level of Care and Criteria:**

**Institutional**

- 5 a. Residential substance abuse treatment in institution (23.53%)
- 4 b. Residential substance abuse treatment in institution or at release (23.53%)
- 1 c. Outpatient substance abuse treatment in institution (5.88%)
- 0 e. Outpatient substance abuse treatment in institution or at release (0.00%)
- 1 g. Education and information in institution (5.88%)
- 7 h. No Treatment (41.18%)
- 17 **TOTAL (100%)**

**Release**

- 0 b. Residential substance abuse treatment at release (0.00%)
- 7 d. Halfway House with substance abuse treatment at release (41.18%)
- 0 e. Outpatient substance abuse treatment at release (0.00%)
- 10 f. Aftercare at release (58.82%)
- 7 h. No Treatment (41.18%)
- 24 **TOTAL (Total is higher than 17 since some clients are given more than one recommendation at discharge, usually halfway and aftercare services)**

We also made recommendations:

Mental Health: 5  
UA's: 2

Domestic Violence Support: 2



**Recommended Level of Care and Criteria:**

Institutional

176 a. Residential substance abuse treatment in institution (29.43%)  
107 b. Residential substance abuse treatment in institution or at release (17.89%)  
89 c. Outpatient substance abuse treatment in institution (14.88%)  
19 e. Outpatient substance abuse treatment in institution or at release (3.18%)  
36 g. Education and information in institution (6.02%)  
171 h. No Treatment (28.60%)  
598 TOTAL (100%)

Release

19 b. Residential substance abuse treatment at release (3.18%)  
295 d. Halfway House with substance abuse treatment at release (49.33%)  
14 e. Outpatient substance abuse treatment at release (2.34%)  
413 f. Aftercare at release (69.06%)  
124 h. No Treatment (20.74%)  
866 TOTAL (Total is higher than 598 since some clients are given more than one recommendation at discharge, usually halfway and aftercare services)

**Recommended Level of Care and Criteria:**

Institutional

- 45 a. Residential substance abuse treatment in institution (32.37%)
- 23 b. Residential substance abuse treatment in institution or at release (16.55%)
- 20 c. Outpatient substance abuse treatment in institution (14.39%)
- 8 e. Outpatient substance abuse treatment in institution or at release (5.76%)
- 4 g. Education and information in institution (2.88%)
- 39 h. No Treatment (28.06%)

**139 TOTAL**

Release

- 3 b. Residential substance abuse treatment at release (2.16%)
  - 72 d. Halfway House with substance abuse treatment at release (51.80%)
  - 3 e. Outpatient substance abuse treatment at release (2.16%)
  - 114 f. Aftercare at release (82.01%)
  - 23 h. No Treatment (16.55%)
- 215 TOTAL (Total is higher than 139 since some clients are given more than one recommendation at discharge, usually halfway and aftercare services)**

**Recommended Level of Care and Criteria:**

Institutional

40 a. Residential substance abuse treatment in institution (33.90%)  
19 b. Residential substance abuse treatment in institution or at release (16.10)  
19 c. Outpatient substance abuse treatment in institiution (16.10%)  
7 e. Outpatient substance abuse treatment in institution or at release (5.93%)  
2 g. Education and information in institution (1.70%)  
31 h. No Treatment (26.27%)  
118 TOTAL (100%)

Release

3 b. Residential substance abuse treatment at release (2.54%)  
60 d. Halfway House with substance abuse treatment at release (50.85%)  
2 e. Outpatient substance abuse treatment at release (1.70%)  
100 f. Aftercare at release (84.75%)  
16 h. No Treatment (13.56%)  
181 TOTAL (Total is higher than 118 since some clients are given more than one recommendation at discharge, usually halfway and aftercare services)

We also made the following recommendations:

UA's: 12  
Mental Health: 13  
Sex Offenders: 10  
Anger Management: 4  
Antabuse: 2  
Batterer's: 9  
Medical: 1  
leathalyzer: 3

FEMALE TOTAL FOR <sup>REGISTRY</sup> ~~DECEMBER~~ 1995

**Recommended Level of Care and Criteria:**

Institutional

- 5 a. Residential substance abuse treatment in institution (23.81%)
- 4 b. Residential substance abuse treatment in institution or at release (19.05%)
- 1 c. Outpatient substance abuse treatment in institution (4.76%)
- 1 e. Outpatient substance abuse treatment in institution or at release (4.76%)
- 2 g. Education and information in institution (9.52%)
- 8 h. No Treatment (38.10%)
- 21 TOTAL

Release

- 0 b. Residential substance abuse treatment at release (0.00%)
- 12 d. Halfway House with substance abuse treatment at release (57.14%)
- 1 e. Outpatient substance abuse treatment at release (4.76%)
- 14 f. Aftercare at release (66.67%)
- 7 h. No Treatment (33.33%)
- 34 TOTAL (Total is higher than 21 since some clients are given more than one recommendation at discharge, usually halfway and aftercare services)

We also made recommendations:

Mental Health: 6

UA's: 1

**Recommended Level of Care and Criteria:**

Institutional

131 a. Residential substance abuse treatment in institution (28.54%)  
84 b. Residential substance abuse treatment in institution or at release (18.30%)  
69 c. Outpatient substance abuse treatment in institution (15.03%)  
11 e. Outpatient substance abuse treatment in institution or at release (2.40%)  
32 g. Education and information in institution (6.97%)  
132 h. No Treatment (28.76%)  
459 TOTAL (100%)

Release

16 b. Residential substance abuse treatment at release (3.49%)  
223 d. Halfway House with substance abuse treatment at release (48.58%)  
11 e. Outpatient substance abuse treatment at release (2.40%)  
299 f. Aftercare at release (65.14%)  
101 h. No Treatment (22.00%)  
650 TOTAL (Total is higher than 459 since some clients are given more than one recommendation at discharge, usually halfway and aftercare services)

**Recommended Level of Care and Criteria:**

Institutional

47 a. Residential substance abuse treatment in institution (31.97%)  
31 b. Residential substance abuse treatment in institution or at release (21.09%)  
22 c. Outpatient substance abuse treatment in institution (14.97%)  
3 e. Outpatient substance abuse treatment in institution or at release (2.04%)  
6 g. Education and information in institution (4.08%)  
38 h. No Treatment (25.85%)  
147 TOTAL

Release

4 b. Residential substance abuse treatment at release (2.72%)  
73 d. Halfway House with substance abuse treatment at release (49.66%)  
1 e. Outpatient substance abuse treatment at release (0.68%)  
118 f. Aftercare at release (80.27%)  
25 h. No Treatment (17.01%)  
221 TOTAL (Total is higher than 147 since some clients are given more than one recommendation at discharge, usually halfway and aftercare services)

**Recommended Level of Care and Criteria:**

Institutional

- 40 a. Residential substance abuse treatment in institution (29.85%)
- 31 b. Residential substance abuse treatment in institution or at release (23.13)
- 20 c. Outpatient substance abuse treatment in institution (14.93%)
- 3 e. Outpatient substance abuse treatment in institution or at release (2.24%)
- 6 g. Education and information in institution (4.48%)
- 34 h. No Treatment (25.37%)
- 134 TOTAL (100%)

Release

- 4 b. Residential substance abuse treatment at release (2.99%)
- 64 d. Halfway House with substance abuse treatment at release (47.76%)
- 1 e. Outpatient substance abuse treatment at release (0.75%)
- 107 f. Aftercare at release (79.85%)
- 23 h. No Treatment (17.16%)
- 199 TOTAL (Total is higher than 134 since some clients are given more than one recommendation at discharge, usually halfway and aftercare services)

We also made the following recommendations:

Breathalyzer: 6  
 Antabuse: 6  
 UA's: 18  
 Sex Offenders: 9  
 MH: 14  
 Batterers: 10  
 Medical: 1  
 After Management: 2

**Recommended Level of Care and Criteria:**

Institutional

- 7 a. Residential substance abuse treatment in institution (53.85%)
- 0 b. Residential substance abuse treatment in institution or at release (0.00%)
- 2 c. Outpatient substance abuse treatment in institution (15.38%)
- 0 e. Outpatient substance abuse treatment in institution or at release (0.00%)
- 0 g. Education and information in institution (0.00%)
- 4 h. No Treatment (30.77%)
- 13 **TOTAL**

Release

- 0 b. Residential substance abuse treatment at release (0.00%)
- 9 d. Halfway House with substance abuse treatment at release (69.23%)
- 0 e. Outpatient substance abuse treatment at release (0.00%)
- 11 f. Aftercare at release (84.62%)
- 2 h. No Treatment (15.38%)
- 22 **TOTAL (Total is higher than 13 since some clients are given more than one recommendation at discharge, usually halfway and aftercare services)**

We also made recommendations:

UA's: 1  
Antabuse: 1  
Breathalyzer: 1  
Mental Health Services: 4



**Recommended Level of Care and Criteria:**

Institutional

84 a. Residential substance abuse treatment in institution (26.92%)  
53 b. Residential substance abuse treatment in institution or at release (17.00%)  
47 c. Outpatient substance abuse treatment in institution (15.06%)  
8 e. Outpatient substance abuse treatment in institution or at release (2.56%)  
26 g. Education and information in institution (8.33%)  
94 h. No Treatment (30.13%)  
312 TOTAL (100%)

Release

12 b. Residential substance abuse treatment at release (3.85%)  
150 d. Halfway House with substance abuse treatment at release (48.08%)  
10 e. Outpatient substance abuse treatment at release (3.21%)  
181 f. Aftercare at release (58.01%)  
76 h. No Treatment (24.36%)  
429 TOTAL (Total is higher than 312 since some clients are given more than one recommendation at discharge, usually halfway and aftercare services)

**Recommended Level of Care and Criteria:**

Institutional

31 a. Residential substance abuse treatment in institution (31.63%)  
26 b. Residential substance abuse treatment in institution or at release (26.53%)  
14 c. Outpatient substance abuse treatment in institution (14.29%)  
1 e. Outpatient substance abuse treatment in institution or at release (1.02%)  
6 g. Education and information in institution (6.12%)  
20 h. No Treatment (20.41%)  
98 TOTAL (100%)

Release

1 b. Residential substance abuse treatment at release (1.02%)  
51 d. Halfway House with substance abuse treatment at release (52.04%)  
2 e. Outpatient substance abuse treatment at release (2.04%)  
72 f. Aftercare at release (73.47%)  
19 h. No Treatment (19.39%)  
145 TOTAL (Total is higher than 98 since some clients are given more than one recommendation at discharge, usually halfway and aftercare services)

**Recommended Level of Care and Criteria:**

Institutional

- 27 a. Residential substance abuse treatment in institution (30.00%)
- 25 b. Residential substance abuse treatment in institution or at release (27.78%)
- 13 c. Outpatient substance abuse treatment in institution (14.44%)
- 1 e. Outpatient substance abuse treatment in institution or at release (1.11%)
- 6 g. Education and information in institution (6.67%)
- 18 h. No Treatment (20.00%)
- 90 TOTAL (100%)

Release

- 1 b. Residential substance abuse treatment at release (1.11%)
- 47 d. Halfway House with substance abuse treatment at release (52.22%)
- 2 e. Outpatient substance abuse treatment at release (2.22%)
- 66 f. Aftercare at release (73.33%)
- 17 h. No Treatment (18.89%)
- 133 TOTAL (Total is higher than 90 since some clients are given more than one recommendation at discharge, usually halfway and aftercare services)

We also made the following recommendations:

UA's: 17  
Sex Offender's Treatment: 3  
Mental Health Services: 5  
Breathylzers: 4  
Batterer's: 2

**FEMALE TOTAL FOR DECEMBER 1994**

**Recommended Level of Care and Criteria:**

**Institutional**

- 4 a. Residential substance abuse treatment in institution (50.00%)
- 1 b. Residential substance abuse treatment in institution or at release (12.50%)
- 1 c. Outpatient substance abuse treatment in institution (12.50%)
- 0 e. Outpatient substance abuse treatment in institution or at release (0.00%)
- 0 g. Education and information in institution (0.00%)
- 2 h. No Treatment (25.00%)
- 8 **TOTAL**

**Release**

- 0 b. Residential substance abuse treatment at release (0.00%)
- 4 d. Halfway House with substance abuse treatment at release (33.33%)
- 0 e. Outpatient substance abuse treatment at release (0.00%)
- 6 f. Aftercare at release (50.00%)
- 2 h. No Treatment (16.64%)
- 12 **TOTAL (Total is higher than 8 since some clients are given more than one recommendation at discharge, usually halfway and aftercare services)**

We also made recommendations:

UA's: 2

Mental Health Services: 1

**Recommended Level of Care and Criteria:**

Institutional

53 a. Residential substance abuse treatment in institution (24.77%)  
27 b. Residential substance abuse treatment in institution or at release (12.62%)  
33 c. Outpatient substance abuse treatment in institution (15.42%)  
7 e. Outpatient substance abuse treatment in institution or at release (3.27%)  
20 g. Education and information in institution (9.35%)  
74 h. No Treatment (34.58%)  
214 TOTAL (100%)

Release

11 b. Residential substance abuse treatment at release (5.14%)\*  
99 d. Halfway House with substance abuse treatment at release (46.26%)\*  
8 e. Outpatient substance abuse treatment at release (3.74%)\*  
109 f. Aftercare at release (50.93%)\*  
57 h. No Treatment (26.64%)\*  
284 TOTAL (Total is higher than 214 since some clients are given more than one recommendation at discharge, usually halfway and aftercare services)

\* As a percentage of 214 clients

**Recommended Level of Care and Criteria:**

Institutional

- 23 a. Residential substance abuse treatment in institution (28.75%)
- 11 b. Residential substance abuse treatment in institution or at release (13.75%)
- 16 c. Outpatient substance abuse treatment in institution (20.00%)
- 5 e. Outpatient substance abuse treatment in institution or at release (6.25%)
- 7 g. Education and information in institution (8.75%)
- 18 h. No Treatment (22.50%)
- 80 TOTAL (100%)

Release

- 1 b. Residential substance abuse treatment at release (1.25%)\*
- 26 d. Halfway House with substance abuse treatment at release (45.00%)\*
- 2 e. Outpatient substance abuse treatment at release (2.50%)\*
- 57 f. Aftercare at release (71.25%)\*
- 19 h. No Treatment (23.75%)\*
- 115 TOTAL (Total is higher than 80 since some clients are given more than one recommendation at discharge, usually halfway and aftercare services)

\* As a percentage of 80 clients

**Recommended Level of Care and Criteria:**

Institutional

- 20 a. Residential substance abuse treatment in institution (27.40%)
- 10 b. Residential substance abuse treatment in institution or at release (13.70%)
- 16 c. Outpatient substance abuse treatment in institution (21.92%)
- 5 e. Outpatient substance abuse treatment in institution or at release (6.85%)
- 6 g. Education and information in institution (8.22%)
- 16 h. No Treatment (21.92%)
- 73 TOTAL (100%)

Release

- 1 b. Residential substance abuse treatment at release (1.37%)\*
- 32 d. Halfway House with substance abuse treatment at release (43.84%)\*
- 1 e. Outpatient substance abuse treatment at release (1.37%)\*
- 51 f. Aftercare at release (69.86%)\*
- 18 h. No Treatment (24.66%)\*
- 103 TOTAL (Total is higher than 73 since some clients are given more than one recommendation at discharge, usually halfway and aftercare services)

\* As a percentage of 73 clients

We also made the following recommendations:

Batterer's: 2  
Anger Management: 2  
UA's: 27  
Sex Offender: 4  
Antabuse: 1  
Treatalyzer: 1

FEMALE TOTAL FOR NOVEMBER 1994

Recommended Level of Care and Criteria:

Institutional

- 3 a. Residential substance abuse treatment in institution (42.86%)
- 1 b. Residential substance abuse treatment in institution or at release (14.29%)
- 0 c. Outpatient substance abuse treatment in institution (0%)
- 0 e. Outpatient substance abuse treatment in institution or at release (0%)
- 1 g. Education and information in institution (14.29%)
- 2 h. No Treatment (28.57%)
- 7 TOTAL (100%)

Release

- 0 b. Residential substance abuse treatment at release (0%)\*
- 4 d. Halfway House with substance abuse treatment at release (57.14%)\*
- 1 e. Outpatient substance abuse treatment at release (19.29%)\*
- 6 f. Aftercare at release (85.71%)\*
- 1 h. No Treatment (14.29%)\*
- 12 TOTAL (Total is higher than 7 since some clients are given more than one recommendation at discharge, usually halfway and aftercare services)

\* As a percentage of 7 clients

We also made recommendation for UA's



**Recommended Level of Care and Criteria:**

Institutional

- 30 a. Residential substance abuse treatment in institution (22.39%)
- 16 b. Residential substance abuse treatment in institution or at release (11.94%)
- 17 c. Outpatient substance abuse treatment in institution (12.69%)
- 2 e. Outpatient substance abuse treatment in institution or at release (1.49%)
- 13 g. Education and information in institution (9.70%)
- 56 h. No Treatment (41.79%)
- 134 TOTAL (100%)

Release

- 10 b. Residential substance abuse treatment at release (7.46%)\*
- 63 d. Halfway House with substance abuse treatment at release (47.01%)\*
- 6 e. Outpatient substance abuse treatment at release (4.48%)\*
- 52 f. Aftercare at release (38.81%)\*
- 38 h. No Treatment (28.36%)\*
- 169 TOTAL (Total is higher than 134 since some clients are given more than one recommendation at discharge, usually halfway and aftercare services)

\* As a percentage of 134 clients

We also made recommendations for UA's

## MALES

### Recommended Level of Care and Criteria:

#### Institutional

- 27 a. Residential substance abuse treatment in institution (24.32%)
- 12 b. Residential substance abuse treatment in institution or at release (10.81%)
- 16 c. Outpatient substance abuse treatment in institution (14.41%)
- 2 e. Outpatient substance abuse treatment in institution or at release (1.80%)
- 11 g. Education and information in institution (9.91%)
- 43 h. No Treatment (38.74%)
- 111 TOTAL (99.99%)

#### Release

- 6 b. Residential substance abuse treatment at release (5.41%)\*
- 53 d. Halfway House with substance abuse treatment at release (47.75%)\*
- 5 e. Outpatient substance abuse treatment at release (4.50%)\*
- 47 f. Aftercare at release (42.34%)\*
- 30 h. No Treatment (27.03%)\*
- 141 TOTAL (Total is higher than 111 since some clients are given more than one recommendation at discharge, usually halfway and aftercare services)

\* As a percentage of 111 clients

We also made the following recommendations:

Batterer's Group: 4  
Sex Offender's Group: 1  
Anger Management: 3  
Mental Health Counseling: 9  
Gambling Treatment: 1  
UA's: 13  
PTSD: 1  
A abuse: 1

## FEMALES

### Recommended Level of Care and Criteria:

#### Institutional

- 3 a. Residential substance abuse treatment in institution (13.04%)
- 4 b. Residential substance abuse treatment in institution or at release (17.39%)
- 1 c. Outpatient substance abuse treatment in institution (4.35%)
- 0 e. Outpatient substance abuse treatment in institution or at release (0%)
- 2 g. Education and information in institution (8.70%)
- 13 h. No Treatment (56.52%)
- 23 TOTAL (100%)

#### Release

- 4 b. Residential substance abuse treatment at release (17.39%)\*
- 10 d. Halfway House with substance abuse treatment at release (43.78%)\*
- 1 e. Outpatient substance abuse treatment at release (4.35%)\*
- 5 f. Aftercare at release (21.74%)\*
- 8 h. No Treatment (34.78%)\*
- 28 TOTAL (Total is higher than 23 since some clients are given more than one recommendation at discharge, usually halfway and aftercare services)

\* As a percentage of 23 clients

We also made six recommendations for mental health services and three for UA's

# ADULT PLACEMENT SCREENING

COMPLETION DATE: \_\_\_\_\_

COUNSELOR: \_\_\_\_\_

CLIENT NAME: \_\_\_\_\_

SSN: \_\_\_\_\_

ADDRESS: \_\_\_\_\_

HOME PHONE: \_\_\_\_\_

WORK PHONE: \_\_\_\_\_

1. Program

2. Client Number

Birth Yr. Mo. Day SS Number

AGENCY: \_\_\_\_\_

3. Facility

4. Date of Adm/Eval

Month Day Year

5. Form Usage

10 Crisis  
20 Intake/Adm User  
30 Intake/Adm Concerned

60 Adm User  
70 Adm Concerned

8. Waiting Time for Treatment:

000-999 Days

9.  Birthdate  
Month Day Year

10.  Live in Iowa 1 Yes 2 No

11.  What Country 00 Out of State (County codes on back)

12a.  Sex 1 Male 2 Female

12b.  Pregnant 1 Yes 2 No

## USER INFORMATION ONLY

13a.  Living Arrangements  
11 Alone 15 Child(ren) Only  
12 Parents 16 Other Adult(s)  
13 Significant Other Only 17 Other Adult(s) and Child(ren)  
14 Significant Other and Child(ren) 18 Institution  
19 Homeless

13b.  Relationship Status  
1 Single 4 Separated  
2 Married 5 Divorced  
3 Cohabiting 6 Widowed

14a.  Race  
1 White 4 Asian/Pacific Islander  
2 Black 5 Alaskan Native  
3 American Indian 6 Other

14b.  Of Hispanic Origin  
0 Not of Hispanic Origin 3 Cuban  
1 Puerto Rican 4 Other Hispanic  
2 Mexican

15a.  Highest Grade Completed (GED = 12)

15b.  Presently a Student 1 Yes 2 No

16.  Employment Status  
11 Homemaker 16 Employed Part Time (< 25 hrs/wk)  
12 Retired 17 Employed Full Time (≥ 25 hrs/wk)  
13 Disabled  
14 Unemployed (Looking for work in the past 30 days)  
15 Unemployed (Not looking for work in the past 30 days)

17.  Occupation  
0 None 4 Laborers, not Farm  
1 Prof/Managerial 5 Farm Owners/Laborers  
2 Sales/Clerical 6 Service/Household  
3 Crafts/Operatives

18.  Primary Source of Income/Support  
00 None 14 Retirement/Pension  
11 Wages/Salary 15 Disability  
12 Family/Friends 16 Other  
13 Public Assistance

19.  Months Employed During the Last 6 Months  
0 None 1-6 = One to six 8 NA

20.  Days of Work or School Missed in the Last 6 Months Due to Substance Abuse Related Problems  
00 None 01-97 = One to 97 98 NA

21.  Taxable Individual Monthly Income  
0000 None 0001-9997 = \$1 to \$9997 9998 NA

22.  Military Status  
0 None 2 In Reserves  
1 Veteran 3 Active Duty

23.  Health Insurance (May/May Not Cover Alcohol/Drug Treatment)  
1 Yes 2 No

24.  Times Hospitalized During the Last 6 Months  
0 None 1-8 = One to 8

25.  Times Arrested in the Last 12 Months  
0 None 1-8 = One to 8

25a.  For: Operating a motor vehicle while intoxicated

25b.  Non-drug/alcohol related crime while under the influence

25c.  Non-drug/alcohol related crime while not under the influence

25d.  Drug or alcohol related crime

26.  Number of AA, NA or Similar Meetings/Month  
00 None 01-29 = One to 29

27.  Primary Source of Payment (Primary Source of Payment codes on back)

30.  Source of Referral (Source of Referral codes on back)

31.  Is Methadone Maintenance Planned as Part of Treatment  
1 Yes 2 No

32.  IV Drug Use in the Past 1 Yes 2 No

34.  Psychiatric Problem in Addition to Alcohol/Drug Problem  
1 Yes 2 No

35.  Multiple Addictions Other than Alcohol/Drug  
1 Yes 2 No

37.  Environment/Services (Environment/Services codes on back)

37a.  Was this the recommended environment/services  
1 Yes 2 No

37b.  If 37a is no, what was the recommended environment/services (Environment/Services codes on back)

37c.  If recommended environment/services was not utilized, why? (Codes for 37c on back)

	Current Substance	Current Frequency	Current Method	Age of First Use or Alcohol Intic
Primary Problem	39a <input type="text"/>	39b <input type="text"/>	39c <input type="text"/>	39d <input type="text"/>
Secondary Problem	40a <input type="text"/>	40b <input type="text"/>	40c <input type="text"/>	40d <input type="text"/>
Tertiary Problem	41a <input type="text"/>	41b <input type="text"/>	41c <input type="text"/>	41d <input type="text"/>

(Substance, Frequency, Method, and Age codes on back)

47.  Last Substance Abuse Admission Environment  
00 No Previous Admission (Refer to Item 37 for other codes)

48a.  Number of Prior Substance Abuse Admissions During the Last 10 Years  
0 None 1-5 = One to 5

48b.  Months Since Last Discharge  
00 None 01-97 = One to 97 98 NA

# ADMISSION/EVALUATION FORM ITEM CODES

## Codes for Item 11 County

00-Does not live in Iowa		
01-Adair	34-Floyd	67-Monona
02-Adams	35-Franklin	68-Monroe
03-Allamakee	36-Fremont	69-Montgomery
04-Appanoose	37-Greene	70-Muscatine
05-Audubon	38-Grundy	71-O'Brien
06-Benton	39-Guthrie	72-Osceola
07-Black Hawk	40-Hamilton	73-Page
08-Boone	41-Hancock	74-Palo Alto
09-Bremer	42-Hardin	75-Plymouth
10-Buchanan	43-Hamison	76-Pocahontas
11-Buena Vista	44-Henry	77-Polk
12-Butler	45-Howard	78-Pottawattamie
13-Calhoun	46-Humboldt	79-Poweshiek
14-Carroll	47-Ide	80-Ringgold
15-Cass	48-Iowa	81-Sac
16-Cedar	49-Jackson	82-Scott
17-Cerro Gordo	50-Jasper	83-Shelby
18-Cherokee	51-Jefferson	84-Sioux
19-Chickasaw	52-Johnson	85-Story
20-Clarke	53-Jones	86-Tama
21-Clay	54-Keokuk	87-Taylor
22-Clayton	55-Kossuth	88-Union
23-Clinton	56-Lee	89-Van Buren
24-Crawford	57-Linn	90-Wapello
25-Dallas	58-Louisa	91-Warren
26-Davis	59-Lucas	92-Washington
27-Decatur	60-Lyon	93-Wayne
28-Delaware	61-Madison	94-Webster
29-Des Moines	62-Mahaska	95-Winnebago
30-Dickinson	63-Marion	96-Winneshiek
31-Dubuque	64-Marshall	97-Woodbury
32-Emmett	65-Mills	98-Worth
33-Fayette	66-Mitchell	99-Wright

## Codes for Item 27 Primary Source of Payment

00 No Charge	16 Medicare
11 Self-Pay	17 Workman Compensation
12 BC/BS	18 Other Government
13 HMO	19 IDPH
14 Other Health	20 RT/SS
15 Medicaid	99 Unknown

## Codes for Item 30 Source of Referral

21 Self	27 School
22 Other Health Care Provider	28 TASC
23 Comm. Mental Health Clinic	29 OMI
24 Alcohol/Drug Abuse Prov.	30 Other Criminal Justice/Court
25 Other Individual	31 Civil Commitment
26 Employer/EAP	38 Other Community

## Codes for Item 37, 37b Environment/Services

11 Medically Managed Detox	17 Halfway House
12 Medically Monitored Detox	18 Continuing Care
13 Medically Managed Acute Inpt	19 Extended Outpt
14 Primary Residential Treat	20 Intensive Outpt
15 Extended Residential Treat	21 Outpatient Detox
16 Day Treatment	22 Medically Monitored Res.

## Codes for Item 37c Why Recommended Environment Not Utilized

1 Lack of Insurance Benefits	5 Level of Care Not Avail
2 Managed Care Refusal	6 Legal Issues
3 Clinical Judgment	7 Other
4 Patient Option	

## Codes for Items 39a, 40a, 41a Substances

00 None	29 Methamphetamine
21 Alcohol	30 Other Amphetamines
22 Cocaine/Crack	31 Other stimulants
23 Marijuana/Hashish	32 Benzodiazepines
24 Heroin	33 Other Tranquilizers
25 Non-Prescription Methadone	34 Barbituates
26 Other Opiates and Synthetics	35 Other Sedatives/Hypnotics
27 PCP	36 Inhalants
28 Other Hallucinogens	37 Over-the-Counter
	38 Steroids
	48 Other

## Codes for Items 39b, 40b, 41b Frequency

00 No Use in Past Six Months	
10 No Past Month Use	14 Once Daily
11 1-3 Times in Past Month	15 2-3 Times Daily
12 1-2 Times Per Week	16 4+ Times Daily
13 3-6 times Per Week	

## Codes for Items 39c, 40c, 41c Method

1 Oral	4 Injection
2 Smoking	8 Other
3 Inhalation	

## Codes for Items 39d, 40d, 41d Age of First Drug Use or Alcohol Intoxication

01-99

IMCC/MECCA SUBSTANCE ABUSE EVALUATION

Was current incarceration related to substance abuse:

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Living arrangements before incarceration: \_\_\_\_\_

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If minor children, where?: \_\_\_\_\_

Environment returning to: \_\_\_\_\_

Eligible for VA benefits: \_\_\_\_\_

<u>Substance</u>	<u>Age 1st</u>	<u>Meth.</u>	<u>Abstinences</u>	<u>Pattern of Use</u>			<u>Last use</u>
				<u>Historic</u>	<u>30 Days Before Arrest</u>	<u>PTR</u>	
Ethanol							
Offense__							
Marijuana THC							
Offense__							
Cocaine Crack							
Offense__							
Stimulants							
Offense__							

<u>Substance</u>	<u>Age 1st</u>	<u>Meth.</u>	<u>Abstinences</u>	<u>Historic</u>	<u>Pattern of Use Before Arrest</u>	<u>PTR</u>	<u>Last use</u>
Tranquil- izers Offense							
Barbiturates Sedatives Offense							
Heroin/ Methadone Offense							
Other Opiates Offense							
Inhalants Offense							
Hallucin- ogens/PCP Offense							
Steroids Offense							
Caffeine Offense							
Nicotine Offense							
Over the Counter Offense							



## BIO-MEDICAL CONDITIONS AND COMPLICATIONS:

Ongoing (Chronic) Problems?

Rate Current Health:

Effects of substance abuse on your health: liver, pancreatitis, bleeding, other?

Self or family history of:    Bleeding                    Seizures            High Blood Pressure  
 Epilepsy      Cancer            Diabetes      Heart Problem      Hepatitis      HIV  
 Asthma        Migraine        Strokes      Substance Abuse    Suicide        TB-Tested?

Females Only: # of live births \_\_\_\_\_ # of pregnancies \_\_\_\_\_ Use during pregnancy?

Is there a concern about having been exposed to AIDS/HIV? \_\_\_\_\_

Head injuries? \_\_\_\_\_

History of any of the following: Allergies: \_\_\_\_\_  
 Antabuse: \_\_\_\_\_ Hospitalizations: \_\_\_\_\_

<u>Current Medication</u>	<u>Dosage</u>	<u>Reason for Taking</u>	<u>Length of Use</u>
---------------------------	---------------	--------------------------	----------------------

After drinking/using ever experienced:

Overdose      Tremors/Shakes      Sweating  
 Hangovers      Loss of Memory      DT's  
 Increased Tolerance      Seizures

Blackouts      Hallucinations      Passing Out  
 Weakness      Nausea/Vomiting      Anxiety  
 High Blood Pressure

Frequency:

Last Occurrence: \_\_\_\_\_

Recovery Time: \_\_\_\_\_

## INTOXICATION AND WITHDRAWAL POTENTIAL:

## Substance Abuse Treatment:

TYPE	WHERE	WHEN	COMPLETED Y/N	LENGTH OF ABSTINENCE

Have you ever been committed for substance abuse or psychiatric treatment?

Any attempts to quit or reduce drinking/using?

If yes, what happened? \_\_\_\_\_

Ever used medication to detox?

Use of substances to avoid withdrawal?

Ever any substance abuse related accidents or injuries?

Any periods of sobriety?

AA/NA attendance?

Client reaction to AA/NA?

EMOTIONAL AND BEHAVIORAL CONDITIONS:

COMMENTS

Previous Psychological/Psychiatric/Other Counseling:

Where                      When                      Outcome

Any psychiatric diagnosis? How does use affect this?

Any psychiatric symptoms relate to use?

Ever taken any psychiatric medication? What kind? \_\_\_\_\_

Ever experienced suicidal thoughts/attempts? Explain:

Any family history of: mental illness, suicide, psychiatric treatment

Ever experienced:

Mood Swings	Disorientation	Confusion	Eating Disorder	Memory Problems
Altered State	Depression	Anxiety	Anger/Violence	

Response to Anger:

Response to Stress:

TREATMENT ACCEPTANCE/RESISTANCE

What is client requesting?

What is client willing to do?

Ability to utilize treatment?

Risk to self/others?

**RELAPSE POTENTIAL:**

Is your recent drinking/using significantly different from usual pattern?

Have you ever drunk/used more or for longer than you intended to?

On a scale of 1-5 with one being no problem and five being serious problem rate current alcohol/drug use: 1 2 3 4 5.

Explain rating:

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What are the consequences/problems caused by alcohol/drug use:

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What have you given up? \_\_\_\_\_

What do you think your future alcohol or drug use will be like? \_\_\_\_\_

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Family history of substance abuse?

Does partner use/abuse?

Have others expressed concern about drug use?

Difficulty in remaining abstinent?

Relapse History:

Supportive environment/peers for recovery?

Explain: \_\_\_\_\_

RECOVERY ENVIRONMENT

Has use affected:

Education:

Employment:

Military:

Family:

Legally:

ABUSE

Have you ever been a victim of a violent act (physical, emotional, incest, rape)? Explain.

Is current partner violent?

Was violence substance abuse related? Explain:

Have you ever perpetrated violence? Explain:

Was it substance abuse related? Explain :

**ASSESSMENT SUMMARY**

Mental Status      Oriented X 3?

Affect:                       full        restricted        flat  
                                 appropriate        inappropriate to situation and emotional state

Motor activity:             increased        decreased        normalConversation:             logical        illogicalMood:                       euphoric        neutral        low        depressed        angry       argumentative        verbalized motivation       uncooperative        cooperative       agitated        inconsistent        guarded        calm

Specify: \_\_\_\_\_

**Summary and Integration of Key Data.****SUBSTANCE ABUSE SEVERITY**

level of addiction	Slight	1	2	3	4	5	Severe
effect on functioning	Slight	1	2	3	4	5	Severe
age of onset	Old	1	2	3	4	5	Young
family history of substance abuse	Absent	1	2	3	4	5	Chronic

**SUBSTANCE ABUSE LEGAL HISTORY**

chronicity of legal history	Slight	1	2	3	4	5	Severe
severity of legal history	Slight	1	2	3	4	5	Severe

**ENVIRONMENTAL SUPPORT**

family	Strong	1	2	3	4	5	Absent
employer	Strong	1	2	3	4	5	Absent
others	Strong	1	2	3	4	5	Absent
employability	Strong	1	2	3	4	5	Absent

**SPECIAL NEEDS SEVERITY**

dual diagnosis	Absent	1	2	3	4	5	Severe
antisocial	Slight	1	2	3	4	5	Severe
disabilities	Absent	1	2	3	4	5	Severe

Substance Abuse DSM IV diagnosis:

Counselor Impression:

**CRITERIA:****I. Use History**

- a. Severe, life threatening, out of control
- b. Severe, not life threatening
- c. Moderate, not life threatening
- d. In remission, need structure
- e. Moderate, limited control
- f. In remission, has support
- g. Mild
- h. Nonabusive

**II. Biomedical Conditions**

- a. Severe complications
- b. Moderate symptomology related to use
- c. Mild symptomology related to use
- d. No current complications due to use, needs structure
- e. None or mild symptomology
- f. No current complications due to use, has support
- g. No symptomology
- h. No symptomology

**III. Intoxication and Withdrawal Potential**

- a. Severe withdrawal symptoms
- b. Moderate withdrawal symptoms
- c. Mild withdrawal symptoms
- d. No current withdrawal, needs structure
- e. Minimal withdrawal
- f. No current withdrawal, has support
- g. No withdrawal symptoms
- h. No withdrawal symptoms

**IV. Emotional and Behavioral Conditions**

- a. Danger to self/others
- b. Moderate risk of non-lethal behaviors
- c. Mild risk of non-lethal behaviors
- d. Little risk to self/others; needs structure
- e. Mild risk to self/others
- f. Little risk to self/others; needs support
- g. Little risk to self/others
- h. Little risk to self/others

**V. Treatment Acceptance/Resistance**

- a. Documented inability to remain substance-free, at severe risk
- b. Documented inability to remain substance-free
- c. Questionable ability to remain substance-free
- d. Completion of primary treatment; needs additional structure
- e. Able to maintain at least short-term sobriety
- f. Completion of primary treatment; benefit from support
- g. Willing to cooperate and participate
- h. No substance abuse occurring

**VI. Relapse Potential**

- a. Severe risk of relapse without treatment intervention
- b. High risk of relapse without treatment intervention
- c. Moderate risk of relapse without treatment intervention
- d. Commitment to recovery goals, needs structure to avoid relapse
- e. Difficulty maintaining long-term sobriety
- f. Commitment to recovery goals, low risk for relapse
- g. Low risk for continuation of abuse behaviors
- h. No substance abuse occurring

**VII. Recovery Environment**

- a. High-risk environment
- b. Environmental supports inadequate for outpatient success
- c. Moderate-risk environment
- d. Needs additional structure to maintain recovery
- e. Environment may interfere with recovery
- f. Sufficiently supportive environment to benefit from A/C
- g. Skills to obtain adequate support system
- h. Supportive environment or no substance abuse problem

**Recommended Level of Care and Criteria:**

**Institutional**

- ☐ a. Residential substance abuse treatment in institution
- ☐ b. Residential substance abuse treatment in institution or at release
- ☐ c. Outpatient substance abuse treatment in institution
- ☐ e. Outpatient substance abuse treatment in institution or at release
- ☐ g. Education and information in institution
- ☐ h. No Treatment

**Release**

- ☐ b. Residential substance abuse treatment at release
- ☐ d. Halfway House with substance abuse treatment at release
- ☐ e. Outpatient substance abuse treatment at release
- ☐ f. Aftercare at release
- ☐ h. No Treatment

**Counselor Signature** \_\_\_\_\_

**Date:** \_\_\_\_\_

**Supervisor Signature:** \_\_\_\_\_

**Date:** \_\_\_\_\_



Consent to Release Alcohol/Drug Abuse Patient Information  
(Criminal Justice Status Client)

I, \_\_\_\_\_, consent to the release of information regarding my treatment participation and progress by:

Mid-Eastern Council on Chemical Abuse  
(Name of Organization Releasing Information)

to:

Iowa Department of Corrections, Parole Board and Judicial District  
(Name of Judicial District, Parole Agent, etc. Receiving Information)

I consent to this communication between those named above because my involvement in assessment is a condition of (Criminal Justice Status):

( ) Probation ( ) Parole ( ) Court Sentence ( ) Pre-Trial Release

(X) Other Incarceration

I understand the purpose of this consent to communications is to provide the recipient with information regarding my attendance and assessments which may affect my status with the Criminal Justice System. The extent of information to be disclosed is: evaluation results and diagnosis; information about my cooperation with the treatment staff; staff recommendations, and diagnosis

I understand that this consent is valid and cannot be revoked by me until:

( X ) the final disposition of the criminal or civil justice proceeding of which substance abuse services were a condition.

( ) \_\_\_\_\_  
(other earlier time or event when consent can be revoked or expires;  
specify revocation or expiration)

I have been informed that the recipient may use information authorized by this release only in connection with their official duties regarding my criminal justice status; and that the recipient may not make such information available for general investigative purposes, or otherwise use it in unrelated proceedings or make it available for unrelated purposes.

Client's Signature \_\_\_\_\_ Date \_\_\_\_\_ Witness's Signature and Title/Date \_\_\_\_\_

Client's DOB:     /    /      
                  M      D      Y

## **SUBSTANCE ABUSE SEVERITY**

### **LEVEL OF ADDICTION**

1. No use or only experimental use
2. Some use, without pattern
3. Abusive pattern
4. Dependent pattern
5. IV use, chronic use, life-threatening

### **EFFECT ON FUNCTIONING**

1. No consequences related to use
2. 1 or 2 limited consequences related to use
3. Moderate consequences
4. Use related to many life area losses (i.e. employment, relationships, health, legal)
5. Incarceration related to use, severe life consequences, long-term

### **AGE OF ONSET**

1. 21 over
2. 18 over
3. 16 over
4. 12-15
5. 12 and under

### **FAMILY HISTORY OF SUBSTANCE ABUSE**

1. 0
2. One member
3. Two members
4. Three or more
5. Most family, chronic history

### **LEGAL HISTORY (\* NOT JUST SUBSTANCE ABUSE RELATED)**

#### **CHRONICITY**

1. 1-2 arrests
2. 3-4 arrests
3. 5-10 arrests
4. 10-20 arrests
5. 20+

#### **SEVERITY- (Before plea bargain)**

1. Property, non-violent, traffic
2. Repeated non-violent
3. Violent, no weapons
4. Violent, weapons
5. Repeated violence

## **ENVIRONMENTAL SUPPORT**

### **FAMILY**

1. Extended family support- positive
2. Extended family support
3. Multiple
4. One family member supportive
5. No family involvement

### **EMPLOYER**

1. Employment/position being held open
2. Fired/quit conditionally, possible job upon release
3. Fired from job/quit
4. Multiple job loss
5. No work history

### **OTHERS**

1. Continual group affiliation (i.e. AA/NA, church, friends)
2. Groups and friends for support
3. 2 or more friends, occasional attendances in AA, church
4. 1 friend or other
5. 0 friends or supporters

### **EMPLOYABILITY**

1. Highly skilled with continuous employment
2. Stable employment and skills
3. Usually employed, minimal training
4. Sporadic employment, limited training or education
5. Never employed, disabled

## **SPECIAL NEEDS SEVERITY**

### **DUAL DIAGNOSIS (Doesn't include antisocial diagnosis)**

1. No prior diagnosis, no symptoms
2. Present mild symptoms (of i.e. depression, anxiety, hallucinations)
3. Present moderate and prior symptoms
4. Present serious symptoms
5. Chronic or life threatening symptoms

### **ANTISOCIAL**

1. Few anti-social characteristics
2. Moderate anti-social characteristics
3. History of violent assaults
4. Extensive criminal history with history of violence
5. Extensive antisocial behavior and complies with DSMIII-R description and crime was capital offense; extensive

criminal history with history of any of the following:  
armed violence, rape, murder, torture etc.

**DISABILITIES**

1. No symptoms
2. Attending to impairment will counteract effect
3. Impairment impacts employment and other life areas
4. Impairment negates employment, greatly affects other life areas
5. Unable to care for self due to impairment

### How Level of Care is Determined

The recommended level of care for clients is divided into eight categories, from most severe to least severe. These are:

- a. Residential substance abuse treatment in institution
- b. Residential substance abuse treatment in institution or at release
- c. Outpatient substance abuse treatment in institution
- d. Halfway House with substance abuse treatment at release
- e. Outpatient substance abuse treatment in institution or at release
- f. Aftercare at release
- g. Education and Information in institution
- h. No treatment

Recommendations are made for while the inmate is in the institution and also at release.

Our assessment tool explores seven areas of risk. These areas are: use history, biomedical conditions, intoxication and withdrawal potential, emotional and behavioral conditions, treatment acceptance/resistance, relapse potential, and recovery environment. These conform to the standardized placement screening n use across the state. For each area, the client is rated from most severe (a) to least severe (h). Usually then, the final recommendation is based on the highest level of care indicated.

What needs would a typical client have at each level of care? Although our criteria is used as a guideline rather than a set of unbreakable rules, to allow for individual differences, we can, in general, make the following distinctions:

- a) Residential substance abuse treatment in institution - client's use history is severe, life threatening and out of control; severe biomedical and withdrawal complications; a danger to self/others; documented inability to remain substance free accompanied by severe risk; a severe risk of relapse without treatment and high risk environment. Exceptions may be made if a client meets these criteria but has had recent treatment or has had numerous treatments in the past. Often then, we would recommend treatment intervention in the community upon release.
- b) Residential substance abuse treatment in institution or at release - client's use history is severe, but not immediately life threatening; moderate biomedical and withdrawal symptoms; a moderate risk of non-lethal behaviors; a documented inability to remain substance-free; high risk of relapse without treatment; and inadequate environmental supports. This recommendation would be made when clients would benefit equally from treatment either in the institution or at release, or when treatment at release would be preferable. This will be indicated on the assessment form.

- c) Outpatient substance abuse treatment in institution - Client's use history is moderate and not life threatening; mild biomedical and withdrawal symptoms; a mild risk of non-lethal behaviors; a questionable ability to remain substance free; moderate risk of relapse; and a moderate risk environment.
- d) Halfway House with substance abuse treatment at release - client's use is in remission, no current biomedical or withdrawal symptoms; little risk to self/others; completion of primary treatment; and commitment to recovery goals. However, the client needs the structure of halfway house and continuing care to maintain abstinence.
- e) Outpatient substance abuse treatment in institution or at release - client's use history is moderate, with limited control; minimal or no biomedical and withdrawal symptoms; a mild risk to self/others; ability to maintain short-term sobriety, but difficulty with long-term sobriety; and an environment that may interfere with recovery.
- f) Aftercare at release - client's use is in remission; no current biomedical or withdrawal symptoms; little risk to self/others; completion of primary treatment; commitment to recovery goals and at low risk for relapse; and a sufficiently supportive environment to benefit from aftercare.
- g) Education and information in institution - client's use history is mild; no biomedical or withdrawal symptoms; little risk to self/others; willing to participate; low risk for continuing substance abuse behaviors; and skills to obtain an adequate support system.
- h) No treatment - client's use history is non-abusive, and there are no risk factors in other life areas.

**CRITERIA:**

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- ☐ f. Aftercare at release
- ☐ h. No Treatment

**Counselor Signature** \_\_\_\_\_

**Date:** \_\_\_\_\_

**Supervisor Signature:** \_\_\_\_\_

**Date:** \_\_\_\_\_