



EXTRAORDINARY OCCURRENCE

REPORT

FY 88 - 89

01-01-91 mfl

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KENTUCKY CORRECTIONS CABINET
PLANNING & EVALUATION BRANCH

**Kentucky Corrections Cabinet
Planning & Evaluation Branch**

**Kathy Black-Dennis
Branch Manager**

This report was written by Coleen Williams, Research Analyst, with assistance from Kimberly Whitley, Research Analyst, and Carolyn Tracy, Administrative Secretary.

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SUMMARY

The Extraordinary Occurrence Report for FY 88-89 format is based on security level instead of the combination of all institutions. The occurrence table, which follows, is based on the entire system's reports for the fiscal year.

As compared to the previous year report, there has been a decrease in the number of occurrences reported from 1572 to 1280 this fiscal year.

Decreases occurred in every category from the previous year except the following: Escapes increased 28 reported incidents; superficial self-mutilations increased from 84 to 91; and the number of accidents reported increased from 108 to 123.

The primary source for definitions used in this report is the U.S. Justice Department's Dictionary of Criminal Justice Data Terminology. The categories covered by this report, with explanations where appropriate, are the following:

I. Death

- A. Natural
- B. Suicide
- C. Homicide
- D. Other

II. Escape

- A. Attempted
- B. Escape
- C. Escape Apprehension

III. Assault on Staff

- A. **Aggravated Assault:** The unlawful intentional inflicting of serious bodily injury, i.e., injury requiring treatment beyond simple first aid such as broken bones, cuts requiring stitches, all internal injuries, etc.
- B. **Simple Assault:** The unlawful intentional inflicting of less than serious bodily injury.
- C. **Sexual Assault:** Unlawful sexual intercourse or sexual contact through the use of force or threatened use of force.

IV. Inmate Assault

- A. **Aggravated Assault:** The unlawful intentional inflicting of serious bodily injury, i.e., injury requiring treatment beyond simple first aid such as broken bones, cuts requiring stitches, all internal injuries, etc.
- B. **Sexual Assault:** Unlawful sexual intercourse or sexual contact through the use of force or threatened use of force.

V. Dangerous Contraband

Contraband which is capable of such use as may endanger the safety or security of a detention facility, or persons therein, including but not limited to dangerous instruments as defined in KRS 500.080, i.e., any controlled substance, marijuana, escape device, or intoxicant.

- A. Drugs
- B. Weapons
- C. Other

VI. Medical Incidents Involving Serious Injury

- A. Attempted Suicide
- B. Self Mutilation (serious)
- C. Self Mutilation (superficial)
- D. Accidents

VII. Miscellaneous

- A. Furlough related
- B. Destruction or damage of property valued at \$100 or more
- C. Riot: A disturbance involving an assembly of three or more persons acting together or in concert, which by tumultuous and violent conduct, or the imminent threat of tumultuous and violent conduct, results in injury or damage to persons or property or creates a clear and present danger of injury or damage to persons or property.
- D. Fire

VIII. Use of Force by Staff

- A. Use of weapon or gas
- B. Non-routine use of restraints
- C. Physical Contact: Actual force directed toward the body of another person.

IX. Other

The following security level sections will breakdown the incident reports by the various institutions.

EXTRAORDINARY OCCURRENCES
FY 88-89
BY SECURITY LEVEL

	Maximum Security	Medium Security	Minimum Security	Total
1. DEATH				
a. Natural	1	7	2	10
b. Suicide		1	1	2
c. Homicide	1			1
d. Other		1		1
2. ESCAPE				
a. Attempted		3		3
b. Escape		3	96	99
c. Escapee apprehension		3	63	66
3. ASSAULT ON STAFF				
a. Aggravated				
b. Simple	52	39		91
c. Sexual		2		2
4. INMATE ASSAULT				
a. Aggravated	22	41	4	67
b. Sexual		2		2
5. DANGEROUS CONTRABAND				
a. Drugs	9	145	15	169
b. Weapons	22	29	3	54
c. Other	5	25	5	35
6. MEDICAL INCIDENTS				
a. Attempted Suicide	17	7	1	25
b. Self Mutilation (serious)	2	1		3
c. Self Mutilation (superficial)	63	27	1	91
d. Accidents	4	60	59	123
7. MISCELLANEOUS				
a. Furlough Incidents			2	2
b. Destruction or Damage of Property over \$100	10	9		19
c. Riot	1			1
d. Fire	6	13		19
8. USE OF FORCE BY STAFF				
a. Use of Weapon or gas	10	5		15
b. Use of Restraints	60	130		190
c. Physical Contact by Staff	33	98	1	132
9. INMATE FIGHTING	21	31	2	54
10. OTHER		2	2	4
TOTALS	339	684	257	1280

SECTION I

**EXTRAORDINARY OCCURRENCE REPORT
MINIMUM SECURITY CORRECTIONAL INSTITUTIONS**

FY 88 - 89

This report is a summarization of extraordinary incidents occurring in Kentucky's minimum security correctional institutions for FY 88-89. Data has been extracted from Extraordinary Occurrence Report Forms prepared by each institution for each incident.

Kentucky's minimum security institutions are as follows:

Blackburn Correctional Complex
Lexington, Kentucky

Frankfort Career Development Center
Frankfort, Kentucky

Bell County Forestry Camp
Pineville, Kentucky

Western Kentucky Farm Center
Fredonia, Kentucky

Marion Adjustment Center
St. Mary's, Kentucky

Community Service Centers
Located throughout the state

The institution with the largest number of reported incidents for FY 88-89 was the Marion Adjustment Center. The Marion Adjustment Center accounted for 34 percent of all the reported incidents. Blackburn Correctional Complex had the second highest number of extraordinary occurrences, 25 percent of the total reported incidents.

During FY 88-89 there were a total of 257 reported extraordinary occurrences at the minimum security institutions in Kentucky. Table I presents a breakdown, by institution, as well as the number of incidents per 100 of the average daily population.

TABLE 1 EXTRAORDINARY OCCURRENCES IN FY 88-89			
	NUMBER OF INCIDENTS	AVERAGE DAILY POPULATION	RATE/100
BCC	64	379	17
FCDC	21	174	12
BCFC	19	196	10
WKFC	27	319	8
MAC	87	343	25
CSC	39	459	8
TOTAL	257	1870	14

In terms of the number of incidents per 100 of the average daily population, Table I shows that Marion Adjustment Center had the highest rate with Blackburn Correctional Complex having the second highest. The largest number of incidents that occurred at Marion Adjustment Center were accidents. Blackburn Correctional Complex reported escapes and escape apprehensions as the largest number of incidents.

Escapes

There were a total of 96 reported escapes in Kentucky's minimum security institutions for FY 88-89. Blackburn Correctional Complex, with 24 escapes and an average daily population of 379, had the highest rate, 6.3 escapes per 100 inmates. The Community Service Centers had the second highest with 6.1 escapes per 100 inmates. Table 3 shows escapes by type.

TABLE 3 ESCAPES FY 88-89								
	FROM INSTITUTION	ON FURLOUGH	EMERGENCY HOME VISITS	WORK DETAIL	JAIL/ COURT	SCHOOL	TOTAL	RATE/ 100
BCC	21	2		1			24	6.3
FCDC	7			2			9	5.1
BCFC	7						7	3.5
WKFC	7	1		2			10	3.1
MAC	16	2					18	5.2
CSC	13	12		2		1	28	6.1
TOTAL	71	17		7		1	96	5.1

A total of 60 escapees for FY 88-89 were either apprehended or turned themselves in. Three escapees, who had escaped prior to FY 88-89, were also apprehended. Table 4 shows apprehensions by institutions with the return rate for each.

TABLE 4 ESCAPEE APPREHENSIONS			
	APPREHENDED	TURNED SELF IN	RETURN RATE
BCC	22*	4	100%
FCDC	8	1	100%
BCFC	8*		100%
WKFC	4		40%
MAC	3	2	28%
CSC	6	5	39%

*Two apprehensions from BCC and one apprehension from BCFC were from previous years.

Assaults on Staff

During FY 88-89 the minimum security institutions did not report any assaults on staff.

Inmate Assaults

Inmate assaults were reported four times for FY 88-89. Two of the inmate assaults occurred at Western Kentucky Farm Center and two at Marion Adjustment Center. The four inmate assaults reported were aggravated.

Contraband

A total of 23 cases of contraband were reported in the minimum institutions for FY 88-89. Fifteen of these cases were drugs, three were weapons, and five were other. Of the drug cases, 67 percent involved the detection of drugs in the possession of the inmates. Table 5 shows the number of drug possessions by type.

TABLE 5 CONTRABAND (DRUGS)				
	INMATE	VISITOR	PACKAGE	TOTAL
BCC	2	3		5
FCDC	1			1
BCFC	1			1
WKFC	3		2	5
MAC	3			3
CSC				
TOTAL	10	3	2	15

Medical Incidents

Blackburn Correctional Complex reported one suicide during FY 88-89.

Bell County Forestry Camp and Western Kentucky Farm Center each had one death of natural causes.

There was one reported case of attempted suicide which occurred at Bell County Forestry Camp.

Marion Adjustment Center reported one case of self-mutilation for FY 88-89. This was a superficial self-mutilation.

There were 59 reported accidents with injury for the fiscal year at Marion Adjustment Center. Ten of these were work related.

Miscellaneous

There were two reported furlough incidents. One occurred at the Bell County Forestry Camp and the other at the Marion Adjustment Center.

Use of Force by Staff

During FY 88-89 use of restraints were not reported in the minimum security institutions. There was one report of physical contact by staff at the Marion Adjustment Center.

Inmate Fights

There were two occurrences of inmate fighting, both of which occurred at the Marion Adjustment Center for FY 88-89.

Other

Two theft related incidents were reported at Frankfort Career Development Center where inmates in both cases were charged with theft.

APPENDIX

TABLE 2
 FY 88 - 89
 EXTRAORDINARY OCCURRENCES
 BY INSTITUTION

	BCC	FCDC	BCFC	WKFC	MAC	CSC	TOTALS
1. DEATH							
a. Natural			1	1			2
b. Suicide	1						1
c. Homicide							
d. Other							
2. ESCAPE							
a. Attempted							
b. Escape	24	9	7	10	18	28	96
c. Escapee apprehension	26	9	8	4	5	11	63
3. ASSAULT ON STAFF							
a. Aggravated							
b. Simple							
c. Sexual							
4. INMATE ASSAULT							
a. Aggravated				2	2		4
b. Sexual							

TABLE 2
 FY 88 - 89
 EXTRAORDINARY OCCURRENCES
 BY INSTITUTION

	BCC	FCDC	BCFC	WKFC	MAC	CSC	TOTALS
5. DANGEROUS CONTRABAND							
a. Drugs	5	1	1	5	3		15
b. Weapons	3						3
c. Other	3			2			5
6. MEDICAL INCIDENTS							
a. Attempted Suicide			1				1
b. Self Mutilation (serious)							
c. Self Mutilation (superficial)					1		1
d. Accidents	2			3	54		59
7. MISCELLANEOUS							
a. Furlough Incidents			1		1		2
b. Destruction or Damage of Property over \$100							
c. Riot							
d. Fire							

TABLE 2
 FY 88 - 89
 EXTRAORDINARY OCCURRENCES
 BY INSTITUTION

	BCC	FCDC	BCFC	WKFC	MAC	CSC	TOTALS
8. USE OF FORCE BY STAFF							
a. Use of Weapon or gas							
b. Use of Restraints							
c. Physical Contact by Staff					1		1
9. INMATE FIGHTING					2		2
10. OTHER		2					2
TOTALS	64	21	19	27	87	39	257

SECTION II

**EXTRAORDINARY OCCURRENCE REPORT
MEDIUM SECURITY CORRECTIONAL INSTITUTIONS**

FY 88 - 89

This report is a summarization of extraordinary incidents occurring in Kentucky's medium security correctional institutions for FY 88-89. Data has been extracted from Extraordinary Occurrence Report Forms prepared by each institution for each incident.

Kentucky's medium security institutions are as follows:

Kentucky State Reformatory
LaGrange, Kentucky

Luther Lockett Correctional Complex
LaGrange, Kentucky

Northpoint Training Center
Burgin, Kentucky

Roederer Farm Center
LaGrange, Kentucky

Kentucky Correctional Institution for Women
Pewee Valley, Kentucky

The institution with the largest number of reported incidents for FY 88-89 was the Kentucky State Reformatory. The Kentucky State Reformatory accounted for 49 percent of all the reported incidents for the medium security institutions. Northpoint Training Center had the second highest number of extraordinary occurrences, 30 percent of the total reported incidents.

During FY 88-89 there were a total of 684 reported extraordinary occurrences at the medium security institutions in Kentucky. Table I presents a breakdown, by institution, as well as the number of incidents per 100 of the average daily population.

TABLE 1 EXTRAORDINARY OCCURRENCES IN FY 88-89			
	NUMBER OF INCIDENTS	AVERAGE DAILY POPULATION	RATE/100
KSR	335	1251	27
LLCC	51	724	7
NTC	206	916	22
RFC	28	202	14
KCIW	64	230	28
TOTAL	684	3323	21

In terms of the number of incidents per 100 of the average daily population, Table I shows that the Kentucky Correctional Institution for Women had the highest rate of incidents per 100 followed by the Kentucky State Reformatory. Northpoint Training Center had the second highest rate. The largest number of incidents that occurred at the Kentucky State Reformatory and the Kentucky Correctional Institution for Women were drug contraband. A total of 111, or 33 percent, of the total incidents at the Kentucky State Reformatory occurred in Segregation where inmates, whose conduct poses a serious threat to the orderly operation or security of the institution, are isolated from the general population. Of the 111 incidents which occurred in Segregation, 13 took place in the Special Needs Unit. The number of incidents per category at each institution can be found in Table 2 (appendix).

Escapes

There were a total of three reported escapes in Kentucky's medium security institutions for FY 88-89. Roederer Farm Center, the Kentucky Correctional Institution for Women, and the Kentucky State Reformatory had one escape each. Table 3 shows escapes by type.

TABLE 3 ESCAPES FY 88-89								
	FROM INSTITUTION	ON FURLOUGH	EMERGENCY HOME VISITS	WORK DETAIL	JAIL/ COURT	SCHOOL	TOTAL	RATE/ 100
KSR		1					1	.07
LLCC								
NTC								
RFC	1						1	.4
KCIW	1						1	.4
TOTAL	2	1					3	.09

All of the escapees for FY 88-89 were either apprehended or turned themselves in. One escapee, who had escaped prior to FY 88-89, was also apprehended. Table 4 shows apprehensions by institutions with the return rate for each.

TABLE 4 ESCAPEE APPREHENSIONS			
	APPREHENDED	TURNED SELF IN	RETURN RATE
KSR	1		100%
LLCC**			
NTC**			
RFC	2*		100%
KCIW	1		100%

*One apprehension from a previous year.

**No escapes.

Assaults on Staff

There were a total of 41 assaults on staff that occurred at the medium security institutions during FY 88-89. Thirty-nine of these were simple and two, which occurred at Kentucky State Reformatory, were sexual assaults.

Inmate Assaults

Inmate assaults were reported 43 times in FY 88-89. Forty-one of these were aggravated and two were sexual assaults. The two sexual assaults occurred at the Kentucky State Reformatory.

Contraband

A total of 199 cases of contraband were reported in the medium security institutions for FY 88-89. One hundred forty-five of these cases were drugs, 29 were weapons, and 25 were other. Of the drug cases, 86 percent involved the detection of drugs in the possession of the inmates. Table 5 shows the number of drug possessions by type.

TABLE 5 CONTRABAND (DRUGS)				
	INMATE	VISITOR	PACKAGE	TOTAL
KSR	69	2	1	72
LLCC	11	3		14
NTC	20	10		30
RFC	17		1	18
KCIW	8	3		11
TOTAL	125	18	2	145

Medical Incidents

The Kentucky State Reformatory reported 1 suicide, 1 other death, and 7 deaths of natural causes for FY 88-89.

There were 7 reported cases of attempted suicide which occurred at the medium security institutions. Northpoint Training Center had the highest number of attempted suicides, 3.

A total of 28 cases of self-mutilations were reported, this includes serious and superficial. Ten cases occurred at the Kentucky State Reformatory, 6 of these took place in Segregation and 3 of the total occurred in the Special Needs Unit. Northpoint Training Center reported 11 self-mutilations for FY 88-89.

There were a total of 60 reported accidents with serious injury. Nine of these were work related.

Miscellaneous

Damage of property over \$100 occurred 9 times in FY 88-89. Six of these occurred at Northpoint Training Center. There were a total of 13 fires in the medium security institutions. Eight of these were deliberately set with the remainder accidental.

Use of Force by Staff

Restraints are implemented when an inmate is either a threat to his own safety, to others, or to property. Incidents involving restraints occurred 130 times during FY 88-89. Northpoint Training Center and the Kentucky State Reformatory each accounted for 46%, or 60

reported cases each. Thirty-six of these cases took place in Segregation at the Kentucky State Reformatory. Table 6 identifies the circumstances under which restraints were used in each institution.

TABLE 6 USE OF RESTRAINTS				
	THREAT TO SELF	THREAT TO OTHERS	THREAT TO PROPERTY	TOTAL
KSR	12	41	7	60
LLCC	2	4		6
NTC	15	37	8	60
RFC				0
KCIW	1	2	1	4
TOTAL	30	84	16	130

The use of gas or weapon occurred 5 times during FY 88-89. Three reports from Northpoint Training Center and two reports of weapon or gas were from the Kentucky State Reformatory.

Inmate Fights

There were 31 reported occurrences of inmate fighting for FY 88-89. Northpoint Training Center experienced the largest number of fight reports than the other medium security institutions.

Other

Two theft related incidents were reported at Luther Lockett Correctional Complex. Items valued over \$100 were taken in both cases.

APPENDIX

TABLE 2
FY 88 - 89
EXTRAORDINARY OCCURRENCES
BY INSTITUTION

	KSR	LLCC	NTC	RFC	KCIW	TOTALS
1. DEATH						
a. Natural	7					7
b. Suicide	1					1
c. Homicide						
d. Other	1					1
2. ESCAPE						
a. Attempted	1				2	3
b. Escape	1			1	1	3
c. Escapee apprehension				2	1	3
3. ASSAULT ON STAFF						
a. Aggravated						
b. Simple	21	1	11	1	5	39
c. Sexual	2					2*
4. INMATE ASSAULT						
a. Aggravated	17	2	14		8	41
b. Sexual	2					2

TABLE 2
FY 88 - 89
EXTRAORDINARY OCCURRENCES
BY INSTITUTION

	KSR	LLCC	NTC	RFC	KCIW	TOTALS
5. DANGEROUS CONTRABAND						
a. Drugs	72	14	30	18	11	145
b. Weapons	20	3	5		1	29
c. Other	18	1	4		2	25
6. MEDICAL INCIDENTS						
a. Attempted Suicide	1	2	3		1	7
b. Self Mutilation (serious)					1	1
c. Self Mutilation (superficial)	10	11	5		1	27
d. Accidents	27	2	26	3	2	60
7. MISCELLANEOUS						
a. Furlough Incidents						
b. Destruction or Damage of Property over \$ 100	1	1	6		1	9
c. Riot						
d. Fire	8		3	1	1	13

TABLE 2
 FY 88 - 89
 EXTRAORDINARY OCCURRENCES
 BY INSTITUTION

	KSR	LLCC	NTC	RFC	KCIW	TOTALS
8. USE OF FORCE BY STAFF						
a. Use of Weapon or gas	2		3			5
b. Use of Restraints	60	6	60		4	130
c. Physical Contact by Staff	55	5	21	2	15	98
9. INMATE FIGHTING	8	1	15		7	31
10. OTHER		2				2
TOTALS	335	51	206	28	64	684

SECTION III

**EXTRAORDINARY OCCURRENCE REPORT
KENTUCKY STATE PENITENTIARY**

FY 88 - 89

This report is a summarization of extraordinary incidents occurring at the Kentucky State Penitentiary during FY 88-89. Data has been extracted from Extraordinary Occurrence Report Forms prepared by the institution for each incident.

The Kentucky State Penitentiary is Kentucky's only maximum security institution located in Eddyville, Kentucky.

During FY 88-89 there were a total of 339 reported extraordinary occurrences at the Kentucky State Penitentiary. Table I presents a breakdown of the number of incidents per 100 inmates and the average daily population.

TABLE 1 EXTRAORDINARY OCCURRENCES IN FY 88-89			
	NUMBER OF INCIDENTS	AVERAGE DAILY POPULATION	RATE/100
KSP	339	802	42

In terms of the number of incidents per 100 of the average daily population, the Kentucky State Penitentiary had one of the highest rate of occurrences. The Kentucky State Penitentiary is the state's only maximum institution which would be one factor for its high rate. A total of 229 incidents, or 68 percent, of the total incidents occurred in Segregation where inmates, whose conduct poses a serious threat to the orderly operation or security of the institution, are isolated from the general population. The number of incidents per category at the Kentucky State Penitentiary for FY 88-89 can be found in Table 2 (Appendix).

Escapes

The Kentucky State Penitentiary did not report any escapes or apprehensions for FY 88-89.

Assaults on Staff

During FY 88-89 there were a total of 52 reported assaults on staff. All of the assaults were simple.

Inmate Assaults

There were 22 reported inmate assaults for FY 88-89. All of the assaults were aggravated.

Contraband

A total of 36 cases of contraband were reported at the Kentucky State Penitentiary for FY 88-89. Nine of these cases were drugs, 22 were weapons, and 5 were other. Of the 9 drug cases, 5 involved the detection of drugs in the possession of the inmates. Table 3 shows the number of drug possessions by type.

TABLE 3 CONTRABAND (DRUGS)				
	INMATE	VISITOR	PACKAGE	TOTAL
KSP	5	4		9

Medical Incidents

The Kentucky State Penitentiary reported one death of natural causes and one homicide of an inmate for FY 88-89.

There were 17 reported cases of attempted suicide which occurred at the Kentucky State Penitentiary. Twelve of these cases occurred in Segregation.

A total of 65 cases of self-mutilations were reported. Sixty-three were superficial and 2 were serious.

There were 4 reported accidents with injury for the fiscal year. Two of these were work related.

Miscellaneous

There were 10 reported damage of property incidents for FY 88-89. One riot or major disturbance transpired at the Kentucky State Penitentiary involving 19 individuals and resulted with several puncture wounds and lacerations.

There were a total of 6 fires at the Kentucky State Penitentiary. All 6 were deliberately set.

Use of Force by Staff

Restraints are implemented when an inmate is either a threat to his own safety, to others, or to property. Incidents involving restraints occurred 60 times for FY 88-89. Fifty-three of these cases took place in Segregation. Table 4 identifies the circumstances under which restraints were used at the Kentucky State Penitentiary.

TABLE 4 CONTRABAND (DRUGS)				
	THREAT TO SELF	THREAT TO OTHERS	THREAT TO PROPERTY	TOTAL
KSP	18	37	5	60

There were 10 cases where gas or use of weapon occurred during FY 88-89. Eight of these occurred in Segregation.

Inmate Fights

During FY 88-89, 21 occurrences of inmate fighting took place at the Kentucky State Penitentiary.

Other

There were no other significant extraordinary occurrences at the Kentucky State Penitentiary for FY 88-89.

APPENDIX

TABLE 2
FY 88 - 89
EXTRAORDINARY OCCURRENCES
BY INSTITUTION

	KSP
1. DEATH a. Natural b. Suicide c. Homicide d. Other	 1 1
2. ESCAPE a. Attempted b. Escape c. Escapee apprehension	 0
3. ASSAULT ON STAFF a. Aggravated b. Simple c. Sexual	 0 52 0
4. INMATE ASSAULT a. Aggravated b. Sexual	 22 0

TABLE 2
 FY 88 - 89
 EXTRAORDINARY OCCURRENCES
 BY INSTITUTION

	KSP
5. DANGEROUS CONTRABAND a. Drugs b. Weapons c. Other	 9 22 5
6. MEDICAL INCIDENTS a. Attempted Suicide b. Self Mutilation (serious) c. Self Mutilation (superficial) d. Accidents	 17 2 63 4
7. MISCELLANEOUS a. Furlough Incidents b. Destruction or Damage of Property over \$100 c. Riot d. Fire	 0 10 1 6

TABLE 2
 FY 88 - 89
 EXTRAORDINARY OCCURRENCES
 BY INSTITUTION

KSP	TOTALS
8. USE OF FORCE BY STAFF	
a. Use of Weapon or gas	10
b. Use of Restraints	60
c. Physical Contact by Staff	33
9. INMATE FIGHTING	21
10. OTHER	0
TOTALS	339