

EXTRAORDINARY OCCURRENCE REPORT



FY 1989-1990

127470

Request for copies of this report or inquiries concerning its contents
should be directed to:

Kentucky Corrections Cabinet
Planning & Evaluation Branch
5th Floor, State Office Building
Frankfort, Kentucky 40601

502/564-4360

EXTRAORDINARY OCCURRENCE

REPORT

FY 89 - 90

127470

U.S. Department of Justice
National Institute of Justice

This document has been reproduced exactly as received from the person or organization originating it. Points of view or opinions stated in this document are those of the authors and do not necessarily represent the official position or policies of the National Institute of Justice.

Permission to reproduce this copyrighted material has been granted by

Kentucky Corrections Cabinet

to the National Criminal Justice Reference Service (NCJRS).

Further reproduction outside of the NCJRS system requires permission of the copyright owner.

KENTUCKY CORRECTIONS CABINET

JOHN T. WIGGINTON

SECRETARY

TABLE OF CONTENTS

SUMMARY

SECTION I

MINIMUM SECURITY CORRECTIONAL INSTITUTIONS

SECTION II

MEDIUM SECURITY CORRECTIONAL INSTITUTIONS

SECTION III

KENTUCKY STATE PENITENTIARY

SUMMARY

The Extraordinary Occurrence Report for FY 89-90 is based on security level. The occurrence table, which follows, is based on the entire system's reports for the fiscal year.

In FY 90 there were 1431 extraordinary occurrences, an increase of 151 over the previous fiscal year. Increases occurred in the number of assaults on staff, as well as assaults and fighting among inmates. As a result, use of force by staff increased by 100 occurrences. It is also interesting to note that the number of fires in the institutions increased from 19 in FY 89 to 54 in FY 90, with the largest increase occurring in medium security institutions. Decreases occurred in the number of escapes, drugs and other contraband, attempted suicide, and destruction of property.

The primary source for definitions used in this report is the U.S. Justice Department's Dictionary of Criminal Justice Data Terminology. The categories covered by this report, with explanations where appropriate, are the following:

- I. Death
 - A. Natural
 - B. Suicide
 - C. Homicide
 - D. Other
- II. Escape
 - A. Attempted
 - B. Escape
 - C. Escape Apprehension

III. Assault on Staff

- A. **Aggravated Assault:** The unlawful intentional inflicting of serious bodily injury, i.e., injury requiring treatment beyond simple first aid such as broken bones, cuts requiring stitches, all internal injuries, etc.
- B. **Simple Assault:** The unlawful intentional inflicting of less than serious bodily injury.
- C. **Sexual Assault:** Unlawful sexual intercourse or sexual contact through the use of force or threatened use of force.

IV. Inmate Assault

- A. **Aggravated Assault:** The unlawful intentional inflicting of serious bodily injury, i.e., injury requiring treatment beyond simple first aid such as broken bones, cuts requiring stitches, all internal injuries, etc.
- B. **Sexual Assault:** Unlawful sexual intercourse or sexual contact through the use of force or threatened use of force.

V. Dangerous Contraband

Contraband which is capable of such use as may endanger the safety or security of a detention facility, or persons therein, including but not limited to dangerous instruments as defined in KRS 500.080, i.e., any controlled substance, marijuana, escape device, or intoxicant.

- A. Drugs
- B. Weapons
- C. Other

VI. Medical Incidents Involving Serious Injury

- A. Attempted Suicide
- B. Self Mutilation (serious)
- C. Self Mutilation (superficial)
- D. Accidents

VII. Miscellaneous

- A. Furlough related
- B. Destruction or damage of property valued at \$100 or more
- C. Riot: A disturbance involving an assembly of three or more persons acting together or in concert, which by tumultuous and violent conduct, or the imminent threat of tumultuous and violent conduct, results in injury or damage to persons or property or creates a clear and present danger of injury or damage to persons or property.
- D. Fire

VIII. Use of Force by Staff

- A. Use of weapon or gas
- B. Non-routine use of restraints
- C. Physical Contact: Actual force directed toward the body of another person.

IX. Other

The following security level sections will breakdown the incident reports by the various institutions.

EXTRAORDINARY OCCURRENCES
FY 89-90
BY SECURITY LEVEL

| | Maximum Security | Medium Security | Minimum Security | Total |
|--|---------------------|--------------------|---------------------|-------|
| 1. DEATH | | | | |
| a. Natural | 1 | 10 | 1 | 12 |
| b. Suicide | | | | 0 |
| c. Homicide | | | | 0 |
| d. Other | | | | 0 |
| 2. ESCAPE | | | | |
| a. Attempted | 1 | 6 | 2 | 9 |
| b. Escape | 1 | 5 | 64 | 70 |
| c. Escapee apprehension | | 3 | 60 | 63 |
| 3. ASSAULT ON STAFF | | | | |
| a. Aggravated | 11 | 6 | | 17 |
| b. Simple | 48 | 57 | 1 | 106 |
| c. Sexual | 1 | 1 | | 2 |
| 4. INMATE ASSAULT | | | | |
| a. Aggravated | 16 | 59 | 4 | 79 |
| b. Sexual | | 4 | 1 | 5 |
| 5. DANGEROUS CONTRABAND | | | | |
| a. Drugs | 9 | 141 | 10 | 160 |
| b. Weapons | 15 | 28 | | 43 |
| c. Other | 4 | 20 | 3 | 27 |
| 6. MEDICAL INCIDENTS | | | | |
| a. Attempted Suicide | 3 | 11 | | 14 |
| b. Self Mutilation (serious) | 13 | 5 | | 18 |
| c. Self Mutilation (superficial) | 22 | 27 | | 49 |
| d. Accidents | 5 | 105 | 60 | 170 |
| 7. MISCELLANEOUS | | | | |
| a. Furlough Incidents | | 2 | 6 | 8 |
| b. Destruction or Damage of Property over \$100 | 3 | 1 | | 4 |
| c. Riot | | | | 0 |
| d. Fire | 5 | 46 | 3 | 54 |
| 8. USE OF FORCE BY STAFF | | | | |
| a. Use of Weapon or gas | 24 | 4 | | 28 |
| b. Use of Restraints | 132 | 205 | 4 | 341 |
| c. Physical Contact by Staff | 22 | 53 | 3 | 78 |
| 9. INMATE FIGHTING | 26 | 28 | 8 | 62 |
| 10. OTHER | 1 | 8 | 3 | 12 |
| TOTALS | 363 | 835 | 233 | 1431 |

SECTION I

**EXTRAORDINARY OCCURRENCE REPORT
MINIMUM SECURITY CORRECTIONAL INSTITUTIONS**

FY 89 - 90

This report is a summarization of extraordinary incidents occurring in Kentucky's minimum security correctional institutions for FY 89-90. Data has been extracted from Extraordinary Occurrence Report Forms prepared by each institution for each incident.

Kentucky's minimum security institutions are as follows:

Blackburn Correctional Complex
Lexington, Kentucky

Frankfort Career Development Center
Frankfort, Kentucky

Bell County Forestry Camp
Pineville, Kentucky

Western Kentucky Farm Center
Fredonia, Kentucky

Marion Adjustment Center
St. Mary's, Kentucky

Community Service Centers
Located throughout the state

The institution with the largest number of reported incidents for FY 89-90 was the Marion Adjustment Center. This institution also had the highest number of incidents for FY 88-89. The Marion Adjustment Center accounted for 39 percent of all the reported incidents. Blackburn Correctional Complex had the second highest number of extraordinary occurrences, 25 percent of the total reported incidents.

During FY 89-90 there were a total of 233 reported extraordinary occurrences at the minimum security institutions in Kentucky. This is a decrease from last year's reported 257 incidents.

Table 1 presents a breakdown, by institution, as well as the number of incidents per 100 of the average daily population.

| TABLE 1 EXTRAORDINARY OCCURRENCES IN FY 89-90 | | | |
|--|------------------------|-----------------------------|----------|
| | NUMBER OF INCIDENTS | AVERAGE DAILY POPULATION | RATE/100 |
| BCC | 58 | 387 | 15 |
| FCDC | 25 | 176 | 14 |
| BCFC | 24 | 198 | 12 |
| WKFC | 15 | 325 | 5 |
| MAC | 91 | 448 | 20 |
| CSC | 20 | 523 | 4 |
| TOTAL | 233 | 2057 | 11 |

In terms of the number of incidents per 100 of the average daily population, Table 1 shows that Marion Adjustment Center had the highest rate with Blackburn Correctional Complex having the second highest. The largest number of incidents that occurred at Marion Adjustment Center were accidents. Blackburn Correctional Complex reported escapes and escape apprehensions as the largest number of incidents.

Escapes

There were a total of 64 reported escapes in Kentucky's minimum security institutions for FY 89-90. Blackburn Correctional Complex, with an average daily population of 387, and Frankfort Career Development Center, with an average daily population of 176, both had the highest escape rate, 5.1 per 100 inmates. Bell County Forestry Camp had the second highest, with 5.0 escapes per 100 inmates. Table 2 shows escapes by type.

| TABLE 2 ESCAPES FY 89-90 | | | | | | | | |
|-----------------------------|---------------------|----------------|--------------------------|----------------|----------------|--------|-------|--------------|
| | FROM INSTITUTION | ON FURLOUGH | EMERGENCY HOME VISITS | WORK DETAIL | JAIL/ COURT | SCHOOL | TOTAL | RATE/ 100 |
| BCC | 20 | | | | | | 20 | 5.1 |
| FCDC | 6 | 1 | | 2 | | | 9 | 5.1 |
| BCFC | 10 | | | | | | 10 | 5.0 |
| WKFC | 3 | 1 | | | | | 4 | 1.2 |
| MAC | 5 | 5 | | | | | 10 | 2.2 |
| CSC | 6 | 4 | | | | 1 | 11 | 2.1 |
| TOTAL | 50 | 11 | | 2 | | 1 | 64 | 3.1 |

A total of 60 escapees for FY 89-90 were either apprehended or turned themselves in. Two escapees, who had escaped prior to FY 89-90, were also apprehended. Table 3 shows apprehensions by institution with the return rate for each.

| TABLE 3 ESCAPEE APPREHENSIONS | | | |
|----------------------------------|-------------|----------------|----------------|
| | APPREHENDED | TURNED SELF IN | RETURN RATE |
| BCC | 16 | 3 | 95% |
| FCDC | 6 | 1 | 78% |
| BCFC | 12* | | 100% |
| WKFC | 3 | 1 | 100% |
| MAC | 8 | 1 | 90% |
| CSC | 8 | 1 | 82% |

*Two apprehensions from Bell County Forestry Camp escaped from a previous year.

Assaults on Staff

During FY 89-90 there was one occurrence of a simple assault on staff at Blackburn Correctional Complex.

Inmate Assaults

There were five reported inmate assaults for FY 89-90. Four of the inmate assaults occurred at Marion Adjustment Center and one at the Western Kentucky Farm Center. Of the four at Marion Adjustment Center, three were aggravated and one was a sexual assault. The one assault at Western Kentucky Farm Center was aggravated.

Contraband

A total of 13 cases of contraband were reported in the minimum institutions for FY 89-90, this is a decrease of 10 from FY 88-89. Ten of these cases were drugs, three were listed as "other." Of the drug cases, 50 percent involved the detection of drugs in the possession of the inmates. Table 4 shows the number of drug possessions by type.

| TABLE 4 CONTRABAND (DRUGS) | | | | |
|-------------------------------|--------|---------|---------|-------|
| | INMATE | VISITOR | PACKAGE | TOTAL |
| BCC | 3 | 2 | 1 | 6 |
| FCDC | 1 | | 1 | 2 |
| BCFC | | | | |
| WKFC | | 1 | | 1 |
| MAC | 1 | | | 1 |
| CSC | | | | |
| TOTAL | 5 | 3 | 2 | 10 |

Medical Incidents

Blackburn Correctional Complex reported one death of natural causes for FY 89-90.

There were no reports of suicide or self-mutilation in the minimum security institutions during the fiscal year.

Accidents with injury were reported 60 times for FY 89-90. Marion Adjustment Center had the largest number of accidents, 53; followed by Frankfort Career Development Center with 3; Blackburn Correctional Complex and Western Kentucky Farm Center had 2 reported accidents each. Of the 53 accidents that occurred at Marion Adjustment Center, 12 of these were work related.

Miscellaneous

There were six reported furlough incidents, an increase of 4 incidents in FY 88-89. One occurred at the Blackburn Correctional Complex, with five occurring at the Marion Adjustment Center.

There were three reported natural fires during FY 89-90. Blackburn Correctional Complex, Western Kentucky Farm Center, and the Marion Adjustment Center each reported one fire.

Use of Force by Staff

During FY 89-90 use of restraints were reported four times. Blackburn Correctional Complex, Bell County Forestry Camp, Western Kentucky Farm Center and Marion Adjustment Center all had one reported incident each.

There were three incidents of physical contact by staff in the minimum security institutions. Two occurrences were reported from Blackburn Correctional Complex and one from Western Kentucky Farm Center.

Inmate Fights

There were eight occurrences of inmate fighting during the fiscal year. Five reported incidents occurred at Marion Adjustment Center, two incidents were from Blackburn Correctional Complex and one incident occurred at Frankfort Career Development Center.

Other

Two theft related incidents were reported from Frankfort Career Development Center, one involved a typewriter and the other involved money.

Blackburn Correctional Complex reported two feeder calves, valued at \$1000, were poisoned by Japanese shrubs.

APPENDIX

| FY 89 - 90 EXTRAORDINARY OCCURRENCES BY INSTITUTION | | | | | | | |
|--|----------|--------|---------------|--------|--------------|---------|---------------|
| | BCC | FCDC | BCFC | WKFC | MAC | CSC | TOTALS |
| 1. DEATH a. Natural b. Suicide c. Homicide d. Other | 1 | | | | | | 1 |
| 2. ESCAPE a. Attempted b. Escape c. Escapee apprehension | 20 19 | 9 7 | 1 10 12 | 4 4 | 1 10 9 | 11 9 | 2 64 60 |
| 3. ASSAULT ON STAFF a. Aggravated b. Simple c. Sexual | 1 | | | | | | 1 |
| 4. INMATE ASSAULT a. Aggravated b. Sexual | | | | 1 | 3 1 | | 4 1 |

FY 89 - 90
EXTRAORDINARY OCCURRENCES
BY INSTITUTION

| | BCC | FCDC | BCFC | WKFC | MAC | CSC | TOTALS |
|---|-----|------|------|------|-----|-----|--------|
| 5. DANGEROUS CONTRABAND | | | | | | | |
| a. Drugs | 6 | 2 | | 1 | 1 | | 10 |
| b. Weapons | | | | | | | |
| c. Other | 1 | 1 | | | 1 | | 3 |
| 6. MEDICAL INCIDENTS | | | | | | | |
| a. Attempted Suicide | | | | | | | |
| b. Self Mutilation (serious) | | | | | | | |
| c. Self Mutilation (superficial) | | | | | | | |
| d. Accidents | 2 | 3 | | 2 | 53 | | 60 |
| 7. MISCELLANEOUS | | | | | | | |
| a. Furlough Incidents | 1 | | | | 5 | | 6 |
| b. Destruction or Damage of Property over \$100 | | | | | | | |
| c. Riot | | | | | | | |
| d. Fire | 1 | | | 1 | 1 | | 3 |

| FY 89 - 90 EXTRAORDINARY OCCURRENCES BY INSTITUTION | | | | | | | |
|---|-----|------|------|------|-----|-----|--------|
| | BCC | FCDC | BCFC | WKFC | MAC | CSC | TOTALS |
| 8. USE OF FORCE BY STAFF | | | | | | | |
| a. Use of Weapon or gas | | | | | | | |
| b. Use of Restraints | 1 | | 1 | 1 | 1 | | 4 |
| c. Physical Contact by Staff | 2 | | | 1 | | | 3 |
| 9. INMATE FIGHTING | 2 | 1 | | | 5 | | 8 |
| 10. OTHER | 1 | 2 | | | | | 3 |
| TOTALS | 58 | 25 | 24 | 15 | 91 | 20 | 233 |

SECTION II

**EXTRAORDINARY OCCURRENCE REPORT
MEDIUM SECURITY CORRECTIONAL INSTITUTIONS**

FY 89 - 90

This report is a summarization of extraordinary incidents occurring in Kentucky's medium security correctional institutions for FY 89-90. Data has been extracted from Extraordinary Occurrence Report Forms prepared by each institution for each incident.

Kentucky's medium security institutions are as follows:

Kentucky State Reformatory
LaGrange, Kentucky

Luther Luckett Correctional Complex
LaGrange, Kentucky

Northpoint Training Center
Burgin, Kentucky

Roederer Farm Center
LaGrange, Kentucky

Kentucky Correctional Institution for Women
Pewee Valley, Kentucky

Eastern Kentucky Correctional Complex
West Liberty, Kentucky

The institution with the largest number of reported incidents for FY 89-90 was the Kentucky State Reformatory. The Kentucky State Reformatory accounted for 55 percent of all the reported incidents for the medium security institutions. Northpoint Training Center had the second highest number of extraordinary occurrences, 24 percent of the total reported incidents. Eastern Kentucky Correctional Complex had the smallest number of occurrences primarily because this facility is the newest in Kentucky. Eastern Kentucky Correctional Complex opened February 14, 1990 with a capacity of 536 beds and a population

of 41 inmates. The population increased steadily every week, and on June 30, 1990, the population reached 526.

During FY 89-90 there were a total of 835 reported extraordinary occurrences at the medium security institutions in Kentucky. Table 1 presents a breakdown, by institution, as well as the number of incidents per 100 of the average daily population.

| TABLE 1 EXTRAORDINARY OCCURRENCES IN FY 89-90 | | | |
|--|------------------------|-----------------------------|----------|
| | NUMBER OF INCIDENTS | AVERAGE DAILY POPULATION | RATE/100 |
| KSR | 456 | 1404 | 32 |
| LLCC | 81 | 1026 | 8 |
| NTC | 205 | 904 | 23 |
| RFC | 15 | 117 | 13 |
| KCIW | 66 | 283 | 23 |
| EKCC | 12 | 392 | 3 |
| TOTAL | 835 | 4126 | 20 |

In terms of the number of incidents per 100 of the average daily population, there were an average of 20 incidents per 100 this fiscal year as opposed to 21 per 100 last fiscal year. Table 1 shows that the Kentucky State Reformatory had the highest rate of incidents per 100, followed by the Northpoint Training Center and the Kentucky Correctional Institution for Women. The largest number of incidents that occurred at the Kentucky State Reformatory were use of restraints by staff. A total of 169, or 37 percent, of the total incidents at the Kentucky State Reformatory occurred in Segregation where inmates, whose conduct poses a serious threat to the orderly operation or security of the institution, are isolated from the general population. Of the 169 incidents which occurred in Segregation, 85 took place in

Segregation 6 or the Maintenance Section. The Kentucky State Reformatory's Mental Health Unit (Unit E) provides specialized mental health services such as independent and group counseling, medical care, psychological assessment and psychoeducational courses. Unit E reported 35 of the 456 incidents from the Kentucky State Reformatory. The number of incidents per category at each institution can be found in the table of medium security institutions in the appendix.

Escapes

There were a total of five reported escapes in Kentucky's medium security institutions for FY 89-90. The Kentucky State Reformatory and Roederer Farm Center both reported two escapes each. Table 2 shows escapes by type.

| TABLE 2 ESCAPES FY 89-90 | | | | | | | | |
|-----------------------------|---------------------|----------------|--------------------------|----------------|----------------|--------|-------|--------------|
| | FROM INSTITUTION | ON FURLOUGH | EMERGENCY HOME VISITS | WORK DETAIL | JAIL/ COURT | SCHOOL | TOTAL | RATE/ 100 |
| KSR | 1 | | | | 1 | | 2 | .1 |
| LLCC | | | | | | | | |
| NTC | | | | 2 | | | 2 | 1.7 |
| RFC | | | | | | | | |
| KCIW | 1 | | | | | | 1 | .3 |
| EKCC | | | | | | | | |
| TOTAL | 2 | | | 2 | 1 | | 5 | .1 |

Three of the five escapees for FY 89-90 were apprehended during the fiscal year. Table 3 shows apprehensions by institutions with the return rate for each.

| TABLE 3 ESCAPEE APPREHENSIONS | | | |
|----------------------------------|-------------|----------------|----------------|
| | APPREHENDED | TURNED SELF IN | RETURN RATE |
| KSR | 1 | | 50% |
| LLCC | | | |
| NTC | | | |
| RFC | 1 | | 50% |
| KCIW | 1 | | 100% |
| EKCC | | | |

Assaults on Staff

There were a total of 64 assaults on staff that occurred at the medium security institutions during FY 89-90. Fifty-seven of these were simple and one, which occurred at Kentucky State Reformatory, was sexual assault.

Inmate Assaults

There were 63 reported inmate assaults in FY 89-90. Fifty-nine of these were aggravated and four were sexual assaults. Two sexual assaults occurred at the Kentucky Correctional Institution for Women and one each at the Kentucky State Reformatory and Luther Luckett Correctional Complex.

Contraband

A total of 189 cases of contraband were reported in the medium security institutions for FY 89-90, a decrease of 10 from FY 88-89. One hundred forty-one of these cases were drugs, 28 were weapons, and 20 were "other." Of the drug cases, 77 percent involved the

detection of drugs in the possession of the inmates. Table 4 shows the number of drug possessions by type.

| TABLE 4 CONTRABAND (DRUGS) | | | | |
|-------------------------------|--------|---------|---------|-------|
| | INMATE | VISITOR | PACKAGE | TOTAL |
| KSR | 42 | 12 | 3 | 57 |
| LLCC | 25 | 7 | | 32 |
| NTC | 38 | 7 | | 45 |
| RFC | 3 | 1 | 1 | 5 |
| KCIW | 1 | | 1 | 2 |
| EKCC | 0 | 0 | 0 | 0 |
| TOTAL | 109 | 27 | 5 | 141 |

Medical Incidents

The Kentucky State Reformatory reported 10 deaths of natural causes for FY 89-90.

There were 11 reported cases of attempted suicide which occurred at the medium security institutions. The Kentucky State Reformatory had the highest number of attempted suicides, 6.

A total of 32 cases of self-mutilations were reported, this includes serious and superficial. Twenty cases occurred at the Kentucky State Reformatory, with 18 of these in Segregation. Of the 18 in Segregation, 8 occurred in Segregation 6, Maintenance Section, and 3 occurred in Unit E, Mental Health.

There were a total of 105 reported accidents with serious injury, fifteen of which were work related.

Miscellaneous

Damage of property over \$100 occurred once in FY 89-90. This was reported at the Kentucky State Reformatory. There were a total of 46 fires in the medium security institutions. Twenty-three of these were deliberately set with the remainder accidental.

Use of Force by Staff

Restraints are implemented when an inmate is either a threat to his own safety, to others, or to property. Incidents involving restraints occurred 205 times during FY 89-90. The Kentucky State Reformatory accounted for 70%, or 145 reported incidents. One hundred and ninety-six of those took place in Segregation at the Kentucky State Reformatory. Of these, 50 took place in Segregation 6, Maintenance and 14 took place in Unit E, the Mental Health Unit. Table 5 identifies the circumstances under which restraints were used in each institution.

| TABLE 5 USE OF RESTRAINTS | | | | |
|------------------------------|-------------------|---------------------|-----------------------|-------|
| | THREAT TO SELF | THREAT TO OTHERS | THREAT TO PROPERTY | TOTAL |
| KSR | 45 | 80 | 20 | 145 |
| LLCC | 1 | 5 | 1 | 7 |
| NTC | 6 | 33 | 8 | 47 |
| RFC | | | | |
| KCIW | | 2 | | 2 |
| EKCC | | 3 | 1 | 4 |
| TOTAL | 52 | 123 | 30 | 205 |

The use of gas or weapon occurred 4 times during FY 89-90. Three reports were from the Kentucky State Reformatory and one report was from Northpoint Training Center.

Inmate Fights

There were 28 reported occurrences of inmate fighting for FY 89-90. The Kentucky State Reformatory experienced the largest number of fight reports (14) than the other medium security institutions.

Other

The Kentucky State Reformatory reported two theft incidents. In one of the theft incidents, inmates were charged with Robbery. A broken water main and power outage were also reported.

The Kentucky Correctional Institution for Women reported three power outages, one of which was a total power outage. The other outage resulted in \$1000 damage.

Medical keys were reported missing in the medical department at the Kentucky Correctional Institution for Women. They were recovered after a lock down was enforced.

APPENDIX

FY 89 - 90
EXTRAORDINARY OCCURRENCES
BY INSTITUTION

| | KSR | LLCC | NTC | RFC | KCIW | EKCC | TOTALS |
|----------------------------|-----|------|-----|-----|------|------|--------|
| 1. DEATH | | | | | | | |
| a. Natural | 10 | | | | | | 10 |
| b. Suicide | | | | | | | 0 |
| c. Homicide | | | | | | | 0 |
| d. Other | | | | | | | 0 |
| 2. ESCAPE | | | | | | | |
| a. Attempted | 2 | 2 | | | 1 | 1 | 6 |
| b. Escape | 2 | | | 2 | 1 | | 5 |
| c. Escapee apprehension | 1 | | | 1 | 1 | | 3 |
| 3. ASSAULT ON STAFF | | | | | | | |
| a. Aggravated | 4 | | 1 | | | 1 | 6 |
| b. Simple | 41 | 2 | 11 | | 2 | 1 | 57 |
| c. Sexual | 1 | | | | | | 1 |
| 4. INMATE ASSAULT | | | | | | | |
| a. Aggravated | 15 | 5 | 21 | 1 | 17 | | 59 |
| b. Sexual | 1 | 1 | | | 2 | | 4 |

FY 89 - 90
EXTRAORDINARY OCCURRENCES
BY INSTITUTION

| | KSR | LLCC | NTC | RFC | KCIW | EKCC | TOTALS |
|--|-----|------|-----|-----|------|------|--------|
| 5. DANGEROUS CONTRABAND | | | | | | | |
| a. Drugs | 57 | 32 | 45 | 5 | 2 | | 141 |
| b. Weapons | 13 | 10 | 3 | | 1 | 1 | 28 |
| c. Other | 9 | 7 | 3 | | 1 | | 20 |
| 6. MEDICAL INCIDENTS | | | | | | | |
| a. Attempted Suicide | 6 | 1 | 4 | | | | 11 |
| b. Self Mutilation (serious) | 3 | 1 | 1 | | | | 5 |
| c. Self Mutilation (superficial) | 17 | 4 | 4 | 1 | 1 | | 27 |
| d. Accidents | 62 | | 34 | 2 | 5 | 2 | 105 |
| 7. MISCELLANEOUS | | | | | | | |
| a. Furlough Incidents | | | | 1 | 1 | | 2 |
| b. Destruction or Damage of Property over \$ 100 | 1 | | | | | | 1 |
| c. Riot | | | | | | | 0 |
| d. Fire | 35 | 5 | | 1 | 4 | 1 | 46 |

FY 89 - 90
EXTRAORDINARY OCCURRENCES
BY INSTITUTION

| | KSR | LLCC | NTC | RFC | KCIW | EKCC | TOTALS |
|------------------------------------|-----|------|-----|-----|------|------|--------|
| 8. USE OF FORCE BY STAFF | | | | | | | |
| a. Use of Weapon or gas | 3 | | 1 | | | | 4 |
| b. Use of Restraints | 145 | 7 | 47 | | 2 | 4 | 205 |
| c. Physical Contact by Staff | 10 | 2 | 25 | | 15 | 1 | 53 |
| 9. INMATE FIGHTING | 14 | 2 | 5 | 1 | 6 | | 28 |
| 10. OTHER | 4 | | | | 4 | | 8 |
| TOTALS | 456 | 81 | 205 | 15 | 66 | 12 | 835 |

SECTION III

**EXTRAORDINARY OCCURRENCE REPORT
KENTUCKY STATE PENITENTIARY**

FY 89 - 90

This report is a summarization of extraordinary incidents occurring at the Kentucky State Penitentiary during FY 89-90. Data has been extracted from Extraordinary Occurrence Report Forms prepared by the institution for each incident.

The Kentucky State Penitentiary is Kentucky's only maximum security institution located in Eddyville, Kentucky.

During FY 89-90 there were a total of 363 reported extraordinary occurrences at the Kentucky State Penitentiary. This is an increase of 24 from last fiscal year. Table 1 presents a breakdown of the number of incidents per 100 inmates and the average daily population.

| TABLE 1 EXTRAORDINARY OCCURRENCES IN FY 89-90 | | | |
|--|------------------------|-----------------------------|----------|
| | NUMBER OF INCIDENTS | AVERAGE DAILY POPULATION | RATE/100 |
| KSP | 363 | 754 | 48 |

In terms of the number of incidents per 100 of the average daily population, the Kentucky State Penitentiary had one of the highest rate of occurrences. A total of 281 incidents, or 77 percent, of the total incidents occurred in Segregation where inmates, whose conduct poses a serious threat to the orderly operation or security of the institution, are isolated from the general population. The number of incidents per category at the Kentucky State Penitentiary for FY 89-90 can be found in the Appendix.

Escapes

The Kentucky State Penitentiary reported an attempted escape from its Segregation Unit and the escape of one of its inmates that was being held in a local jail awaiting a court trip. There were no reports of escapee apprehension for FY 89-90.

Assaults on Staff

During FY 89-90 there were a total of 60 reported assaults on staff. Eleven of these were aggravated, 48 were simple and 1 was a sexual assault. This is an increase from the previous year when there were 52 reported assaults, all of which were simple.

Inmate Assaults

There were 16 reported inmate assaults for FY 89-90. All of the assaults were aggravated. This is a decrease of 6 from FY 88-89.

Contraband

A total of 28 cases of contraband were reported at the Kentucky State Penitentiary for FY 89-90, a decrease of 8 cases from the previous year. Nine of these cases were drugs, 15 were weapons, and 4 were "other." Of the 9 drug cases, 8 involved the detection of drugs in the possession of the inmates. Table 2 shows the number of drug possessions by type.

| TABLE 2 CONTRABAND (DRUGS) | | | | |
|-------------------------------|--------|---------|---------|-------|
| | INMATE | VISITOR | PACKAGE | TOTAL |
| KSP | 8 | 1 | 0 | 9 |

Medical Incidents

The Kentucky State Penitentiary reported one death of natural causes for FY 89-90.

There were 3 reported cases of attempted suicide which occurred at the Kentucky State Penitentiary. Two of these cases occurred in Segregation. This is a significant decrease from the last fiscal year when there were 17 reported cases.

A total of 35 cases of self-mutilations were reported. Twenty-two were superficial and 13 were serious. This is also a decrease from 65 cases in FY 88-89.

There were 5 reported accidents with injury for the fiscal year. None of these were work related.

Miscellaneous

There were 3 reported damage of property incidents for FY 89-90. Riots or major disturbances were not reported for the fiscal year.

There were a total of 5 fires at the Kentucky State Penitentiary. All 5 were deliberately set.

Use of Force by Staff

Restraints are implemented when an inmate is either a threat to his own safety, to others, or to property. Incidents involving restraints occurred 132 times for FY 89-90. This is more than double the number (60) that occurred in FY 88-89. One hundred fourteen of these cases took place in Segregation. Table 3 identifies the circumstances under which restraints were used at the Kentucky State Penitentiary.

| TABLE 3 USE OF RESTRAINTS | | | | |
|------------------------------|-------------------|---------------------|-----------------------|-------|
| | THREAT TO SELF | THREAT TO OTHERS | THREAT TO PROPERTY | TOTAL |
| KSP | 32 | 86 | 14 | 132 |

There were 24 cases where gas or use of weapon occurred during FY 89-90. Twenty-two of these occurred in Segregation.

Inmate Fights

During FY 89-90, 26 occurrences of inmate fighting took place at the Kentucky State Penitentiary.

Other

There was a demonstration by 4 inmates in the Segregation Unit that lasted for 3 days. It occurred on October 28, 1989.

APPENDIX

FY 89 - 90
EXTRAORDINARY OCCURRENCES
BY INSTITUTION

| | KSP |
|---|---------------|
| 1. DEATH a. Natural b. Suicide c. Homicide d. Other | 1 |
| 2. ESCAPE a. Attempted b. Escape c. Escapee apprehension | 1 1 |
| 3. ASSAULT ON STAFF a. Aggravated b. Simple c. Sexual | 11 48 1 |
| 4. INMATE ASSAULT a. Aggravated b. Sexual | 16 |

FY 89 - 90
EXTRAORDINARY OCCURRENCES
BY INSTITUTION

| | KSP |
|---|-----|
| 5. DANGEROUS CONTRABAND | |
| a. Drugs | 9 |
| b. Weapons | 15 |
| c. Other | 4 |
| 6. MEDICAL INCIDENTS | |
| a. Attempted Suicide | 3 |
| b. Self Mutilation (serious) | 13 |
| c. Self Mutilation (superficial) | 22 |
| d. Accidents | 5 |
| 7. MISCELLANEOUS | |
| a. Furlough Incidents | |
| b. Destruction or Damage of Property over \$100 | 3 |
| c. Riot | |
| d. Fire | 5 |

FY 89 - 90
EXTRAORDINARY OCCURRENCES
BY INSTITUTION

| | KSP | TOTALS |
|------------------------------------|-----|--------|
| 8. USE OF FORCE BY STAFF | | |
| a. Use of Weapon or gas | | 24 |
| b. Use of Restraints | | 132 |
| c. Physical Contact by Staff | | 22 |
| 9. INMATE FIGHTING | | 26 |
| 10. OTHER | | 1 |
| TOTALS | | 363 |