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# DEPENDENT ADULT AND ELDER ABUSE

IMPACT OF MINIMUM GUIDELINES FOR DEPENDENT  
ADULT AND ELDER ABUSE INVESTIGATIONS ON  
COUNTY ADULT PROTECTIVE SERVICES AGENCIES.

CHARACTERISTICS SURVEY OF DEPENDENT  
ADULT/ELDER ABUSE VICTIMS. STUDY PERIOD OF  
FEBRUARY 15, 1987 THROUGH MARCH 16, 1987

113199



STATE OF CALIFORNIA  
George Deukmejian, Governor  
HEALTH AND WELFARE AGENCY  
Clifford L. Allenby, Secretary  
DEPARTMENT OF SOCIAL SERVICES  
Linda S. McMahon, Director

113199

REPORT MANDATE

CHAPTER 1120, STATUTES OF 1985 (AB 1603, AGNOS)  
CHAPTER 1164, STATUTES OF 1985 (AB 238, PAPAN)  
CHAPTER 769, STATUTES OF 1986 (AB 3988, PAPAN)  
BUDGET ACT ITEM NUMBER 5180-001-001,  
PROVISION 10 OF SUPPLEMENTAL REPORT TO  
THE BUDGET ACT OF 1986

IMPACT OF MINIMUM GUIDELINES FOR ELDER AND  
DEPENDENT ADULT ABUSE INVESTIGATIONS ON  
COUNTY ADULT PROTECTIVE SERVICES AGENCIES

113199

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**AUG 24 1988**

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## EXECUTIVE SUMMARY

This report on the fiscal and programmatic impact of the State Department of Social Services (SDSS) Minimum Guidelines For Elder and Dependent Adult Abuse Investigations by County Adult Protective Services (APS) agencies is submitted to the Legislature in compliance with the requirements of Welfare and Institutions Code (W&IC) Section 15640. It was prepared with the cooperation and assistance of County Welfare Departments (CWDs).

### I. PURPOSE

The purpose of this report is to present information on the fiscal and programmatic impact of the minimum guidelines on County APS agencies. The information presented is based on findings from an SDSS questionnaire completed by the County APS administrative/supervisory staff of each of 42 County APS agencies.

### II. FINDINGS

#### A. Fiscal Impact

Overall, there has been no significant fiscal impact on CWD APS agencies as a result of the guidelines as there have been no APS staff increases or decreases and only a very limited increase in services in a few counties. Six CWDs added some service components primarily in the area of community outreach on the abuse reporting law.

#### B. Program Impact

The minimum guidelines have had a positive impact on APS agencies in areas of investigation, processing and management of incoming reports of abuse. Some CWDs claimed an inability to implement the guidelines in full due to inadequate staff resources. Also, the impact on APS programs in the areas of case management and the provision of other services has been negative in that staff resources for these services have been diminished in order for staff to give priority to the assessment and investigation of incoming reports of abuse. However, the SDSS guidelines are only partially responsible for the above situation as the abuse reporting law, increased referrals, and lack of funding, have all been cited by CWDs as having had significant impact on APS agencies.

### III. Recommendations

- A. Revise SDSS minimum guidelines as needed to implement legislation enacted in 1987 which amends the elder and dependent adult abuse reporting law.

- B. Continue to work with and improve efforts in community training and education related to the adult abuse reporting law in cooperation with the Department of Aging, the Department of Justice and other concerned State departments and local entities.
  
- C. Continue the Adult Protective Services Demonstration Projects (Senate Bill 129) and Emergency Shelter Demonstration Projects (Assembly Bill 57) to test various combinations of services and program structure in order to determine the most cost effective means of providing essential protective services and to establish statewide utilization of minimum guidelines.

REPORT TO THE LEGISLATURE  
ON  
MINIMUM GUIDELINES FOR  
COUNTY WELFARE DEPARTMENTS IN  
DEPENDENT ADULT AND ELDER  
ABUSE INVESTIGATIONS

I. INTRODUCTION

A. Legislative Mandate

This report on the fiscal and programmatic impact of minimum guidelines for elder and dependent adult abuse investigations on County adult protective services (APS) agencies is submitted by the State Department of Social Services (SDSS) to the Legislature in compliance with Welfare and Institutions Code (W&IC) 15640, Chapter 1164, Statutes of 1985 (AB 238); Chapter 1120 Statutes of 1985 (AB 1603); and Chapter 769, Statutes of 1986 (AB 3988). It was prepared with the cooperation and assistance of County Welfare Departments (CWDs).

Also, included in this report as an attachment (Appendix B) is a statewide characteristics survey of elder and dependent adults who were victims of confirmed abuse. The Statistical Services Section of the Management Systems and Evaluation Division of SDSS conducted the survey in compliance with the requirements of 1986-87 Budget Act Item Number 5180-001-001, Provision 10 of the Supplemental Report to the Budget Act of 1986.

B. Development of Minimum Guidelines

In accordance with the above referenced statutes, SDSS in cooperation with CWDs and in consultation with the State Department of Justice, the State Department of Aging, the State Department of Developmental Services, and the State Department of Education developed minimum guidelines for determining when an investigation of an allegation of abuse of an elder or dependent adult is warranted. Copies of the minimum guidelines were distributed to all CWDs on December 19, 1986 via All County Letter Number 86-133 in which County APS agencies were instructed to use the guidelines whenever a report of suspected elder abuse or dependent adult abuse was received.

1. Scope of Guidelines

While W&IC Section 15640 specifically mandated the establishment of minimum guidelines for determining when an investigation of abuse is warranted, legislative language did not mandate basic minimum standards or requirements for these guidelines. Criteria for determining when an investigation of abuse is warranted are difficult to establish because concerned individuals, even trained professionals, often have different views as to what situations may or may not warrant investigation. Many times

it is not possible to determine whether an investigation is warranted until after an investigation has begun. However, it was determined that the proposed guidelines should permit county social services staff to initially distinguish between reports of abuse which come within the statutory definitions of abuse and those reports which can be identified as merely "complaints" (accusations/information irrelevant to statutory adult abuses).

Legislation (W&IC 15635(b), Chapter 769, Statutes of 1986, (AB 3988)) acknowledged the limitation of funds available to County APS agencies to resolve all reported cases of suspected abuse. Accordingly, SDSS recognized that CWDs would be unable to respond to all reports of abuse and therefore advised counties to prioritize responses to incoming reports of suspected abuse based on the immediacy and severity of the threat to the personal health and safety of the suspected victims.

## 2. General Overview of Guidelines

- a. The guidelines provide statutory definitions related to elder and dependent adult abuse and reporting requirements, statutory requirements, and responsibilities for County APS agencies. Basically, APS agencies are instructed to accept and follow-up on reports of elder and dependent abuse which occur in the community outside of long-term care facilities.
- b. The guidelines also provide: (1) information and considerations to help staff determine whether the need for an investigation is indicated; (2) statutory requirements for mandated reporters; and (3) requirements for cross-reporting to other agencies.
- c. Finally, the guidelines provide detailed investigative procedures and activities for APS staff to follow in those instances where an investigation is needed.

## II. LEGISLATIVE BACKGROUND

The following provides statutory requirements and legislative background information regarding the progression of the adult abuse reporting law, the SDSS minimum guidelines, and SDSS characteristics surveys of victims of abuse and their perpetrators.

### A. Elder and Dependent Adult Abuse Reporting Law

AB 3988 (Chapter 769, Statutes of 1986) which became law in September 1986 consolidated and clarified the elder and dependent adult abuse reporting laws, requirements, and definitions. The bill repealed the provisions of SB 1210 (Chapter 1273, Statutes of 1983) on Elder Abuse Reporting, and amended sections of AB 238 (Chapter 1164, Statutes of 1985) on Dependent Adult Abuse Reporting. The bill contained an urgency clause and was therefore effective immediately except that a 60 day "grace" period was allowed in meeting its requirements.

Under this bill, the mandated reporting of physical abuse of elders and dependent adults continues to be required for care custodians, health practitioners, and employees of County APS agencies or local law enforcement agencies, except that the bill specifically exempts from mandated reporting, persons who do not work directly with elders or dependent adults as part of their official duties (including support and maintenance staff). Reporting of other types of abuse continues to be permissive for both mandated and non-mandated reporters.

A significant change in the bill required reporting of elder and dependent adult abuse to the local long-term care (LTC) ombudsman coordinator or a local law enforcement agency when abuse occurs in a LTC facility. County APS agencies are to accept all reports of abuse which occur outside of an LTC facility. The LTC ombudsman or local law enforcement agency is responsible for investigation of suspected elder and dependent adult abuse which occurs in LTC facilities while County APS agencies remain responsible for the investigation when abuse occurs anywhere else.

An important feature of the bill was amendment of Section 398 of the Penal Code to provide penalties for persons who cause or permit suffering or inflict unjustifiable physical pain or mental suffering on an elder or dependent adult or who violate laws of theft and/or embezzlement against such persons. Persons having care or custody of any elder or dependent adult and who willfully cause or permit their health to be injured or endangered are also subject to penalties.

#### B. Minimum Guidelines

AB 238 (Chapter 1164, Statutes of 1985) and AB 1603 (Chapter 1120, Statutes of 1985) both mandated the establishment by SDSS of minimum guidelines for determining when an investigation of an allegation of abuse is warranted. Following enactment of the above legislation, the process for the development of the guidelines was started by establishing contacts with other State department representatives and forming a County Welfare Directors Association (CWDA) work sub-committee for county representation and input. However, finalization of the guidelines was delayed due to legislative proposals to consolidate the abuse reporting laws and definitions in AB 3988 which was enacted into law in September 1986. Following incorporation of elements of newly enacted AB 3988, the guidelines were finalized and copies distributed to all CWDs for use by APS staff.

#### C. Dependent Adult/Elder Abuse Characteristics Survey

The first statewide characteristics survey of dependent adult and elder abuse victims was submitted to the Legislature in October 1985 and covered the report month of July 1984. Development of that report was in compliance with the requirements of W&IC 15620 and reported on the characteristics of dependent adults and elder persons who were victims of abuse by others.



The attached Dependent Adult/Elder Abuse Characteristics Survey was developed in compliance with the 1986-87 Budget Act and covers a 30-day period from February 15 through March 16, 1987. This survey reports not only on the characteristics of dependent adults and elder persons who were victims of confirmed abuse by others, but also on the characteristics of dependent adults and elder persons who were self-abused.

### III. METHODOLOGY USED TO DETERMINE IMPACT OF MINIMUM GUIDELINES ON COUNTY APS AGENCIES

Evaluative procedures used to review the fiscal and programmatic impact of minimum guidelines on County APS agencies were necessarily limited due to: (1) lack of information and standards on individual county processing of reports of abuse of elders and dependent adults prior to distribution of SDSS minimum guidelines in December 1986; (2) disparity among counties in the handling of reports of abuse; and (3) very limited monitoring of County APS program operations. Therefore, in order to gather data which could be useful in helping to determine the fiscal and programmatic impact of the minimum guidelines on CWD APS agencies, SDSS chose to gather information directly from CWDs through the use of a questionnaire for all counties (copy attached, Appendix C). This questionnaire was designed to gather information which would reflect both fiscal and programmatic impact although responses to some of the questions related to programmatic impact tend to be somewhat subjective. SDSS requested that CWD APS administrative and supervisory staff provide responses to the questionnaire.

### IV. FINDINGS

#### A. CWDs Return of Questionnaires

Forty-two (42) of fifty-eight (58) CWDs, or, seventy-two (72) percent completed and returned questionnaires. Responses to questions are summarized below in chronological order. Total number of responses under some questions may differ from the total number of CWD respondents as not all questions were answered by all respondents. Besides responding to the questions asked, many respondents added comments related to the impact of the abuse reporting law on their respective APS programs. Inasmuch as the minimum guidelines are based on the requirements of the reporting law which specifies or implies certain mandated activities to be undertaken by County APS agencies, the aforementioned comments are included below.

#### B. CWD Responses

##### 1. CWD utilized its own written guidelines for:

	Yes	No
a. screening abuse reports	23	17
b. investigating abuse reports	19	20

2. a. CWD now follows SDSS guidelines 38 2  
when receiving and/or investi-  
gating abuse reports
- b. How beneficial (Very, Moderate,  
Somewhat) the guidelines have  
been in the following areas:  
(Shown by percentages of CWD  
responses)

	Percent		
	Very	Moderate	Somewhat
(1) Information	27%	46%	27%
(2) Process	26	34	40
(3) Screening	25	36	39
(4) Investigation	26	37	37
(5) Interaction with other agencies	27	32	15
(6) Program Management	6	50	16
(7) Program Uniformity	20	43	37
(8) Program Effective- ness	0	59	41
(9) Program Efficiency	3	55	42

The percentage of CWDs responding to the above was from 79 percent to 91 percent of total CWD respondents. Responses show that the guidelines were most beneficial in areas (1) through (5) above i.e.: "Information" - reporting law, requirements and responsibilities of APS agencies; "Process" and "Screening" - handling and initial assessment of incoming reports of abuse; "Investigation" - indicators of abuse and activities of APS staff; and "Interaction With Other Agencies" - identification of other agencies and solicitation of their participation in the investigation process or in acquisition of information. In the areas of program ((6) through (9) above), the guidelines seem to be most helpful for "Program Uniformity" - providing structure and helping to develop protocols in meeting requirements.

Some characteristic CWD comments within 2. b. above were:

- (1) Lack of staff resources to implement the guidelines in full or to implement them at all;
- (2) Guidelines reinforced and standardized CWD policies and procedures;
- (3) Guidelines complemented CWD guidelines already in place;
- (4) More guideline specifics and detail needed.

3. a. Existing Memorandum of Understanding (MOU) between CWD and other specified local agencies including local long-term care ombudsman programs and law enforcement agencies:

Numbers of CWDs With MOUs		Implemented in 1987		Impacted by Guidelines	
Yes	No	Yes	No	Yes	No
11	30	9	2	5	6

- b. Number of CWDs currently in discussion with local agencies regarding reporting law:

Yes	No
27	10

NOTE: Numbers under 3 a. and b. do not total as some CWDs have MOUs with some local agencies while in a discussion phase with other local agencies.

4. a. Are all incoming reports of abuse investigated by CWD?

Number of CWDs

Yes	No
29	13

- b. Types of cases which may not be investigated.

CWDs which answered "No" under a. above provided reasons why all reports are not investigated, as follows:

- (1) Some abuse reports are referred to other agencies for investigation;
- (2) "Cases referred by other case management agencies for investigation are not accepted due to lack of staff;"
- (3) Inappropriate referrals;
- (4) Inadequate staff resources for in-depth initial assessment at all times;
- (5) Reports considered low priority.

5. a. Are all reports investigated immediately following screening?

Number of CWDs

Yes	No
7	34

b. Are screened reports prioritized for investigation?

Number of CWDs

Yes	No
-----	----

37	0
----	---

c. Are time frames used for priority of investigations?

Numbers of CWDs

Yes	No
-----	----

31	3
----	---

d. Describe types of time frames used.

All of the "Yes" respondents under c. above indicated there is immediate investigation when a suspected victim is in danger, in a life-threatening situation, or is at risk. In cases where there is no immediate danger investigations are performed within 24 hours to 72 hours. All other cases are investigated within 5 working days to as much as 2 weeks. The "No" respondents indicated that all cases are investigated immediately based on "common sense" of degree of danger to suspected victim.

#6. Approximate percentages of the APS clients who are abuse victims:

Total percentage abuse victims	Percentage abused by others	Percentage victims of self-abuse
73	45	28

7. Average number of clients per APS staff person:

Approximate 44 cases

NOTES: (1) APS staff in smaller Counties which have caseloads that include clients of other programs such as In-Home Supportive Services (IHSS) are not included in the above average caseload due to the difficulty in extracting the numbers of APS clients in mixed caseloads.

(2) The reader should be aware that in many counties APS staff screen and investigate incoming cases including abuse reports as well as provide services to a caseload as reflected in CWD responses.

\* APS total client caseload is comprised of approximately 73 percent abuse victims as shown; it is presumed that the remaining 27 percent of the 100 percent caseload consists of "at-risk" clients.

8. Implementation of Minimum Guidelines had an impact on the following areas within the APS program:

a. APS staff paid positions: Yes 11 No 21

The majority of "Yes" respondents commented that the guidelines have impacted on current APS staff in that investigations take longer and have priority over servicing caseloads. The "No" respondents overwhelmingly indicated that the abuse reporting law has had major impact on APS staff citing increase in referrals, inadequate staff resources and staff "burnout," inability to provide intensive casework on existing caseloads.

There have been no APS staff positions added or eliminated due to the minimum guidelines.

b. Have caseloads increased or decreased and by how much of a percentage.

A total of 21 respondents stated caseloads have increased due to the reporting law rather than to the minimum guidelines. Percentages of caseload increases varied by county from 3 percent to 200 percent. (SDSS data shows a statewide APS caseload increase of 11.2 percent from January 1, 1987 through June 30, 1987).

c. Have services been impacted by the guidelines?

Number of CWDs

Yes No

10 21

Services added by those respondents answering "Yes" include representative payee, outreach, community awareness programs, and emergency shelters. The "No" respondents cited the reporting law as the major reason for increased impact on services already in place.

9. A summary of comments by the Counties regarding the guidelines is as follows (some of the comments have been mentioned above):

- a. Staff resources are inadequate to implement the SDSS minimum guidelines in full or at all;
- b. Abuse investigations have priority over APS caseload service requirements;
- c. CWDs are unable to fund additional services and there is greater demand on other agency services;
- d. Minimum guidelines have helped standardize investigations procedures and helped develop protocols to meet requirements.

10. CWDs planning or implementing any changes in APS program in FY 1987-88 as a result of the minimum guidelines.

Number of CWDs

Yes	No
-----	----

6	22
---	----

CWDs which responded "Yes" indicated that the guidelines promoted increased consultation with other agencies, helped develop internal policies and protocols to meet requirements, and will help educate the professional community. One CWD is requesting two additional APS positions due to the reporting law. Another CWD is planning to increase APS staff as a result of the increase in abuse reports and follow-up services, as needed. There were no significant comments from the Counties which responded "No".

### C. Summary of Findings

Seventy-two (72) percent of CWDs (42 of 58 counties) responded to the questionnaire which was used to determine the fiscal and programmatic impact of the SDSS minimum guidelines on CWD APS programs. Overall, there has been little or no fiscal impact on CWD APS agencies as a result of the minimum guidelines whereas programmatically the impact of the guidelines has been rather significant.

Fiscal impact of the guidelines is limited to very few Counties. All CWDs reported no APS staff positions added or eliminated due to the minimum guidelines. In the area of services, six CWDs have added components of community outreach, expansion of an existing representative payee program in one county, and development of a limited emergency shelter in another county.

Programmatically, the guidelines generally have had a positive impact in many areas within APS programs particularly for provision of information, management and processing of abuse reports, meeting statutory requirements, and interaction with other agencies. Also, the guidelines apparently have been beneficial in standardizing policies and practices and reinforcing guidelines already in place in some Counties.

However, many CWDs indicated or implied that the minimum guidelines could not be fully implemented at all times due to inadequate staff resources, an increase in referrals as other agencies become increasingly aware of their reporting responsibilities and a growing awareness of the reporting law in the community. Also, abuse investigations of suspected "at-risk" victims have priority over existing APS caseloads and, therefore, case management is less intensive.

### V. RECOMMENDATIONS

- A. Revise SDSS minimum guidelines as needed to implement legislation enacted in 1987 which amends the elder and dependent adult abuse reporting law.
- B. Continue to work with and improve efforts in community training and education related to the adult abuse reporting law in cooperation with the Department of Aging, the Department of Justice and other concerned State departments and local entities.
- C. Continue the Adult Protective Services Demonstration Projects (Senate Bill 129) and Emergency Shelter Demonstration Projects (Assembly Bill 57) to test various combinations of services and program structure in order to determine the most cost effective means of providing essential protective services and to establish statewide utilization of minimum guidelines.

VII  
APPENDICES



Data

Confirmed Cases of Abuse  
Reported During Survey Periods

	Elders		Dependent Adults			Totals	Total Confirmed Cases
	Abuse by Others	Self-Abuse	Totals	Abuse by Others	Self-Abuse		
<u>1987</u>							
County Adult Protective Services Agencies (APS)	340	351	691	198	158	356	1047
<sup>3</sup> Abuse in Long-Term Care (LTC) Facilities	97	1	98	38	2	40	138
		Totals	789			396	1185
<u>1984</u>							
County Adult Protective Services Agencies (APS)	456	Unknown		233	Unknown		689
<sup>4</sup> Abuse in LTC Facilities (Physical Abuse Only)							1009
			1009 (includes elders and dependent adults)			Total	1698

The total figure of 1185 confirmed cases for the 1987 survey period (1047 confirmed survey cases, and an estimated 138 confirmed abuse cases in LTC facilities) is not likely an

<sup>3</sup> Extrapolated from State Department of Aging, Office of the Long-Term Care Ombudsman data for the month of July 1987.

<sup>4</sup> SDSS Dependent Adult and Elder Abuse Report to the Legislature; Report year, 1984.

PROFILES OF ABUSED - 1984 AND  
1987 CHARACTERISTICS SURVEYS AND  
CONFIRMED ABUSE DATA

Profiles of victims of elder and dependent adult abuse perpetrated by others during the 1987 survey period were similar to profiles of abuse victims in the survey period of 1984, as indicated below:

	<sup>1</sup> 1987		1984	
	Elders	Dependent Adults	Elders	Dependent Adults
Age (Average)	78.4	40.8	78	44
Ethnic Majority	White	White	White	White
<sup>2</sup> Abuse (Percentages of Total Abuse by type)				
Physical	33.3%	51.6%	45%	52.8%
Fiduciary	41.5	33.3	41.9	29.6
Neglect	34.7	28.8	30.3	25.5
Abandonment	5.8	6.1	3.5	4.7
Mental Suffering	23.2	19.7	26.5	26.2
Abuser (Percentages)				
Male	47.9%	59.1%	46.5%	58.4%
Female	44.1	33.3	41.4	28.7
Unknown	8.0	7.6	12.1	12.9

<sup>1</sup> Please refer to appropriate tables in Appendix B for other categories and percentages of data presented.

<sup>2</sup> The percentages of Total Abuse by type totals more than 100 percent, as more than one type of abuse is frequently perpetrated in a single reported incidence.

accurate reflection of the frequency of elder and dependent adult abuse in the State and may be attributed to the following factors:

- a. The current adult abuse reporting law which became operative January 1, 1987 and placed responsibility of accepting and investigating reports of abuse in LTC facilities from County Adult Protective Services (APS) agencies to local LTC ombudsman programs and law enforcement agencies may have created some gaps in coverage of abuse in LTC facilities as provided in statute.
- b. Investigation of abuse reports by CWD APS agencies may have been less intensive due to workload, or investigations may not have been completed during the survey period, also due to lack of staff resources and therefore, these reports could not be counted as confirmed.

(Note: Revision of the monthly Dependent Adult and Elder Abuse Reporting form (SOC 340) completed by County APS agencies and sent to SDSS, and development of a monthly reporting form (SOC 340A) used by local LTC ombudsman programs and sent to the State Department of Aging, Office of the Long-Term Care Ombudsman, hopefully will provide in the future more accurate data on reports of abuse received and confirmed. (Copies of aforementioned reporting forms are attached.) (Appendices D and E.)

# **DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY**

Study Period of  
February 15, 1987 through March 16, 1987



**STATE OF CALIFORNIA**  
George Deukmejian, Governor  
**HEALTH AND WELFARE AGENCY**  
Clifford L. Allenby, Secretary  
**DEPARTMENT OF SOCIAL SERVICES**  
Linda S. McMahon, Director

**DATA PROCESSING AND STATISTICAL SERVICES BUREAU**

DEPENDENT ADULT/ELDER ABUSE  
CHARACTERISTICS SURVEY

SURVEY PERIOD  
February 15, 1987 through March 16, 1987

Department of Social Services  
Data Processing and Statistical Services Bureau  
December 1987

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## INTRODUCTION

The statistical data presented in this report was developed to compile with the Supplement Report of the 1986 Budget Act which contained agreed upon language requesting the Department of Social Services (DSS) to conduct a statewide characteristics survey of abuse victims and the alleged abusers. The information for this report was derived from a survey conducted of all reports of abuse that were received and confirmed by the County Welfare Departments (CWDs) during the 30-day period of February 15, 1987 through March 16, 1987.

Additional copies of this report may be obtained upon request from:

Warehouse  
Department of Social Services  
Post Office Box 22429  
Sacramento, CA 95822-3799

Special thanks should be given to both State and County staff for their cooperation in the gathering and processing of this survey data.

## METHODOLOGY

### STUDY POPULATION

The data in this report reflect characteristics of 100 percent of dependent adults and elder persons for whom a report of abuse was received and confirmed by the CWDs during the study period of February 15, 1987 through March 16, 1987.

For the purposes of this survey, a dependent adult was defined as any person between the ages of 18 and 64 who had a physical or mental limitation which restricted his or her ability to carry out normal activities or to protect his or her rights, including, but not limited to, persons who had physical or developmental disabilities or whose physical or mental abilities had diminished because of age. An elder person was defined as any person age 65 and over. Within these two groups, victims were stratified by those who were victims of abuse perpetrated by another person and by those who were victims of self-inflicted abuse.

For the survey period, the total number of persons for whom abuse was confirmed was 1,047. The total number of persons who were victims of abuse perpetrated by another person was 538; 198 dependent adults and 340 elder persons. The total number of persons who were victims of self-abuse was 509; 158 dependent adults and 351 elder persons.

### SURVEY QUESTIONNAIRE

The survey questionnaire was designed by the Data Processing and Statistical Services Bureau of the DSS. County staff then completed the questionnaires and returned them to DSS for processing and preparation of this report. The questionnaire was an 11 x 17 one-page document with the survey questions on one side and the corresponding instructions on the reverse side. See Appendix A for a reduced (8 x 11) version of the questionnaire and instructions.

### SOURCE OF DATA

All information was taken from county Adult Protective Services (APS) case records; the victims of abuse were not interviewed.

### DATA PRESENTATION

The data in this publication is presented in two sections. The first section presents characteristics on dependent adults and elder persons who were victims of abuse perpetrated by another person. The second section presents characteristics on dependent adults and elder persons who were victims of self-abuse. Data on both these groups represent the actual number of persons for whom a report of abuse was received and confirmed during the study period. Due to rounding, the frequencies reported within the tables may or may not add to the totals vertically and/or horizontally. Tables where a high percentage of "unknown" is present are an indication of data not usually required to be part of the APS case file.



PROFILES

PROFILE OF DEPENDENT ADULTS AND ELDER PERSONS WHO WERE VICTIMS OF  
ABUSE PERPETRATED BY ANOTHER PERSON

DEPENDENT ADULTS

The Abused

During the survey period, 68.7 percent of the dependent adults who were victims of abuse were female. The average age was 40.8 years and the majority (60.6%) were of white ethnic origin. Physical disability, at 51.0 percent, was the most common disability among dependent adult victims. The majority of victims (65.7%) lived in their own home and received an average known monthly income of \$553. About one-fourth (27.3%) of the dependent adults were receiving SSI/SSP and only a very small percent (4.0%) were medically needy only cases.

The Alleged Abuser

A male was the alleged perpetrator of abuse in 59.1 percent of the cases. The average age of the alleged abuser was 38.6 years and, in most instances, the ethnic origin was white (54.5%). The alleged abuser was most often, 57.1 percent of the time, a relative of the victim.

The Abuse

Physical abuse was the most common type of abuse occurring in 51.6 percent of the cases. For this survey, physical abuse was identified as assault and/or battery, constraint and/or deprivation, and sexual abuse. Fiduciary abuse was the second most frequent type of abuse (33.3%) followed by neglect (28.8%). The large majority, 79.3 percent, of abuse occurred in an urban area on a sporadic basis and most usually in the victim's own home. The abuse was reported by a public agency 27.3 percent of the time. The victim reported the abuse 17.7 percent of the time compared to the abuser who reported only 0.5 percent of the time.

The Services

Nearly three-fourths (72.7%) of the victims of confirmed dependent adult abuse were referred for and accepted services. Of those referred, 72.9 percent were provided services by the CWD and 43.8 percent received services from another public agency. Case management and out-of-home care or placement were, in that order, the most frequently provided services. About one in five (18.7%) victims had previously been referred for APS. Of those, 59.5 percent were placed under supervision at the time of the prior referral. The majority of those cases (81.8%) were referred for abuse inflicted by another person. However, more than three-fourths (77.3%) were no longer under APS supervision at the time of this most recent referral.

PROFILE OF DEPENDENT ADULTS AND ELDER PERSONS WHO WERE VICTIMS OF  
ABUSE PERPETRATED BY ANOTHER PERSON

ELDER PERSONS

The Abused

The abused elder person was a female in 70.6 percent of the cases. The average age was 78.4 years and the large majority (76.2%) were of white ethnic origin. A high percentage (77.1%) of the elder victims were categorized as being disabled. Of the cases that were classified as disabled, the majority (88.2%), had a physical disability. The victims lived in their own homes in 72.6 percent of the cases and received an average known monthly income of \$708. Almost one-third (30.6%) of the abused elder persons were known to be receiving SSI/SSP. In addition, 5.3 percent were known to be medically needy only cases.

The Alleged Abuser

The alleged abusers were male in 47.9 percent of the cases and female in 44.1 percent of the cases. The alleged abuser's sex was unknown in the remaining cases. The average known age was 48.5 years and in 67.4 percent of the cases the alleged abuser's ethnic origin was white. Of the alleged abusers, 68.5 percent were relatives of the victims.

The Abuse

The type of abuse with the highest rate of occurrence among the elderly was fiduciary abuse (41.5%). Physical abuse was second and occurred in 33.3 percent of the cases. Most of the abuse was reported to have happened on a sporadic basis (35.6%) in an urban area (81%). The abuse took place in the victim's home 51.2 percent of the time and, was reported most frequently (24.4%), by the victim's care custodian, health practitioner or employee.

The Services

Of the elder abuse victims who were referred for and accepted services (75.6%), the majority (80.9%), received services from the CWD. Case management was by far the most often provided service (65.8%). In-home care was second (21.4%) followed by medical care (20.6%). About one in five of the elder abuse cases had previously been referred for APS. Of those, 62.9 percent were placed under supervision at the time of the prior referral. The majority (74.4%) were referred for abuse perpetrated by another person. However, more than three-fourths (82.1%) were no longer under APS supervision at the time of this most recent referral.

PROFILE OF DEPENDENT ADULTS AND ELDER PERSONS  
WHO WERE VICTIMS OF SELF-ABUSE

DEPENDENT ADULTS

The Self-Abused

The average known age of the self-abused dependent adult was 46.2 years. Slightly more females (52.5%) were victims of self-abuse than males (47.5%). The majority of self-abused persons were of white ethnic origin (72.8%). Over half (55.1%), of the self-abused were disabled due to a mental disability. The second most common type of disability was physical disability at 44.3 percent. The self-abused dependent adult lived in their own home 54.4 percent of the time and for the most part (54.7%) those individuals lived alone. The average known monthly income was \$513 and 44.9 percent of the self-abused were known to be receiving SSI/SSP. Only 4.4 percent were medically needy only cases.

The Self-Abuse

The most common type of abuse was physical abuse which represented 66.5 percent of the self-abuse cases. It is important to note that for the self-abuse cases, self-neglect was classified as physical abuse. Therefore, the result of the physical abuse most often (50.5%) resulted in no injury to the abused person. The large majority (80.4%) of self-abuse occurred in an urban area on a daily basis (46.8%) and took place in the home of the self-abused person (63.5%). Although the abuse was self-inflicted, in one out of four cases (26.6%), it was the self-abused person that reported the abuse.

The Services

The self-abused persons were referred for and accepted services in 72.8 percent of the cases. For those persons who were referred for and accepted services, the CWD provided the majority of services (68.7%). The service provided most often, regardless of the provider, was case management (40.0%). The second most provided service was medical care which accounted for 27.0 percent of the services provided. About a fourth (24.1%) of the dependent adults had been previously referred for APS. Of those, 60.5 percent were placed under supervision at the time of the prior referral. The large majority (91.3%) of those cases were referred and placed under supervision for self-abuse. However, more than half (60.9%) were no longer under APS supervision at the time of this most recent referral.

PROFILE OF DEPENDENT ADULTS AND ELDER PERSONS  
WHO WERE VICTIMS OF SELF-ABUSE

ELDER ADULTS

The Self-Abused

The majority of self-abused elder persons were female (66.7%). The average known age was 77.6 years and, in most instances (86.3%), the abused person was of white ethnic origin. Elder persons with a disability accounted for 84.6 percent of the cases. Of those with a disability, physical disability was the most common (79.8%). Most (78.9%) of the self-abused elders lived in their own home and the large majority of those (75.8%) lived alone. The average amount of known income received was \$649. The self-abused elder persons known to be receiving SSI/SSP represented 37.0 percent of the cases with only 6.0 percent known to be medically needy only cases.

The Self-Abuse

The type of self-abuse with the highest rate of occurrence was physical abuse which occurred 87.5 percent of the time. It is important to note that for the purposes of this survey, self-neglect was considered physical abuse. This, no doubt, contributed to the high percentage of physical abuse resulting in no injury to the abused person (43.6%). Over half (56.7%) of the self-abuse happened on a daily basis. Most often the abuse occurred in the person's own home (63.5%) which was usually located in an urban area (78.9%). Although a public agency reported the abuse 23.4 percent of the time, concerned citizens reported the elder self-abuse 22.5 percent of the time.

The Services

Of the 68.7 percent of self-abused elder persons who were referred for and accepted services, 86.3 percent received services from the CWD. Case management (60.6%) and medical care (29.0%) were the services most often provided. A little less than a fourth of the cases (24.8%), had previously been referred for APS. Of those, 58.6 percent were placed under supervision at the time of the prior referral. The large majority (96.1%) of those cases were referred and placed under supervision for self-abuse. However, at the time of this most recent referral, the majority (82.4%) were no longer under APS supervision.

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DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

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DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

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Total .....	32	--
Dependent Adults .....	32A	--
Elder Persons .....	32B	--
Sex of the Abuser by Sex of the Abused:		
Total .....	33	--
Dependent Adults .....	33A	--
Elder Persons .....	33B	--

VICTIMS OF ABUSE PERPETRATED BY ANOTHER PERSON

Table 1

Age of the Abused

For the study period of February 15, 1987 through March 16, 1987, a total of 538 reports of abuse were received and confirmed. These confirmed reports of abuse were stratified by Dependent Adults and Elder Persons. The classification of Dependent Adults versus Elder Persons was determined by age. Dependent Adults were defined as any person between the ages of 18 and 64 who had a physical or mental limitation which restricted his or her ability to carry out normal activities or to protect his or her rights, including, but not limited to, persons who had physical or developmental disabilities or whose physical or mental abilities had diminished because of age. Elder Persons were defined as any person age 65 and over. As reflected below on Table 1, the majority of the total cases reported were Elder Persons who had an average known age of 78.4 years. Dependent Adults accounted for a little over a third of the total cases and had an average known age of 40.8 years.

DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 1  
AGE OF THE ABUSED

AGE	TOTAL		PERSONS			
	NUMBER	PERCENT	DEPENDENT ADULTS		ELDER PERSONS	
			NUMBER	PERCENT	NUMBER	PERCENT
TOTAL.....	538	100.0	198	100.0	340	100.0
18-64 YEARS OLD .....	197	36.6	197	99.5	0	0.0
65 YEARS AND OVER .....	334	62.1	0	0.0	334	98.2
UNKNOWN .....	7	1.3	1	0.5	6	1.8
AVERAGE AGE .....	64.4		40.8		78.4	

Table 2

Sex Of The Abused

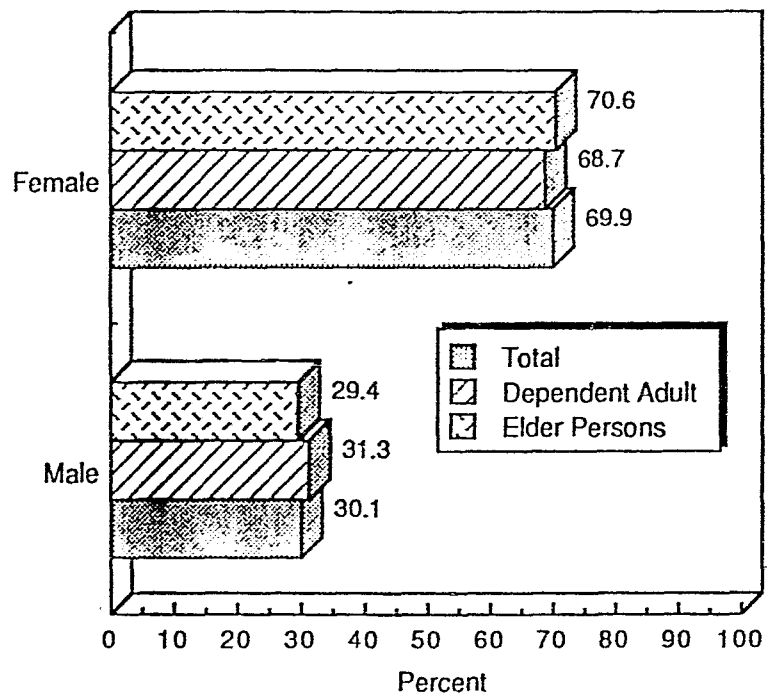


Table 3

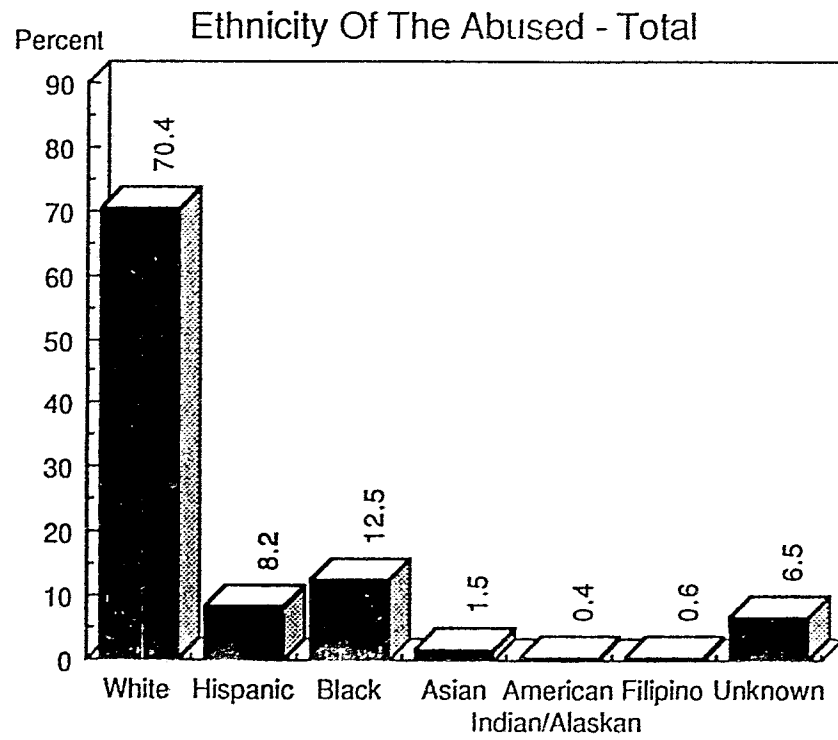
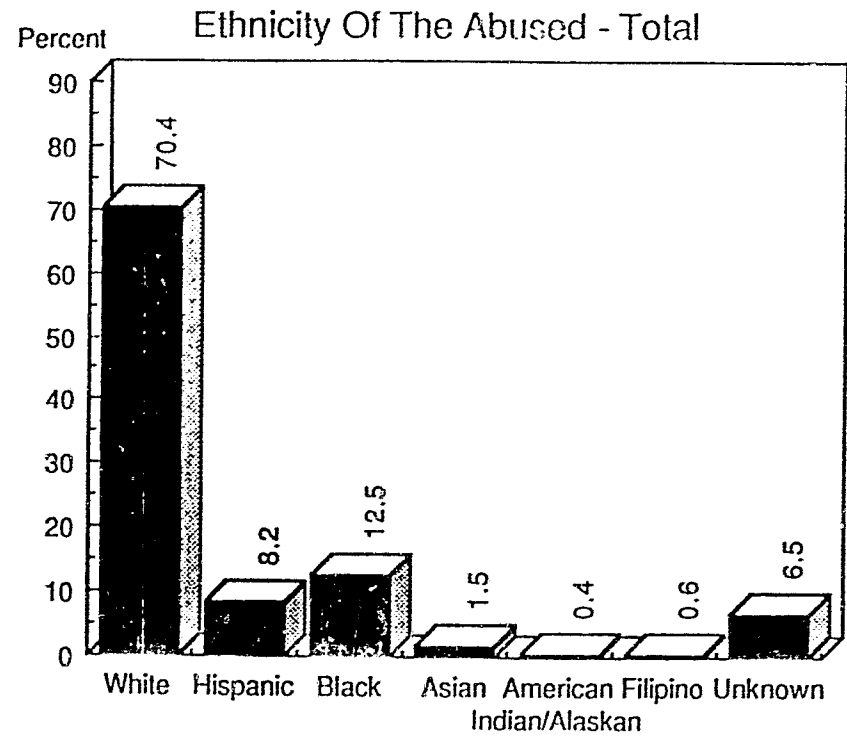


Table 3



DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 3  
ETHNICITY OF THE ABUSED

ETHNICITY	TOTAL		PERSONS			
	NUMBER	PERCENT	DEPENDENT ADULTS		ELDER PERSONS	
			NUMBER	PERCENT	NUMBER	PERCENT
TOTAL .....	538	100.0	198	100.0	340	100.0
WHITE .....	379	70.4	120	60.6	259	76.2
HISPANIC .....	44	8.2	20	10.1	24	7.1
BLACK .....	67	12.5	38	19.2	29	8.5
ASIAN .....	8	1.5	4	2.0	4	1.2
AMERICAN INDIAN/ALASKAN NATIVE .....	2	0.4	1	0.5	1	0.3
FILIPINO .....	3	0.6	2	1.0	1	0.3
UNKNOWN .....	35	6.5	13	6.6	22	6.5



Table 4

Disability Status of the Abused

Of the total cases reported during the study period, 85.5 percent were classified as having a disability of some type. Of course, this percentage was influenced by the Dependent Adult population which, by definition, required 100 percent of the Dependent Adult cases to be disabled. However, even three-fourths of the abused Elder Persons were classified as being disabled. For both Dependent Adults and Elder Persons, the majority of cases were disabled due to a physical disability.

DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 4  
DISABILITY STATUS OF THE ABUSED

DISABILITY	TOTAL		PERSONS			
	NUMBER	PERCENT	DEPENDENT ADULTS		ELDER PERSONS	
			NUMBER	PERCENT	NUMBER	PERCENT
TOTAL.....	<u>538</u>	<u>100.0</u>	<u>198</u>	<u>100.0</u>	<u>340</u>	<u>100.0</u>
NO DISABILITY/UNKNOWN .....	78	14.5	0	0.0	78	22.9
DISABILITY .....	<u>460</u> A/	85.5	<u>198</u> A/	100.0	<u>262</u> A/	77.1
DEVELOPMENTALLY DISABLED .....	58	12.6	57	28.8	1	0.4
MENTALLY DISABLED .....	127	27.6	77	38.9	50	19.1
PHYSICALLY DISABLED .....	332	72.2	101	51.0	231	88.2
BRAIN IMPAIRED .....	76	16.5	11	5.6	65	24.8

A/ BECAUSE A CASE MAY HAVE MORE THAN ONE RESPONSE, NUMBERS AND PERCENTAGES MAY NOT ADD UP TO TOTAL.

Table 5

Living Arrangement of the Abused Who Live in Their Own Home

Overall, most of the victims of abuse (70.1%) lived in their own home. However, when Dependent Adults and Elder Persons are considered independently, the specifics of their living arrangements were quite different. Of the Dependent Adults that lived in their own home, the majority were living with their parents (24.6%) or with their spouse (24.6%). As might be expected, due to the definition of a Dependent Adult, the smallest percentage (19.2%) were found to be living alone. The majority of Elder Persons lived with their spouse (29.1%) or with their offspring (28.3%). The Elder Persons lived alone 27.1 percent of the time.

DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 5  
LIVING ARRANGEMENT OF THE ABUSED WHO LIVE IN THEIR OWN HOME

LIVING ARRANGEMENT	TOTAL		PERSONS			
	NUMBER	PERCENT	DEPENDENT ADULTS		ELDER PERSONS	
			NUMBER	PERCENT	NUMBER	PERCENT
TOTAL .....	538	100.0	198	100.0	340	100.0
DOES NOT LIVE IN OWN HOME .....	161	29.9	68	34.3	93	27.4
LIVES IN OWN HOME: .....	377 A/	70.1	130 A/	65.7	247 A/	72.6
ALONE .....	92	24.4	25	19.2	67	27.1
WITH PARENTS .....	32	8.5	32	24.6	0	0.0
WITH SPOUSE .....	104	27.6	32	24.6	72	29.1
WITH OFFSPRING .....	101	26.8	31	23.8	70	28.3
WITH OTHER PERSONS .....	92	24.4	30	23.1	62	25.1
UNKNOWN .....	3	0.8	0	0.0	3	1.2

A/ BECAUSE A CASE MAY HAVE MORE THAN ONE RESPONSE, NUMBERS AND PERCENTAGES MAY NOT ADD UP TO TOTAL.

DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 6

LIVING ARRANGEMENT OF THE ABUSED WHO DO NOT LIVE IN THEIR OWN HOME

LIVING ARRANGEMENT	TOTAL		PERSONS						
	NUMBER	PERCENT	DEPENDENT ADULTS			ELDER PERSONS			
			NUMBER	PERCENT		NUMBER	PERCENT		
TOTAL .....	538	100.0	198	100.0			340	100.0	
LIVES IN OWN HOME .....	377	70.1	130	65.7			247	72.6	
DOES NOT LIVE IN OWN HOME BUT IN: ..	161	29.9	100.0				93	27.4	100.0
HOME OF OFFSPRING .....	22	13.7	2	2.9			20	21.5	
OTHER PRIVATE RESIDENCE .....	40	24.8	17	25.0			23	24.7	
COMMUNITY CARE FACILITY .....	68	42.2	38	55.9			30	32.3	
NURSING FACILITY .....	16	9.9	1	1.5			15	16.1	
NO SHELTER .....	7	4.3	4	5.9			3	3.2	
HOTEL/MOTEL .....	5	3.1	3	4.4			2	2.2	
SHELTER .....	1	0.6	1	1.5			0	0.0	
OTHER .....	1	0.6	1	1.5			0	0.0	
UNKNOWN .....	1	0.6	1	1.5			0	0.0	

DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 7  
MONTHLY INCOME OF THE ABUSED

INCOME	TOTAL		PERSONS						
	NUMBER	PERCENT	DEPENDENT ADULTS		ELDER PERSONS		NUMBER	PERCENT	
			NUMBER	PERCENT	NUMBER	PERCENT			
TOTAL .....	538	100.0	198	100.0			340	100.0	
NO INCOME/UNKNOWN .....	220	40.9	74	37.4			146	42.9	
INCOME: .....	318	59.1	124	62.6	100.0		194	57.1	100.0
\$1-99 .....	4	1.3	1	0.8			3	1.5	
\$100-199 .....	3	0.9	1	0.8			2	1.0	
\$200-299 .....	15	4.7	10	8.1			5	2.6	
\$300-399 .....	17	5.3	8	6.5			9	4.6	
\$400-499 .....	18	5.7	6	4.8			12	6.2	
\$500-599 .....	140	44.0	70	56.5			70	36.1	
\$600-699 .....	54	17.0	20	16.1			34	17.5	
\$700-799 .....	14	4.4	2	1.6			12	6.2	
\$800-899 .....	17	5.3	2	1.6			15	7.7	
\$900-999 .....	10	3.1	2	1.6			8	4.1	
\$1,000 AND MORE .....	26	8.2	2	1.6			24	12.4	
AVERAGE MONTHLY INCOME .....		\$647		\$553				\$708	

DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 8  
ABUSED WHO RECEIVE SSI/SSP

SSI/SSP	TOTAL		PERSONS			
	NUMBER	PERCENT	DEPENDENT ADULTS		ELDER PERSONS	
			NUMBER	PERCENT	NUMBER	PERCENT
TOTAL .....	538	100.0	198	100.0	340	100.0
RECEIVES SSI/SSP .....	210	39.0	106	53.5	104	30.6
DOES NOT RECEIVE SSI/SSP .....	211	39.2	54	27.3	157	46.2
UNKNOWN .....	117	21.7	38	19.2	79	23.2

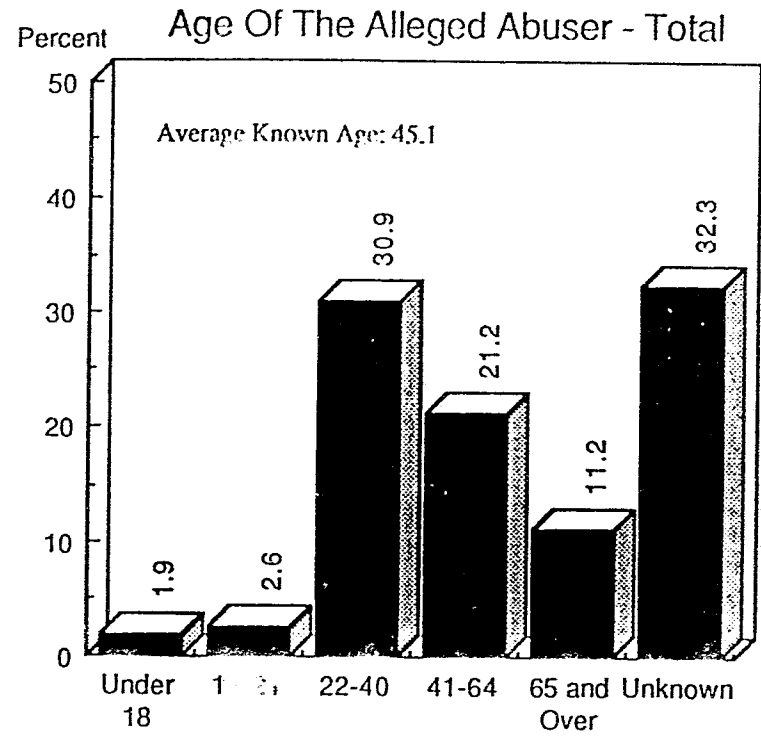
DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 9  
 ABUSED WHO ARE MEDICALLY NEEDY ONLY CASES

MEDICALLY NEEDY ONLY	TOTAL		PERSONS			
	NUMBER	PERCENT	DEPENDENT ADULTS		ELDER PERSONS	
			NUMBER	PERCENT	NUMBER	PERCENT
TOTAL .....	538	100.0	198	100.0	340	100.0
MEDICALLY NEEDY ONLY .....	26	4.8	8	4.0	18	5.3
NOT MEDICALLY NEEDY ONLY .....	368	68.4	135	68.2	233	68.5
UNKNOWN .....	144	26.8	55	27.8	89	26.2



Table 10



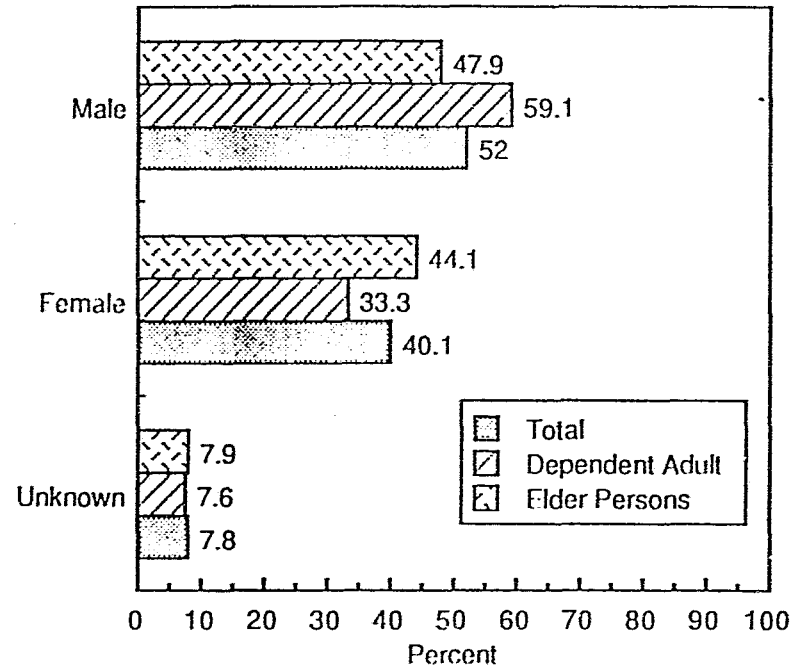
DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 10  
AGE OF THE ALLEGED ABUSER

AGE	TOTAL		PERSONS			
	NUMBER	PERCENT	DEPENDENT ADULTS		ELDER PERSONS	
			NUMBER	PERCENT	NUMBER	PERCENT
TOTAL .....	538	100.0	198	100.0	340	100.0
UNDER 18 YEARS OLD .....	10	1.9	5	2.5	5	1.5
18-21 YEARS OLD .....	14	2.6	9	4.5	5	1.5
22-40 YEARS OLD .....	166	30.9	70	35.4	96	28.2
41-64 YEARS OLD .....	114	21.2	33	16.7	81	23.8
65 YEARS AND OLDER .....	60	11.2	7	3.5	53	15.6
UNKNOWN .....	174	32.3	74	37.4	100	29.4
AVERAGE KNOWN AGE .....	45.1		38.6		48.5	

Table 11

Sex Of The Alleged Abuser

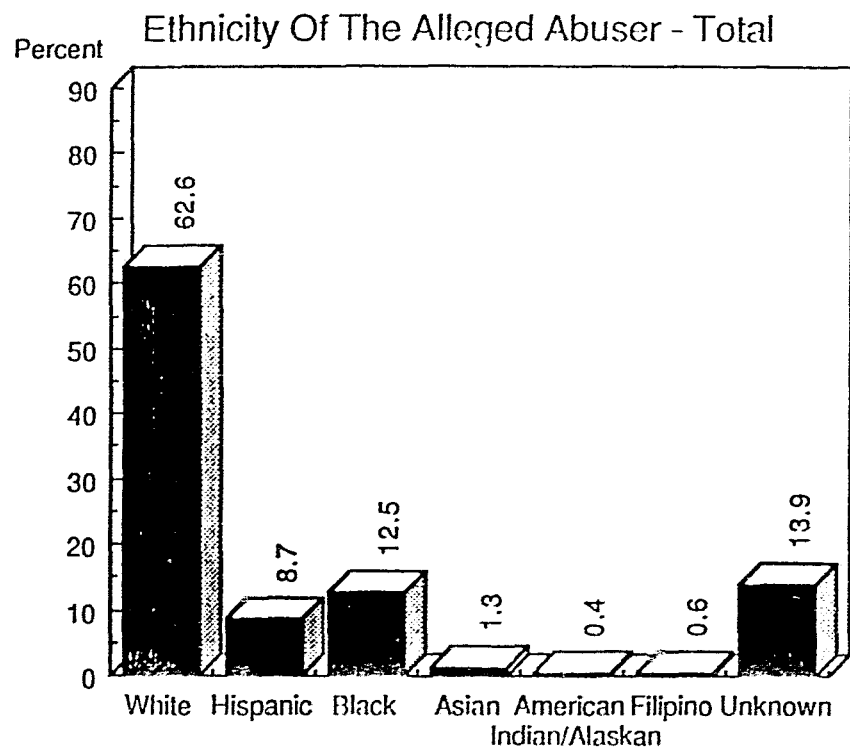


DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 11  
SEX OF THE ALLEGED ABUSER

SEX	TOTAL		PERSONS			
	NUMBER	PERCENT	DEPENDENT ADULTS		ELDER PERSONS	
			NUMBER	PERCENT	NUMBER	PERCENT
TOTAL .....	538	100.0	198	100.0	340	100.0
MALE .....	280	52.0	117	59.1	163	47.9
FEMALE .....	216	40.1	66	33.3	150	44.1
UNKNOWN .....	42	7.8	15	7.6	27	7.9

Table 12



DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 12  
ETHNICITY OF THE ALLEGED ABUSER

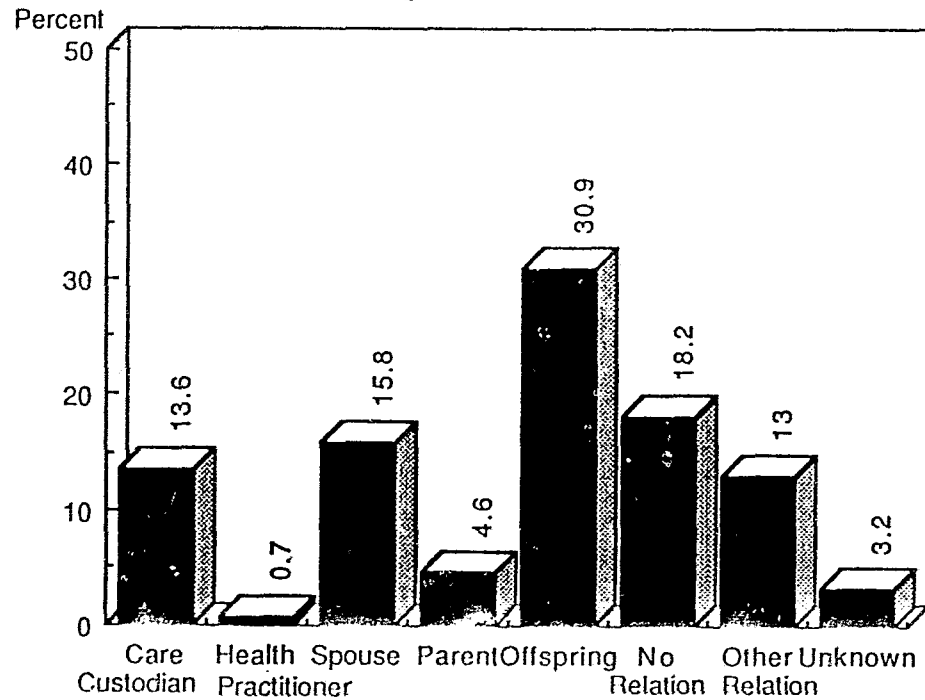
ETHNICITY	TOTAL		PERSONS			
	NUMBER	PERCENT	DEPENDENT ADULTS		ELDER PERSONS	
			NUMBER	PERCENT	NUMBER	PERCENT
TOTAL .....	<u>538</u>	<u>100.0</u>	<u>198</u>	<u>100.0</u>	<u>340</u>	<u>100.0</u>
WHITE .....	337	62.6	108	54.5	229	67.4
HISPANIC .....	47	8.7	19	9.6	28	8.2
BLACK .....	67	12.5	41	20.7	26	7.6
ASIAN .....	7	1.3	3	1.5	4	1.2
AMERICAN INDIAN/ALASKAN NATIVE .....	2	0.4	1	0.5	1	0.3
FILIPINO .....	3	0.6	1	0.5	2	0.6
UNKNOWN .....	75	13.9	25	12.6	50	14.7

Table 13

Relationship of the Alleged Abuser to the Abused

As indicated on the chart below, the large majority of abusers were relatives of the victims. In most cases, the abuser was an immediate family member either an offspring (30.9%), a spouse (15.8%) or a parent (4.6%). Less frequently (13.0%), the abuser was some other relation to the victim; i.e., an aunt, uncle, niece, nephew, sibling, etc.

Relationship Of The Alleged Abuser To The Abused - Total



DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 13

RELATIONSHIP OF THE ALLEGED ABUSER TO THE ABUSED

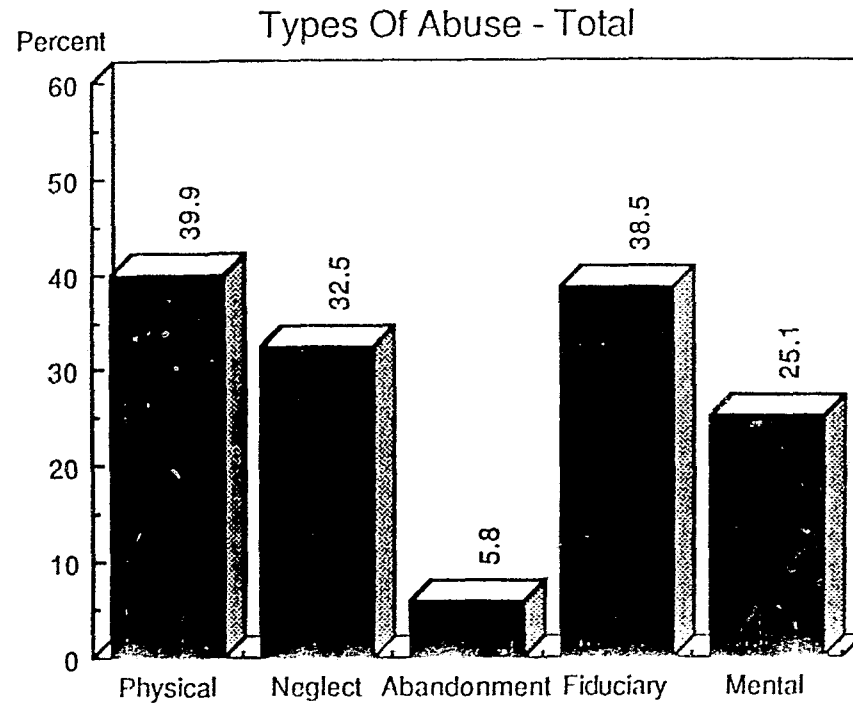
RELATIONSHIP	TOTAL		PERSONS			
			DEPENDENT ADULTS		ELDER PERSONS	
	NUMBER	PERCENT	NUMBER	PERCENT	NUMBER	PERCENT
TOTAL .....	538	100.0	198	100.0	340	100.0
CARE CUSTODIAN .....	73	13.6	34	17.2	39	11.5
HEALTH PRACTITIONER .....	4	0.7	1	0.5	3	0.9
SPOUSE .....	85	15.8	33	16.7	52	15.3
PARENT .....	25	4.6	25	12.6	0	0.0
OFFSPRING .....	166	30.9	33	16.7	133	39.1
NO RELATION .....	98	18.2	43	21.7	55	16.2
OTHER RELATION .....	70	13.0	22	11.1	48	14.1
UNKNOWN .....	17	3.2	7	3.5	10	2.9



Table 14

Types of Abuse

Overall, the majority of abuse was physical. Physical abuse included assault and/or battery (31.4%), constraint and/or deprivation (5.0%), and sexual abuse (3.5%). More than half (51.6%) of the Dependent Adults were victims of physical abuse. The Elder Persons, on the other hand, were primarily victims of fiduciary abuse (41.5%).



DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 14  
TYPES OF ABUSE

TYPES OF ABUSE	TOTAL		PERSONS			
	NUMBER	PERCENT	DEPENDENT ADULTS		ELDER PERSONS	
			NUMBER	PERCENT	NUMBER	PERCENT
TOTAL .....	538 A/	100.0 A/	198 A/	100.0 A/	340 A/	100.0 A/
ASSAULT/BATTERY .....	169	31.4	79	39.9	90	26.5
CONSTRAINT/DEPRIVATION .....	27	5.0	10	5.1	17	5.0
SEXUAL .....	19	3.5	13	6.6	6	1.8
NEGLECT .....	175	32.5	57	28.8	118	34.7
ABANDONMENT .....	31	5.8	12	6.1	19	5.6
FIDUCIARY .....	207	38.5	66	33.3	141	41.5
MENTAL SUFFERING .....	135	25.1	39	19.7	96	28.2
OTHER .....	0	0.0	0	0.0	0	0.0

A/ BECAUSE A CASE MAY HAVE MORE THAN ONE RESPONSE, NUMBERS AND PERCENTAGES MAY NOT ADD UP TO TOTAL.

Table 15

Results of Physical Abuse

For those persons who were victims of physical abuse, the majority (43.0%) were reported as having sustained no injury (43.0%). 33.8 percent of the victims of physical abuse required minor medical care and 16.4 percent required hospitalization. One instance of abuse (0.5%) resulted in the death of the victim.

DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 15  
RESULTS OF PHYSICAL ABUSE

RESULTS OF PHYSICAL ABUSE	TOTAL		PERSONS			
	NUMBER	PERCENT	DEPENDENT ADULTS		ELDER PERSONS	
			NUMBER	PERCENT	NUMBER	PERCENT
TOTAL .....	<u>538</u>	<u>100.0</u>	<u>198</u>	<u>100.0</u>	<u>340</u>	<u>100.0</u>
NO PHYSICAL ABUSE .....	331	61.5	100	50.5	231	67.9
PHYSICAL ABUSE .....	<u>207</u> A/	<u>38.5</u> <u>100.0</u> A/	<u>98</u> A/	<u>49.5</u> <u>100.0</u> A/	<u>109</u> A/	<u>32.1</u> <u>100.0</u> A/
NO INJURY .....	89	43.0	48	49.0	41	37.6
MINOR MEDICAL CARE .....	70	33.8	34	34.7	36	33.0
HOSPITALIZATION .....	34	16.4	8	8.2	26	23.9
CARE PROVIDER REQUIRED .....	9	4.3	4	4.1	5	4.6
DEATH .....	1	0.5	0	0.0	1	0.9
OTHER .....	12	5.8	7	7.1	5	4.6

A/ BECAUSE A CASE MAY HAVE MORE THAN ONE RESPONSE, NUMBERS AND PERCENTAGES MAY NOT ADD UP TO TOTAL.

DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 16  
FREQUENCY OF ABUSE

FREQUENCY	TOTAL		PERSONS			
	NUMBER	PERCENT	DEPENDENT ADULTS		ELDER PERSONS	
			NUMBER	PERCENT	NUMBER	PERCENT
TOTAL .....	538	100.0	198	100.0	340	100.0
DAILY .....	143	26.6	48	24.2	95	27.9
WEEKLY .....	24	4.5	10	5.1	14	4.1
MONTHLY .....	29	5.4	13	6.6	16	4.7
SPORADICALLY .....	192	35.7	71	35.9	121	35.6
UNKNOWN .....	150	27.9	56	28.3	94	27.6

DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 17  
 AREA IN WHICH THE ABUSE OCCURRED

AREA	TOTAL		PERSONS			
	NUMBER	PERCENT	DEPENDENT ADULTS		ELDER PERSONS	
			NUMBER	PERCENT	NUMBER	PERCENT
TOTAL .....	538	100.0	198	100.0	340	100.0
URBAN .....	433	80.5	157	79.3	276	81.2
RURAL .....	100	18.6	40	20.2	60	17.6
UNKNOWN .....	5	0.9	1	0.5	4	1.2

DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

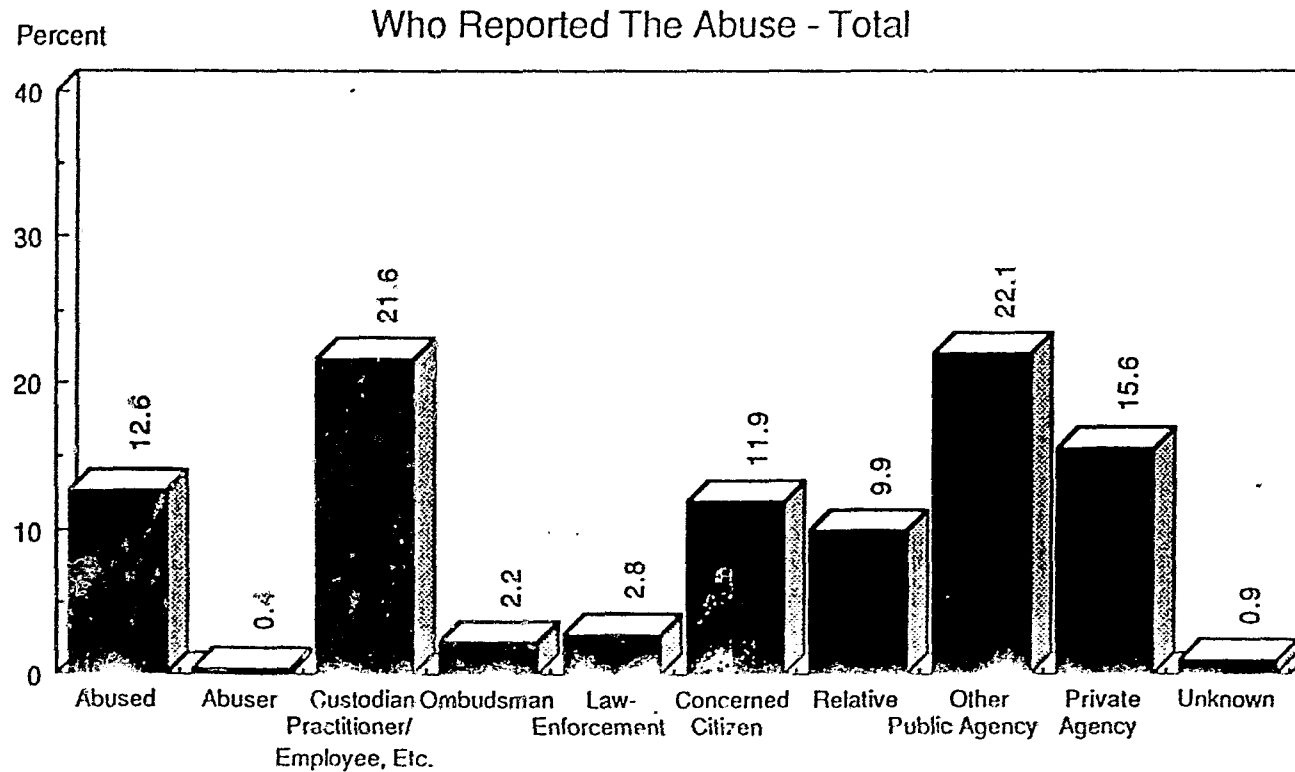
TABLE 18  
LOCATION IN WHICH THE ABUSE OCCURRED

LOCATION	TOTAL		PERSONS			
	NUMBER	PERCENT	DEPENDENT ADULTS		ELDER PERSONS	
			NUMBER	PERCENT	NUMBER	PERCENT
TOTAL .....	538	100.0	198	100.0	340	100.0
COMMUNITY CARE FACILITY .....	60	11.2	31	15.7	29	8.5
NURSING FACILITY .....	13	2.4	1	0.5	12	3.5
PRIVATE RESIDENCE .....	186	34.6	67	33.8	119	35.0
OWN HOME .....	261	48.5	87	43.9	174	51.2
OTHER .....	18	3.3	12	6.1	6	1.8

Table 19

Who Reported the Abuse

Public agencies, at 22.1 percent, reported the most incidences of abuse. The victim's care custodian, health practitioner, or employee reported the abuse 21.6 percent of the time. As might be expected, the abuser reported the abuse only 0.4 percent of the time while the abused reported the abuse 12.6 percent of the time.





DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 19  
WHO REPORTED THE ABUSE

REPORTED BY	TOTAL		PERSONS			
	NUMBER	PERCENT	DEPENDENT ADULTS		ELDER PERSONS	
			NUMBER	PERCENT	NUMBER	PERCENT
TOTAL .....	538	100.0	198	100.0	340	100.0
ABUSED .....	68	12.6	35	17.7	33	9.7
ABUSER .....	2	0.4	1	0.5	1	0.3
CUSTODIAN/PRACTITIONER/EMPLOYEE, ETC. ....	116	21.6	33	16.7	83	24.4
OMBUDSMAN .....	12	2.2	9	4.5	3	0.9
LAW ENFORCEMENT .....	15	2.8	6	3.0	9	2.6
CONCERNED CITIZEN .....	64	11.9	20	10.1	44	12.9
RELATIVE .....	53	9.9	9	4.5	44	12.9
OTHER PUBLIC AGENCY .....	119	22.1	54	27.3	65	19.1
PRIVATE AGENCY .....	84	15.6	29	14.6	55	16.2
UNKNOWN .....	5	0.9	2	1.0	3	0.9

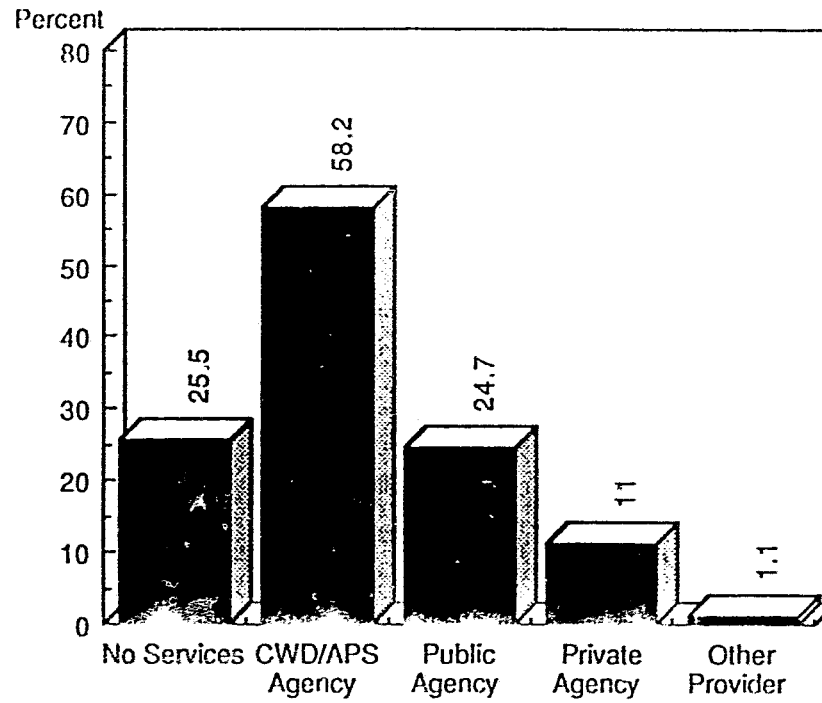
DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 20  
RESULTS OF INVESTIGATIONS OF CONFIRMED REPORTS OF ABUSE

RESULTS OF INVESTIGATIONS	TOTAL		PERSONS			
	NUMBER	PERCENT	DEPENDENT ADULTS		ELDER PERSONS	
			NUMBER	PERCENT	NUMBER	PERCENT
TOTAL .....	538	100.0	198	100.0	340	100.0
ABUSED REFERRED AND ACCEPTED SERVICE	401	74.5	144	72.7	257	75.6
ABUSED REFUSED SERVICES .....	44	8.2	15	7.6	29	8.5
NO SERVICES NEEDED .....	60	11.2	24	12.1	36	10.6
ABUSED REFUSED TO COOPERATE .....	17	3.2	6	3.0	11	3.2
OTHER .....	16	3.0	9	4.5	7	2.1

Table 21

Agencies That Provided Services To The Abused - Total



DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 21

AGENCIES THAT PROVIDED SERVICES TO THE ABUSED  
WHO WERE REFERRED FOR AND ACCEPTED SERVICES

PROVIDERS	TOTAL		PERSONS			
	NUMBER	PERCENT	DEPENDENT ADULTS		ELDER PERSONS	
			NUMBER	PERCENT	NUMBER	PERCENT
TOTAL .....	<u>538</u>	<u>100.0</u>	<u>198</u>	<u>100.0</u>	<u>340</u>	<u>100.0</u>
SERVICES NOT REFERRED/ACCEPTED .....	137	25.5	54	27.3	83	24.4
REFERRED AND ACCEPTED SERVICES: .....	<u>401</u> A/	74.5	<u>144</u> A/	72.7	<u>257</u> A/	75.6
CWD/APS SERVICES .....	313	78.1	105	72.9	208	80.9
PUBLIC AGENCY SERVICES .....	133	33.2	63	43.8	70	27.2
PRIVATE AGENCY SERVICES .....	59	14.7	14	9.7	45	17.5
OTHER PROVIDER SERVICES .....	6	1.5	1	0.7	5	1.9

A/ BECAUSE A CASE MAY HAVE MORE THAN ONE RESPONSE, NUMBERS AND PERCENTAGES MAY NOT ADD UP TO TOTAL.

DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 22

SERVICES PROVIDED TO THE ABUSED  
WHO WERE REFERRED FOR AND ACCEPTED SERVICES

SERVICES	NUMBER	TOTAL		PERSONS			
		PERCENT	DEPENDENT ADULTS		ELDER PERSONS		
			NUMBER	PERCENT	NUMBER	PERCENT	
TOTAL .....	<u>538</u>	<u>100.0</u>	<u>198</u>	<u>100.0</u>	<u>340</u>	<u>100.0</u>	
SERVICES NOT REFERRED/ACCEPTED .....	137	25.5	54	27.3	83	24.4	
REFERRED AND ACCEPTED SERVICES: .....	<u>401</u> A/	<u>74.5</u>	<u>144</u> A/	<u>72.7</u>	<u>257</u> A/	<u>75.6</u>	
CASE MANAGEMENT .....	259	64.6	90	62.5	169	65.8	
EMERGENCY SHELTER .....	29	7.2	17	11.8	12	4.7	
MONEY MANAGEMENT .....	75	18.7	22	15.3	53	20.6	
RESPIRE CARE .....	10	2.5	2	1.4	8	3.1	
MEDICAL CARE .....	75	18.7	21	14.6	54	21.0	
CONSERVATORSHIP .....	55	13.7	16	11.1	39	15.2	
IN-HOME CARE .....	71	17.7	16	11.1	55	21.4	
OUT-OF-HOME CARE/PLACEMENT .....	78	19.5	33	22.9	45	17.5	
LEGAL SERVICES .....	77	19.2	29	20.1	48	18.7	
TRANSPORTATION .....	26	6.5	17	11.8	9	3.5	
OTHER .....	48	12.0	23	16.0	25	9.7	

A/ BECAUSE A CASE MAY HAVE MORE THAN ONE RESPONSE, NUMBERS AND PERCENTAGES MAY NOT ADD UP TO TOTAL.

DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 23

CWD/APS SERVICES PROVIDED TO THE ABUSED  
WHO WERE REFERRED FOR AND ACCEPTED SERVICES

CWD/APS SERVICES	TOTAL		PERSONS			
	NUMBER	PERCENT	DEPENDENT ADULTS		ELDER PERSONS	
			NUMBER	PERCENT	NUMBER	PERCENT
TOTAL .....	<u>538</u>	<u>100.0</u>	<u>198</u>	<u>100.0</u>	<u>340</u>	<u>100.0</u>
SERVICES NOT REFERRED/ACCEPTED .....	137	25.5	54	27.3	83	24.4
NO CWD/APS SERVICES 1/ .....	88	16.4	39	19.7	49	14.4
CWD/APS SERVICES: .....	<u>313</u> A/	58.2	<u>105</u> A/	53.0	<u>208</u> A/	61.2
CASE MANAGEMENT .....	222	70.9	74	70.5	148	71.2
EMERGENCY SHELTER .....	19	6.1	12	11.4	7	3.4
MONEY MANAGEMENT .....	64	20.4	16	15.2	48	23.1
RESPIRE CARE .....	1	0.3	0	0.0	1	0.5
MEDICAL CARE .....	33	10.5	6	5.7	27	13.0
CONSERVATORSHIP .....	39	12.5	12	11.4	27	13.0
IN-HOME CARE .....	49	15.7	11	10.5	38	18.3
OUT-OF-HOME CARE/PLACEMENT .....	59	18.8	27	25.7	32	15.4
LEGAL SERVICES .....	40	12.8	16	15.2	24	11.5
TRANSPORTATION .....	11	3.5	5	4.8	6	2.9
OTHER .....	27	8.6	11	10.5	16	7.7

A/ BECAUSE A CASE MAY HAVE MORE THAN ONE RESPONSE, NUMBERS AND PERCENTAGES MAY NOT ADD UP TO TOTAL.  
1/ SERVICES PROVIDED BY PUBLIC AGENCIES, PRIVATE AGENCIES AND OTHER PROVIDERS.

DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 24

PUBLIC AGENCY SERVICES PROVIDED TO THE ABUSED  
WHO WERE REFERRED FOR AND ACCEPTED SERVICES

PUBLIC AGENCY SERVICES	TOTAL		PERSONS			
	NUMBER	PERCENT	DEPENDENT ADULTS		ELDER PERSONS	
			NUMBER	PERCENT	NUMBER	PERCENT
TOTAL .....	538	100.0	198	100.0	340	100.0
SERVICES NOT REFERRED/ACCEPTED .....	137	25.5	54	27.3	83	24.4
NO PUBLIC AGENCY SERVICES 1/ .....	268	49.8	81	40.9	187	55.0
PUBLIC AGENCY SERVICES: .....	133 A/	24.7	63 A/	31.8	70 A/	20.6
CASE MANAGEMENT .....	27	20.3	15	23.8	12	17.1
EMERGENCY SHELTER .....	7	5.3	4	6.3	3	4.3
MONEY MANAGEMENT .....	8	6.0	3	4.8	5	7.1
RESPIRE CARE .....	2	1.5	1	1.6	1	1.4
MEDICAL CARE .....	23	17.3	10	15.9	13	18.6
CONSERVATORSHIP .....	16	12.0	5	7.9	11	15.7
IN-HOME CARE .....	15	11.3	2	3.2	13	18.6
OUT-OF-HOME CARE/PLACEMENT .....	21	15.8	15	23.8	6	8.6
LEGAL SERVICES .....	28	21.1	9	14.3	19	27.1
TRANSPORTATION .....	14	10.5	12	19.0	2	2.9
OTHER .....	18	13.5	10	15.9	8	11.4

A/ BECAUSE A CASE MAY HAVE MORE THAN ONE RESPONSE, NUMBERS AND PERCENTAGES MAY NOT ADD UP TO TOTAL.  
1/ SERVICES PROVIDED BY CWD/APS, PRIVATE AGENCIES AND OTHER PROVIDERS.

DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 25

PRIVATE AGENCY SERVICES PROVIDED TO THE ABUSED  
WHO WERE REFERRED FOR AND ACCEPTED SERVICES

PRIVATE AGENCY SERVICES	TOTAL		PERSONS			
	NUMBER	PERCENT	DEPENDENT ADULTS		ELDER PERSONS	
			NUMBER	PERCENT	NUMBER	PERCENT
TOTAL .....	538	100.0	198	100.0	340	100.0
SERVICES NOT REFERRED/ACCEPTED .....	137	25.5	54	27.3	83	24.4
NO PRIVATE AGENCY SERVICES 1/ .....	342	63.6	130	65.7	212	62.4
PRIVATE AGENCY SERVICES: .....	59 A/	11.0	14 A/	7.1	45 A/	13.2
CASE MANAGEMENT .....	15	25.4	1	7.1	14	31.1
EMERGENCY SHELTER .....	4	6.8	1	7.1	3	6.7
MONEY MANAGEMENT .....	4	6.8	2	14.3	2	4.4
RESPIRE CARE .....	6	10.2	1	7.1	5	11.1
MEDICAL CARE .....	20	33.9	5	35.7	15	33.3
CONSERVATORSHIP .....	2	3.4	0	0.0	2	4.4
IN-HOME CARE .....	6	10.2	3	21.4	3	6.7
OUT-OF-HOME CARE/PLACEMENT .....	7	11.9	0	0.0	7	15.6
LEGAL SERVICES .....	11	18.6	4	28.6	7	15.6
TRANSPORTATION .....	2	3.4	0	0.0	2	4.4
OTHER .....	4	6.8	3	21.4	1	2.2

A/ BECAUSE A CASE MAY HAVE MORE THAN ONE RESPONSE, NUMBERS AND PERCENTAGES MAY NOT ADD UP TO TOTAL.  
1/ SERVICES PROVIDED BY CWD/APS, PUBLIC AGENCIES AND OTHER PROVIDERS.



DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 26

OTHER PROVIDER SERVICES PROVIDED TO THE ABUSED  
WHO WERE REFERRED FOR AND ACCEPTED SERVICES

OTHER PROVIDER SERVICES	TOTAL		PERSONS			
	NUMBER	PERCENT	DEPENDENT ADULTS		ELDER PERSONS	
			NUMBER	PERCENT	NUMBER	PERCENT
TOTAL .....	<u>538</u>	<u>100.0</u>	<u>198</u>	<u>100.0</u>	<u>340</u>	<u>100.0</u>
SERVICES NOT REFERRED/ACCEPTED .....	137	25.5	54	27.3	83	24.4
NO OTHER PROVIDER SERVICES 1/ .....	395	73.4	143	72.2	252	74.1
OTHER PROVIDER SERVICES: .....	<u>6</u> A/	<u>1.1</u>	<u>1</u> A/	<u>0.5</u>	<u>5</u> A/	<u>1.5</u>
CASE MANAGEMENT .....	0	0.0	0	0.0	0	0.0
EMERGENCY SHELTER .....	0	0.0	0	0.0	0	0.0
MONEY MANAGEMENT .....	3	50.0	1	100.0	2	40.0
RESPITE CARE .....	1	16.7	0	0.0	1	20.0
MEDICAL CARE .....	0	0.0	0	0.0	0	0.0
CONSERVATORSHIP .....	0	0.0	0	0.0	0	0.0
IN-HOME CARE .....	4	66.7	0	0.0	4	80.0
OUT-OF-HOME CARE/PLACEMENT .....	2	33.3	0	0.0	2	40.0
LEGAL SERVICES .....	0	0.0	0	0.0	0	0.0
TRANSPORTATION .....	0	0.0	0	0.0	0	0.0
OTHER .....	0	0.0	0	0.0	0	0.0

A/ BECAUSE A CASE MAY HAVE MORE THAN ONE RESPONSE, NUMBERS AND PERCENTAGES MAY NOT ADD UP TO TOTAL.  
1/ SERVICES PROVIDED BY CWD/APS, PUBLIC AGENCIES AND PRIVATE AGENCIES.

DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 27

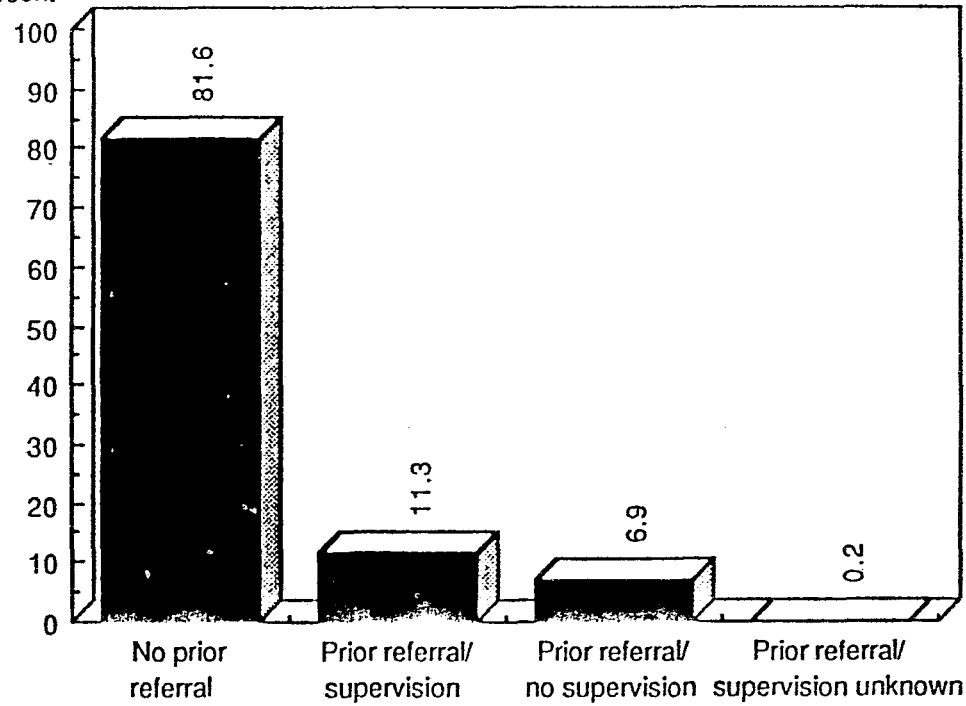
SERVICES THAT WERE NEEDED BY THE ABUSED BUT WERE NOT AVAILABLE

SERVICES	TOTAL		PERSONS					
	NUMBER	PERCENT	DEPENDENT ADULTS		ELDER PERSONS			
			NUMBER	PERCENT	NUMBER	PERCENT	NUMBER	PERCENT
TOTAL .....	<u>538</u>	<u>100.0</u>	<u>198</u>	<u>100.0</u>	<u>340</u>	<u>100.0</u>		
NO SERVICES REQUIRED .....	137	25.5	54	27.3	83	24.4		
SERVICES REQUIRED: .....	<u>401</u>	<u>74.5</u>	<u>144</u>	<u>72.7</u>	<u>257</u>	<u>75.6</u>	<u>100.0</u>	<u>A/</u>
AVAILABLE .....	401	100.0	144	100.0	257	100.0		
NOT AVAILABLE .....	18	4.5	9	6.3	9	3.5		

A/ BECAUSE A CASE MAY HAVE MORE THAN ONE RESPONSE, NUMBERS AND PERCENTAGES MAY NOT ADD UP TO TOTAL.

Table 28

Cases With Prior Adult Protective Services Supervision - Total  
Percent



DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 28  
 CASES WITH PRIOR ADULT PROTECTIVE SERVICES (APS) SUPERVISION

PREVIOUS SUPERVISION	TOTAL		PERSONS			
	NUMBER	PERCENT	DEPENDENT ADULTS		ELDER PERSONS	
			NUMBER	PERCENT	NUMBER	PERCENT
TOTAL .....	538	100.0	198	100.0	340	100.0
NO PRIOR REFERRAL 1/ .....	439	81.6	161	81.3	278	81.8
PRIOR REFERRAL: .....	99	18.4	37	18.7	62	18.2
PLACED UNDER SUPERVISION .....	61	61.6	22	59.5	39	62.9
NOT PLACED UNDER SUPERVISION .....	37	37.4	15	40.5	22	35.5
UNKNOWN .....	1	1.0	0	0.0	1	1.6

1/ INCLUDES THOSE CASES WHERE THE PRIOR APS STATUS WAS UNKNOWN.

DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 29

REASONS FOR PRIOR ADULT PROTECTIVE SERVICES (APS) SUPERVISION  
ABUSED PERSONS

REASONS	TOTAL		PERSONS			
	NUMBER	PERCENT	DEPENDENT ADULTS		ELDER PERSONS	
			NUMBER	PERCENT	NUMBER	PERCENT
TOTAL .....	538	100.0	198	100.0	340	100.0
NO PRIOR REFERRAL 1/ .....	439	81.6	161	81.3	278	81.8
PRIOR REFERRAL/NO SUPERVISION .....	38	7.1	15	7.6	23	6.8
PRIOR REFERRAL/SUPERVISION: .....	61 A/	11.3	22 A/	11.1	39 A/	11.5
ABUSE SELF-INFLICTED .....	18	29.5	6	27.3	12	30.8
ABUSE NOT SELF-INFLICTED .....	47	77.0	18	81.8	29	74.4
OTHER .....	0	0.0	0	0.0	0	0.0
				100.0 A/		100.0 A/

A/ BECAUSE A CASE MAY HAVE MORE THAN ONE RESPONSE, NUMBERS AND PERCENTAGES MAY NOT ADD UP TO TOTAL.  
1/ INCLUDES THOSE CASES WHERE THE PRIOR APS STATUS WAS UNKNOWN.

DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 30

STATUS OF PRIOR ADULT PROTECTIVE SERVICES (APS) SUPERVISION  
ABUSED PERSONS

SUPERVISION STATUS	TOTAL		PERSONS					
	NUMBER	PERCENT	DEPENDENT ADULTS		ELDER PERSONS			
			NUMBER	PERCENT	NUMBER	PERCENT	NUMBER	PERCENT
TOTAL .....	538	100.0	198	100.0	340	100.0		
NO PRIOR REFERRAL 1/ .....	439	81.6	161	81.3	278	81.8		
PRIOR REFERRAL/NO SUPERVISION .....	38	7.1	15	7.6	23	6.8		
PRIOR REFERRAL/SUPERVISION: .....	61 A/	11.3	22 A/	11.1	39 A/	11.5	100.0 A/	
SUPERVISION CONTINUING .....	13	21.3	6	27.3	7	17.9		
SUPERVISION COMPLETED .....	49	80.3	17	77.3	32	82.1		

A/ BECAUSE A CASE MAY HAVE MORE THAN ONE RESPONSE, NUMBERS AND PERCENTAGES MAY NOT ADD UP TO TOTAL.  
1/ INCLUDES THOSE CASES WHERE THE PRIOR APS STATUS WAS UNKNOWN.

VICTIMS OF ABUSE PERPETRATED BY ANOTHER PERSON

CROSS TABULATION TABLES

DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 31

RELATIONSHIP OF THE ALLEGED ABUSER BY SEX OF THE ABUSED

RELATIONSHIP OF THE ALLEGED ABUSER	TOTAL ABUSED		SEX OF THE ABUSED			
			MALE		FEMALE	
	NUMBER	PERCENT	NUMBER	PERCENT	NUMBER	PERCENT
TOTAL .....	538	100.0	162	100.0	376	100.0
CARE CUSTODIAN .....	73	13.6	32	19.8	41	10.9
HEALTH PRACTITIONER .....	4	0.7	1	0.6	3	0.8
SPOUSE .....	85	15.8	22	13.6	63	16.8
PARENT .....	25	4.6	7	4.3	18	4.8
OFFSPRING.....	166	30.9	32	19.8	134	35.6
NO RELATION.....	98	18.2	32	19.8	66	17.6
OTHER RELATION.....	70	13.0	25	15.4	45	12.0
UNKNOWN.....	17	3.2	11	6.8	6	1.6



DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 31A

RELATIONSHIP OF THE ALLEGED ABUSER BY SEX OF THE ABUSED

RELATIONSHIP OF THE ALLEGED ABUSER	DEPENDENT ADULTS		SEX OF THE ABUSED			
			MALE		FEMALE	
	NUMBER	PERCENT	NUMBER	PERCENT	NUMBER	PERCENT
TOTAL .....	198	100.0	62	100.0	136	100.0
CARE CUSTODIAN .....	34	17.2	20	32.3	14	10.3
HEALTH PRACTITIONER.....	1	0.5	0	0.0	1	0.7
SPOUSE.....	33	16.7	4	6.5	29	21.3
PARENT.....	25	12.6	7	11.3	18	13.2
OFFSPRING.....	33	16.7	2	3.2	31	22.8
NO RELATION.....	43	21.7	16	25.8	27	19.9
OTHER RELATION.....	22	11.1	8	12.9	14	10.3
UNKNOWN.....	7	3.5	5	8.1	2	1.5

DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 31B

RELATIONSHIP OF THE ALLEGED ABUSER BY SEX OF THE ABUSED

RELATIONSHIP OF THE ALLEGED ABUSER	ELDER PERSONS		SEX OF THE ABUSED			
	NUMBER	PERCENT	MALE		FEMALE	
			NUMBER	PERCENT	NUMBER	PERCENT
TOTAL .....	340	100.0	100	100.0	240	100.0
CARE CUSTODIAN .....	39	11.5	12	12.0	27	11.3
HEALTH PRACTITIONER.....	3	0.8	1	1.0	2	0.8
SPOUSE.....	52	15.3	18	18.0	34	14.2
PARENT.....	0	0.0	0	0.0	0	0.0
OFFSPRING.....	133	39.2	30	30.0	103	42.9
NO RELATION.....	55	16.2	16	16.0	39	16.3
OTHER RELATION.....	48	14.1	17	17.0	31	12.9
UNKNOWN.....	10	2.9	6	6.0	4	1.7

DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 32

AGE OF THE ALLEGED ABUSER BY SEX OF THE ABUSED

AGE OF THE ALLEGED ABUSER	TOTAL ABUSED		SEX OF THE ABUSED			
			MALE		FEMALE	
	NUMBER	PERCENT	NUMBER	PERCENT	NUMBER	PERCENT
TOTAL .....	<u>538</u>	<u>100.0</u>	<u>162</u>	<u>100.0</u>	<u>376</u>	<u>100.0</u>
UNDER 18 YEARS OLD.....	10	1.9	2	1.2	8	2.1
18-21 YEARS OLD.....	14	2.6	0	0.0	14	3.7
22-40 YEARS OLD.....	166	30.9	51	31.5	115	30.6
41-64 YEARS OLD.....	114	21.2	31	19.1	83	22.1
65 YEARS AND OLDER.....	60	11.2	18	11.1	42	11.2
UNKNOWN.....	174	32.3	60	37.0	114	30.3

DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 32A

AGE OF THE ALLEGED ABUSER BY SEX OF THE ABUSED

AGE OF THE ALLEGED ABUSER	DEPENDENT ADULTS		SEX OF THE ABUSED			
			MALE		FEMALE	
	NUMBER	PERCENT	NUMBER	PERCENT	NUMBER	PERCENT
TOTAL .....	198	100.0	62	100.0	136	100.0
UNDER 18 YEARS OLD.....	5	2.5	0	0.0	5	3.7
18-21 YEARS OLD.....	9	4.5	0	0.0	9	6.6
22-40 YEARS OLD.....	70	35.4	24	38.7	46	33.8
41-64 YEARS OLD.....	33	16.7	5	8.1	28	20.6
65 YEARS AND OLDER.....	7	3.5	2	3.2	5	3.7
UNKNOWN.....	74	37.4	31	50.0	43	31.6

DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 32B

AGE OF THE ALLEGED ABUSER BY SEX OF THE ABUSED

AGE OF THE ALLEGED ABUSER	ELDER PERSONS		SEX OF THE ABUSED			
			MALE		FEMALE	
	NUMBER	PERCENT	NUMBER	PERCENT	NUMBER	PERCENT
TOTAL .....	340	100.0	100	100.0	240	100.0
UNDER 18 YEARS OLD.....	5	1.5	2	2.0	3	1.3
18-21 YEARS OLD.....	5	1.5	0	0.0	5	2.1
22-40 YEARS OLD.....	96	28.2	27	27.0	69	28.8
41-64 YEARS OLD.....	81	23.8	26	26.0	55	22.9
64 YEARS AND OLDER.....	53	15.6	16	16.0	37	15.4
UNKNOWN.....	100	29.4	20	29.0	71	29.6

DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 33

SEX OF THE ALLEGED ABUSER BY SEX OF THE ABUSED

AGE OF THE ALLEGED ABUSER	TOTAL ABUSED		SEX OF THE ABUSED			
			MALE		FEMALE	
	NUMBER	PERCENT	NUMBER	PERCENT	NUMBER	PERCENT
TOTAL .....	<u>538</u>	<u>100.0</u>	<u>162</u>	<u>100.0</u>	<u>376</u>	<u>100.0</u>
MALE.....	280	52.0	67	41.4	213	56.6
FEMALE.....	216	40.1	79	48.8	137	36.4
UNKNOWN.....	42	7.8	16	9.9	26	6.9

DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 33A

SEX OF THE ALLEGED ABUSER BY SEX OF THE ABUSED

SEX OF THE ALLEGED ABUSER	DEPENDENT ADULTS		SEX OF THE ABUSED			
			MALE		FEMALE	
	NUMBER	PERCENT	NUMBER	PERCENT	NUMBER	PERCENT
TOTAL .....	<u>198</u>	<u>100.0</u>	<u>62</u>	<u>100.0</u>	<u>136</u>	<u>100.0</u>
MALE.....	117	59.1	32	51.6	85	62.5
FEMALE.....	66	33.3	21	33.9	45	33.1
UNKNOWN.....	15	7.6	9	14.5	6	4.4

DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 33B

SEX OF THE ALLEGED ABUSER BY SEX OF THE ABUSED

SEX OF THE ALLEGED ABUSER	ELDER PERSONS		SEX OF THE ABUSED			
			MALE		FEMALE	
	NUMBER	PERCENT	NUMBER	PERCENT	NUMBER	PERCENT
TOTAL .....	<u>340</u>	<u>100.0</u>	<u>100</u>	<u>100.0</u>	<u>240</u>	<u>100.0</u>
MALE.....	163	47.9	35	35.0	128	53.3
FEMALE.....	150	44.1	58	58.0	92	38.3
UNKNOWN.....	27	7.9	7	7.0	20	8.3



SELF-ABUSED PERSONS

Table 34

Age of the Self-Abused

There were 509 reports of self-abuse received and confirmed during the study period of February 15 through March 16, 1987. These confirmed reports of self-abuse were stratified by Dependent Adults and Elder Persons. The classification of Dependent Adult versus Elder Person was determined by age. Dependent Adults were defined as any person between the ages of 18 and 64 who had a physical or mental limitation which restricted his or her ability to carry out normal activities or to protect his or her rights, including, but not limited to, persons who had physical or developmental disabilities or whose physical or mental abilities had diminished because of age. Elder Persons were defined as any person age 65 or over. As reflected below on Table 34, the majority of the total cases reported were Elder Persons who had an average age of 77.6 years. Dependent Adults accounted for about one-third of the total cases and had an average known age of 46.2 years.

DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 34  
AGE OF THE SELF-ABUSED

AGE	TOTAL SELF-ABUSED		PERSONS			
	NUMBER	PERCENT	DEPENDENT ADULTS		ELDER PERSONS	
			NUMBER	PERCENT	NUMBER	PERCENT
TOTAL.....	509	100.0	158	100.0	351	100.0
18-64 YEARS OLD .....	158	31.0	158	100.0	0	0.0
65 YEARS AND OVER .....	347	68.2	0	0.0	347	98.9
UNKNOWN .....	4	0.8	0	0.0	4	1.1
AVERAGE AGE .....	67.8		46.2		77.6	

Table 35

### Sex Of The Self-Abused

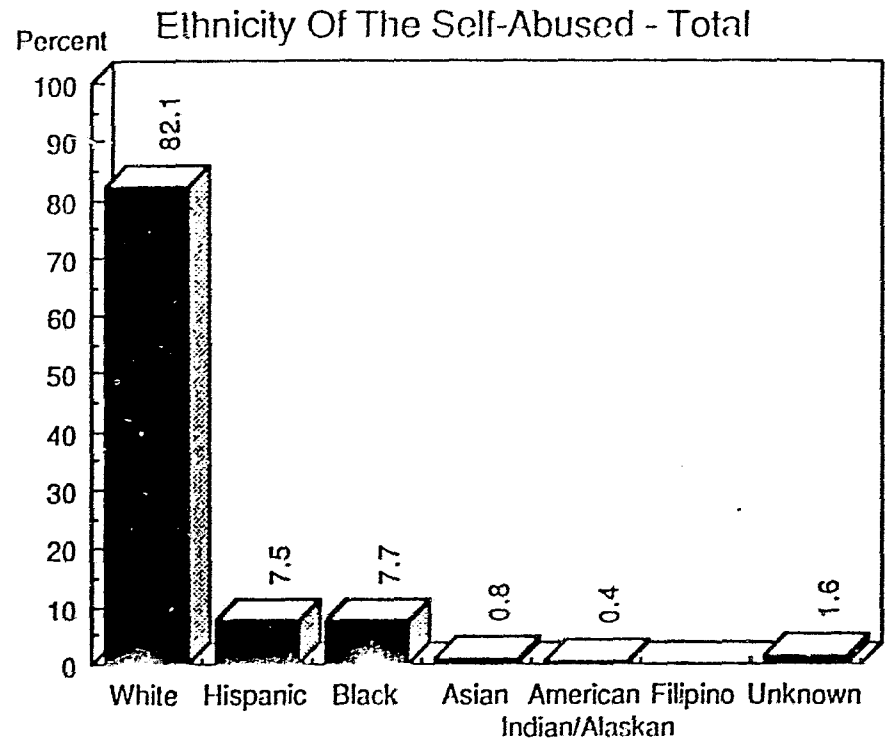


DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 35  
SEX OF THE SELF-ABUSED

SEX	TOTAL SELF-ABUSED		PERSONS			
	NUMBER	PERCENT	DEPENDENT ADULTS		ELDER PERSONS	
			NUMBER	PERCENT	NUMBER	PERCENT
TOTAL .....	509	100.0	158	100.0	351	100.0
MALE .....	192	37.7	75	47.5	117	33.3
FEMALE .....	317	62.3	83	52.5	234	66.7

Table 36



DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 36  
ETHNICITY OF THE SELF-ABUSED

ETHNICITY	TOTAL SELF-ABUSED		PERSONS			
	NUMBER	PERCENT	DEPENDENT ADULTS		ELDER PERSONS	
			NUMBER	PERCENT	NUMBER	PERCENT
TOTAL .....	509	100.0	158	100.0	351	100.0
WHITE .....	418	82.1	115	72.8	303	86.3
HISPANIC .....	38	7.5	18	11.4	20	5.7
BLACK .....	39	7.7	22	13.9	17	4.8
ASIAN .....	4	0.8	1	0.6	3	0.9
AMERICAN INDIAN/ALASKAN NATIVE .....	2	0.4	0	0.0	2	0.6
FILIPINO .....	0	0.0	0	0.0	0	0.0
UNKNOWN .....	8	1.6	2	1.3	6	1.7

Table 37

Disability Status of the Self-Abused

Of the total cases of self-abuse reported in the study period, 89.4 percent were classified as having a disability of some type. Of course, this percentage was influenced by the Dependent Adult population which, by definition, required 100 percent of the Dependent Adult cases to be disabled. However, a large percent of the Elder Persons were also classified as being disabled. When considered individually, Dependent Adults were disabled primarily because of a mental disability (55.1%) and Elder Persons were disabled mainly due to a physical disability (79.8%).



DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 37  
DISABILITY STATUS OF THE SELF-ABUSED

DISABILITY	TOTAL SELF-ABUSED		PERSONS				
	NUMBER	PERCENT	DEPENDENT ADULTS		ELDER PERSONS		
			NUMBER	PERCENT	NUMBER	PERCENT	
TOTAL.....	509	100.0	158	100.0	351	100.0	
NO DISABILITY/UNKNOWN .....	54	10.6	0	0.0	54	15.4	
DISABILITY .....	455 A/	89.4	158 A/	100.0	297 A/	84.6	100.0 A/
DEVELOPMENTALLY DISABLED .....	14	3.1	13	8.2	1	0.3	
MENTALLY DISABLED .....	176	38.7	87	55.1	89	30.0	
PHYSICALLY DISABLED .....	307	67.5	70	44.3	237	79.8	
BRAIN IMPAIRED .....	78	17.1	13	8.2	65	21.9	

A/ BECAUSE A CASE MAY HAVE MORE THAN ONE RESPONSE, NUMBERS AND PERCENTAGES MAY NOT ADD UP TO TOTAL.

Table 38

Living Arrangement of the Self-Abused Who Live in Their Own Home

Overall, most of the victims of self-abuse (71.3%) lived in their own home. Of those, the majority (70.8%) lived alone. The self-abused living with their spouse was the next most frequent living arrangement at 14.9 percent. This was true for both the Dependent Adults and Elder Persons. For the Dependent Adults, 11.6 percent lived with their parents or offspring. As should be expected due to the age requirement for the Elder Persons, there were no Elders living with their parents, however, 5.1 percent were living with their offspring.

DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 38

LIVING ARRANGEMENT OF THE SELF-ABUSED WHO LIVE IN THEIR OWN HOME

LIVING ARRANGEMENT	TOTAL SELF-ABUSED		PERSONS					
	NUMBER	PERCENT	DEPENDENT ADULTS		ELDER PERSONS			
			NUMBER	PERCENT	NUMBER	PERCENT	NUMBER	PERCENT
TOTAL .....	509	100.0	158	100.0	351	100.0		
DOES NOT LIVE IN OWN HOME .....	146	28.7	72	45.6	74	21.1		
LIVES IN OWN HOME: .....	363 A/	71.3	86 A/	54.4	277 A/	78.9	100.0 A/	
ALONE .....	257	70.8	47	54.7	210	75.8		
WITH PARENTS .....	10	2.8	10	11.6	0	0.0		
WITH SPOUSE .....	54	14.9	13	15.1	41	14.8		
WITH OFFSPRING .....	24	6.6	10	11.6	14	5.1		
WITH OTHER PERSONS .....	20	5.5	7	8.1	13	4.7		
UNKNOWN .....	2	0.6	2	2.3	0	0.0		

A/ BECAUSE A CASE MAY HAVE MORE THAN ONE RESPONSE, NUMBERS AND PERCENTAGES MAY NOT ADD UP TO TOTAL.

DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 39

LIVING ARRANGEMENT OF THE SELF-ABUSED WHO DO NOT LIVE IN THEIR OWN HOME

LIVING ARRANGEMENT	TOTAL SELF-ABUSED		PERSONS							
	NUMBER	PERCENT	DEPENDENT ADULTS			ELDER PERSONS				
			NUMBER	PERCENT		NUMBER	PERCENT			
TOTAL .....	<u>509</u>	<u>100.0</u>								
LIVES IN OWN HOME .....	363	71.3								
DOES NOT LIVE IN OWN HOME BUT IN: ..	<u>146</u>	<u>28.7</u>	<u>100.0</u>							
HOME OF OFFSPRING .....	16	11.0		4	5.6		12	16.2		
OTHER PRIVATE RESIDENCE .....	34	23.3		13	18.1		21	28.4		
COMMUNITY CARE FACILITY .....	23	15.8		10	13.9		13	17.6		
NURSING FACILITY .....	11	7.5		3	4.2		8	10.8		
NO SHELTER .....	41	28.1		27	37.5		14	18.9		
HOTEL/MOTEL .....	11	7.5		6	8.3		5	6.8		
SHELTER .....	9	6.2		9	12.5		0	0.0		
OTHER .....	0	0.0		0	0.0		0	0.0		
UNKNOWN .....	1	0.7		0	0.0		1	1.4		

DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 40

MONTHLY INCOME OF THE SELF-ABUSED

INCOME	TOTAL SELF-ABUSED		PERSONS			
	NUMBER	PERCENT	DEPENDENT ADULTS		ELDER PERSONS	
			NUMBER	PERCENT	NUMBER	PERCENT
TOTAL .....	509	100.0	158	100.0	351	100.0
NO INCOME/UNKNOWN .....	168	33.0	62	39.2	106	30.2
INCOME: .....	341	67.0	96	60.8	245	69.8
\$1-99 .....	3	0.9	2	2.1	1	0.4
\$100-199 .....	5	1.5	1	1.0	4	1.6
\$200-299 .....	22	6.5	13	13.5	9	3.7
\$300-399 .....	16	4.7	7	7.3	9	3.7
\$400-499 .....	26	7.6	7	7.3	19	7.8
\$500-599 .....	155	45.5	44	45.8	111	45.3
\$600-699 .....	50	14.7	17	17.7	33	13.5
\$700-799 .....	19	5.6	1	1.0	18	7.3
\$800-899 .....	5	1.5	0	0.0	5	2.0
\$900-999 .....	11	3.2	1	1.0	10	4.1
\$1,000 AND MORE .....	29	8.5	3	3.1	26	10.6
AVERAGE MONTHLY INCOME .....		\$611		\$513		\$649

DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 41

SELF-ABUSED WHO RECEIVE SSI/SSP

SSI/SSP	TOTAL SELF-ABUSED		PERSONS			
	NUMBER	PERCENT	DEPENDENT ADULTS		ELDER PERSONS	
			NUMBER	PERCENT	NUMBER	PERCENT
TOTAL .....	509	100.0	158	100.0	351	100.0
RECEIVES SSI/SSP .....	201	39.5	71	44.9	130	37.0
DOES NOT RECEIVE SSI/SSP .....	243	47.7	69	43.7	174	49.6
UNKNOWN .....	65	12.8	18	11.4	47	13.4

DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 42

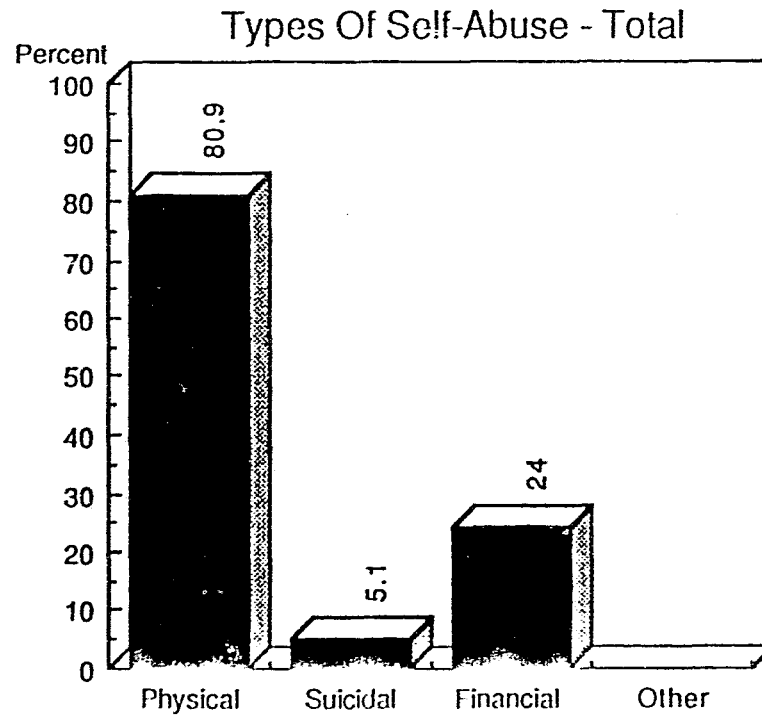
SELF-ABUSED WHO ARE MEDICALLY NEEDY ONLY CASES

MEDICALLY NEEDY ONLY	TOTAL SELF-ABUSED		PERSONS			
	NUMBER	PERCENT	DEPENDENT ADULTS		ELDER PERSONS	
			NUMBER	PERCENT	NUMBER	PERCENT
TOTAL .....	509	100.0	158	100.0	351	100.0
MEDICALLY NEEDY ONLY .....	28	5.5	7	4.4	21	6.0
NOT MEDICALLY NEEDY ONLY .....	377	74.1	120	75.9	257	73.2
UNKNOWN .....	104	20.4	31	19.6	73	20.8

Table 43

Types of Self-Abuse

The highest percent of abuse was physical which accounted for 80.9 percent of the abuse cases. For the purposes of this survey, self-neglect was classified as physical abuse. The inclusion of self-neglect cases in the physical abuse category no doubt contributed to the high rate of physical abuse. The second highest occurrence of abuse was financial which occurred in nearly one-fourth (24.0%) of the cases. Suicide had the lowest rate of occurrence at 5.1 percent.





DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 43  
TYPES OF SELF-ABUSE

TYPES OF SELF-ABUSE	TOTAL SELF-ABUSED		PERSONS			
	NUMBER	PERCENT	DEPENDENT ADULTS		ELDER PERSONS	
			NUMBER	PERCENT	NUMBER	PERCENT
TOTAL.....	509 A/	100.0 A/	158 A/	100.0 A/	351 A/	100.0 A/
PHYSICAL 1/.....	412	80.9	105	66.5	307	87.5
SUICIDAL .....	26	5.1	16	10.1	10	2.8
FINANCIAL .....	122	24.0	50	31.6	72	20.5
OTHER .....	0	0.0	0	0.0	0	0.0

A/ BECAUSE A CASE MAY HAVE MORE THAN ONE RESPONSE, NUMBERS AND PERCENTAGES MAY NOT ADD UP TO TOTAL.  
1/ INCLUDES SELF-NEGLECT

Table 44

Results of Physical Self-Abuse

Of the 80.9 percent of self-abused persons who were reported as being physically abused, the majority (45.4%), resulted in no injury. However, 26.2 percent of the cases did require hospitalization and 22.1 percent required a care provider.

DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 44  
RESULTS OF PHYSICAL SELF-ABUSE

RESULTS OF PHYSICAL ABUSE	TOTAL SELF-ABUSED		PERSONS			
	NUMBER	PERCENT	DEPENDENT ADULTS		ELDER PERSONS	
			NUMBER	PERCENT	NUMBER	PERCENT
TOTAL .....	<u>509</u>	<u>100.0</u>	<u>158</u>	<u>100.0</u>	<u>351</u>	<u>100.0</u>
NO PHYSICAL ABUSE .....	97	19.1	53	33.5	44	12.5
PHYSICAL ABUSE .....	<u>412</u> A/	<u>80.9</u> <u>100.0</u> A/	<u>105</u> A/	<u>66.5</u> <u>100.0</u> A/	<u>307</u> A/	<u>87.5</u> <u>100.0</u> A/
NO INJURY .....	187	45.4	53	50.5	134	43.6
MINOR MEDICAL CARE .....	53	12.9	13	12.4	40	13.0
HOSPITALIZATION .....	108	26.2	25	23.8	83	27.0
CARE PROVIDER REQUIRED .....	91	22.1	12	11.4	79	25.7
DEATH .....	2	0.5	0	0.0	2	0.7
OTHER .....	8	1.9	3	2.9	5	1.6

A/ BECAUSE A CASE MAY HAVE MORE THAN ONE RESPONSE, NUMBERS AND PERCENTAGES MAY NOT ADD UP TO TOTAL.

DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 45  
FREQUENCY OF SELF-ABUSE

FREQUENCY	TOTAL SELF-ABUSED		PERSONS			
	NUMBER	PERCENT	DEPENDENT ADULTS		ELDER PERSONS	
			NUMBER	PERCENT	NUMBER	PERCENT
TOTAL .....	509	100.0	158	100.0	351	100.0
DAILY .....	273	53.6	74	46.8	199	56.7
WEEKLY .....	13	2.6	6	3.8	7	2.0
MONTHLY .....	14	2.8	8	5.1	6	1.7
SPORADICALLY .....	88	17.3	28	17.7	60	17.1
UNKNOWN .....	121	23.8	42	26.6	79	22.5

DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 46

AREA IN WHICH THE SELF-ABUSE OCCURRED

AREA	TOTAL SELF-ABUSED		PERSONS			
	NUMBER	PERCENT	DEPENDENT ADULTS		ELDER PERSONS	
			NUMBER	PERCENT	NUMBER	PERCENT
TOTAL .....	509	100.0	158	100.0	351	100.0
URBAN .....	404	79.4	127	80.4	277	78.9
RURAL .....	92	18.1	19	12.0	73	20.8
UNKNOWN .....	13	2.6	12	7.6	1	0.3

DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 47

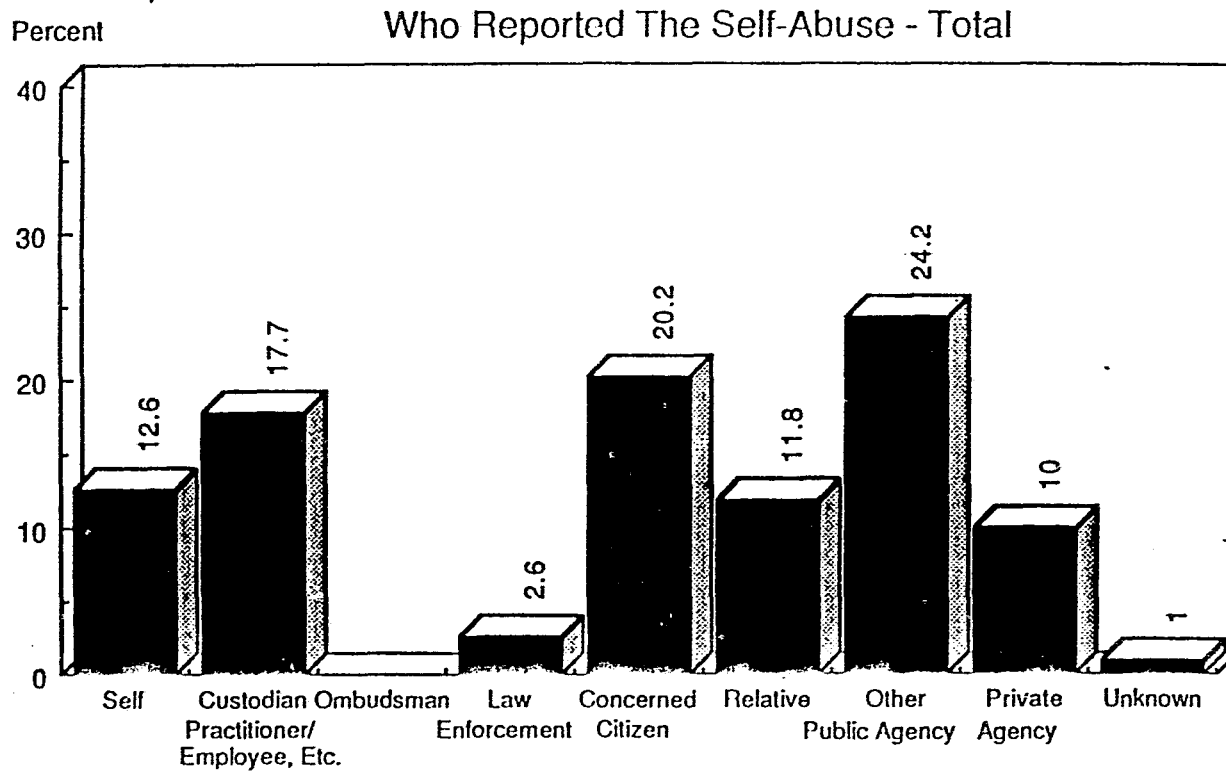
LOCATION IN WHICH THE SELF-ABUSE OCCURRED

LOCATION	TOTAL SELF-ABUSED		PERSONS			
	NUMBER	PERCENT	DEPENDENT ADULTS		ELDER PERSONS	
			NUMBER	PERCENT	NUMBER	PERCENT
TOTAL .....	509	100.0	158	100.0	351	100.0
COMMUNITY CARE FACILITY .....	19	3.7	10	6.3	9	2.6
NURSING FACILITY .....	7	1.4	1	0.6	6	1.7
PRIVATE RESIDENCE .....	129	25.3	41	25.9	88	25.1
OWN HOME .....	285	56.0	62	39.2	223	63.5
OTHER .....	69	13.6	44	27.8	25	7.1

Table 48

Who Reported the Self-Abuse

Public agencies, at 24.2 percent, reported the most cases of self-abuse. Concerned citizens reported 20.2 percent of the time. Although the abuse was self-inflicted, in 12.6 percent of the cases the abuse was reported by the self-abused person.



DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 48  
WHO REPORTED THE SELF-ABUSE

REPORTED BY	TOTAL SELF-ABUSED		PERSONS			
	NUMBER	PERCENT	DEPENDENT ADULTS		ELDER PERSONS	
			NUMBER	PERCENT	NUMBER	PERCENT
TOTAL .....	509	100.0	158	100.0	351	100.0
SELF .....	64	12.6	42	26.6	22	6.3
CUSTODIAN/PRACTITIONER/EMPLOYEE, ETC. OMBUDSMAN .....	90	17.7	24	15.2	66	18.8
.....	0	0.0	0	0.0	0	0.0
LAW ENFORCEMENT .....	13	2.6	3	1.9	10	2.8
CONCERNED CITIZEN .....	103	20.2	24	15.2	79	22.5
RELATIVE .....	60	11.8	15	9.5	45	12.8
OTHER PUBLIC AGENCY .....	123	24.2	41	25.9	82	23.4
PRIVATE AGENCY .....	51	10.0	8	5.1	43	12.3
UNKNOWN .....	5	1.0	1	0.6	4	1.1



DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

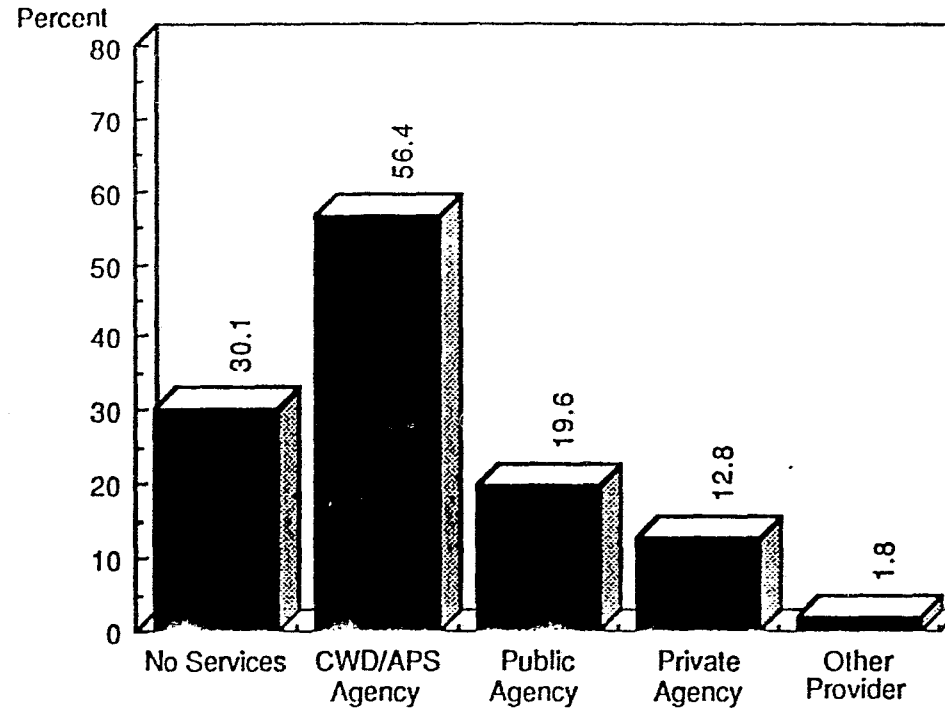
TABLE 49

RESULTS OF INVESTIGATIONS OF CONFIRMED REPORTS OF SELF-ABUSE

RESULTS OF INVESTIGATIONS	TOTAL SELF-ABUSED		PERSONS			
	NUMBER	PERCENT	DEPENDENT ADULTS		ELDER PERSONS	
			NUMBER	PERCENT	NUMBER	PERCENT
TOTAL .....	509	100.0	158	100.0	351	100.0
REFERRED AND ACCEPTED SERVICES.....	356	69.9	115	72.8	241	68.7
REFUSED SERVICES .....	105	20.6	31	19.6	74	21.1
NO SERVICES NEEDED .....	24	4.7	4	2.5	20	5.7
ABUSED REFUSED TO COOPERATE .....	16	3.1	6	3.8	10	2.8
OTHER .....	8	1.6	2	1.3	6	1.7

Table 50

### Agencies That Provided Services To The Self-Abused - Total



DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 50

AGENCIES THAT PROVIDED SERVICES TO THE SELF-ABUSED WHO WERE REFERRED FOR AND ACCEPTED SERVICES

PROVIDERS	TOTAL SELF-ABUSED		PERSONS						
	NUMBER	PERCENT	DEPENDENT ADULTS			ELDER PERSONS			
			NUMBER	PERCENT	NUMBER	PERCENT	NUMBER	PERCENT	
TOTAL .....	509	100.0	158	100.0	351	100.0			
SERVICES NOT REFERRED/ACCEPTED .....	153	30.1	43	27.2	110	31.3			
REFERRED AND ACCEPTED SERVICES: .....	356 A/	69.9	100.0 A/	115 A/	72.8	100.0 A/	241 A/	68.7	100.0 A/
CWD/APS SERVICES .....	287	80.6	79	68.7	208	86.3			
PUBLIC AGENCY SERVICES .....	100	28.1	45	39.1	55	22.8			
PRIVATE AGENCY SERVICES .....	65	18.3	21	18.3	44	18.3			
OTHER PROVIDER SERVICES .....	9	2.5	2	1.7	7	2.9			

A/ BECAUSE A CASE MAY HAVE MORE THAN ONE RESPONSE, NUMBERS AND PERCENTAGES MAY NOT ADD UP TO TOTAL.

DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 51

SERVICES PROVIDED TO THE SELF-ABUSED  
WHO WERE REFERRED FOR AND ACCEPTED SERVICES

SERVICES	TOTAL SELF-ABUSED		PERSONS			
	NUMBER	PERCENT	DEPENDENT ADULTS		ELDER PERSONS	
			NUMBER	PERCENT	NUMBER	PERCENT
TOTAL .....	<u>509</u>	<u>100.0</u>	<u>158</u>	<u>100.0</u>	<u>351</u>	<u>100.0</u>
SERVICES NOT REFERRED/ACCEPTED .....	153	30.1	43	27.2	110	31.3
REFERRED AND ACCEPTED SERVICES: .....	<u>356</u> A/	69.9	<u>115</u> A/	72.8	<u>241</u> A/	68.7
CASE MANAGEMENT .....	192	53.9	46	40.0	146	60.6
EMERGENCY SHELTER .....	38	10.7	25	21.7	13	5.4
MONEY MANAGEMENT .....	62	17.4	22	19.1	40	16.6
RESPIRE CARE .....	5	1.4	0	0.0	5	2.1
MEDICAL CARE .....	101	28.4	31	27.0	70	29.0
CONSERVATORSHIP .....	36	10.1	9	7.8	27	11.2
IN-HOME CARE .....	85	23.9	20	17.4	65	27.0
OUT-OF-HOME CARE/PLACEMENT .....	71	19.9	14	12.2	57	23.7
LEGAL SERVICES .....	14	3.9	8	7.0	6	2.5
TRANSPORTATION .....	39	11.0	17	14.8	22	9.1
OTHER .....	58	16.3	23	20.0	35	14.5

A/ BECAUSE A CASE MAY HAVE MORE THAN ONE RESPONSE, NUMBERS AND PERCENTAGES MAY NOT ADD UP TO TOTAL.

DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 52

CWD/APS SERVICES PROVIDED TO THE SELF-ABUSED WHO WERE REFERRED FOR AND ACCEPTED SERVICES

CWD/APS SERVICES	TOTAL SELF-ABUSED		PERSONS			
	NUMBER	PERCENT	DEPENDENT ADULTS		ELDER PERSONS	
			NUMBER	PERCENT	NUMBER	PERCENT
TOTAL .....	509	100.0	158	100.0	351	100.0
SERVICES NOT REFERRED/ACCEPTED .....	153	30.1	43	27.2	110	31.3
NO CWD/APS SERVICES 1/ .....	69	13.6	36	22.8	33	9.4
CWD/APS SERVICES: .....	287	56.4	79	50.0	208	59.3
CASE MANAGEMENT .....	177	61.7	43	54.4	134	64.4
EMERGENCY SHELTER .....	16	5.6	7	8.9	9	4.3
MONEY MANAGEMENT .....	46	16.0	14	17.7	32	15.4
RESPITE CARE .....	2	0.7	0	0.0	2	1.0
MEDICAL CARE .....	56	19.5	15	19.0	41	19.7
CONSERVATORSHIP .....	25	8.7	9	11.4	16	7.7
IN-HOME CARE .....	63	22.0	15	19.0	48	23.1
OUT-OF-HOME CARE/PLACEMENT .....	55	19.2	12	15.2	43	20.7
LEGAL SERVICES .....	4	1.4	1	1.3	3	1.4
TRANSPORTATION .....	29	10.1	11	13.9	18	8.7
OTHER .....	26	9.1	10	12.7	16	7.7

A/ BECAUSE A CASE MAY HAVE MORE THAN ONE RESPONSE, NUMBERS AND PERCENTAGES MAY NOT ADD UP TO TOTAL.  
 1/ SERVICES PROVIDED BY PUBLIC AGENCIES, PRIVATE AGENCIES AND OTHER PROVIDERS.

DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 53

PUBLIC AGENCY SERVICES PROVIDED TO THE SELF-ABUSED WHO WERE REFERRED FOR AND ACCEPTED SERVICES

PUBLIC AGENCY SERVICES	TOTAL SELF-ABUSED		PERSONS					
	NUMBER	PERCENT	DEPENDENT ADULTS		ELDER PERSONS		NUMBER	PERCENT
			NUMBER	PERCENT	NUMBER	PERCENT		
TOTAL .....	<u>509</u>	<u>100.0</u>	<u>158</u>	<u>100.0</u>	<u>351</u>	<u>100.0</u>		
SERVICES NOT REFERRED/ACCEPTED .....	153	30.1	43	27.2	110	31.3		
NO PUBLIC AGENCY SERVICES 1/ .....	256	50.3	70	44.3	186	53.0		
PUBLIC AGENCY SERVICES: .....	<u>100</u> A/	19.6	<u>45</u> A/	28.5	<u>100.0</u> A/	<u>55</u> A/	15.7	<u>100.0</u> A/
CASE MANAGEMENT .....	15	15.0	4	8.9	11	20.0		
EMERGENCY SHELTER .....	11	11.0	7	15.6	4	7.3		
MONEY MANAGEMENT .....	14	14.0	8	17.8	6	10.9		
RESPIRE CARE .....	0	0.0	0	0.0	0	0.0		
MEDICAL CARE .....	30	30.0	16	35.6	14	25.5		
CONSERVATORSHIP .....	8	8.0	0	0.0	8	14.5		
IN-HOME CARE .....	17	17.0	6	13.3	11	20.0		
OUT-OF-HOME CARE/PLACEMENT .....	7	7.0	1	2.2	6	10.9		
LEGAL SERVICES .....	6	6.0	3	6.7	3	5.5		
TRANSPORTATION .....	8	8.0	7	15.6	1	1.8		
OTHER .....	27	27.0	10	22.2	17	30.9		

A/ BECAUSE A CASE MAY HAVE MORE THAN ONE RESPONSE, NUMBERS AND PERCENTAGES MAY NOT ADD UP TO TOTAL.  
 1/ SERVICES PROVIDED BY CND/APS, PRIVATE AGENCIES AND OTHER PROVIDERS.

DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 54

PRIVATE AGENCY SERVICES PROVIDED TO THE SELF-ABUSED WHO WERE REFERRED FOR AND ACCEPTED SERVICES

PRIVATE AGENCY SERVICES	TOTAL SELF-ABUSED		PERSONS					
	NUMBER	PERCENT	DEPENDENT ADULTS		ELDER PERSONS		NUMBER	PERCENT
TOTAL .....	509	100.0	158	100.0	351	100.0		
SERVICES NOT REFERRED/ACCEPTED .....	153	30.1	43	27.2	110	31.3		
NO PRIVATE AGENCY SERVICES 1/ .....	291	57.2	94	59.5	197	56.1		
PRIVATE AGENCY SERVICES: .....	65 A/	12.8	21 A/	13.3	44 A/	12.5	100.0 A/	
CASE MANAGEMENT .....	4	6.2	0	0.0	4	9.1		
EMERGENCY SHELTER .....	14	21.5	12	57.1	2	4.5		
MONEY MANAGEMENT .....	3	4.6	1	4.8	2	4.5		
RESPIRE CARE .....	3	4.6	0	0.0	3	6.8		
MEDICAL CARE .....	18	27.7	3	14.3	15	34.1		
CONSERVATORSHIP .....	2	3.1	0	0.0	2	4.5		
IN-HOME CARE .....	13	20.0	0	0.0	13	29.5		
OUT-OF-HOME CARE/PLACEMENT .....	11	16.9	2	9.5	9	20.5		
LEGAL SERVICES .....	5	7.7	4	19.0	1	2.3		
TRANSPORTATION .....	4	6.2	0	0.0	4	9.1		
OTHER .....	5	7.7	2	9.5	3	6.8		

A/ BECAUSE A CASE MAY HAVE MORE THAN ONE RESPONSE, NUMBERS AND PERCENTAGES MAY NOT ADD UP TO TOTAL.  
 1/ SERVICES PROVIDED BY CWD/APS, PUBLIC AGENCIES AND OTHER PROVIDERS.

DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 55

OTHER PROVIDER SERVICES PROVIDED TO THE SELF-ABUSED WHO WERE REFERRED FOR AND ACCEPTED SERVICES

OTHER PROVIDER SERVICES	TOTAL SELF-ABUSED		PERSONS						
	NUMBER	PERCENT	DEPENDENT ADULTS		ELDER PERSONS		NUMBER	PERCENT	
			NUMBER	PERCENT	NUMBER	PERCENT			
TOTAL .....	509	100.0	158	100.0	351	100.0			
SERVICES NOT REFERRED/ACCEPTED .....	153	30.1	43	27.2	110	31.3			
NO OTHER PROVIDER SERVICES 1/ .....	347	68.2	113	71.5	234	66.7			
OTHER PROVIDER SERVICES:	9 A/	1.8	100.0 A/	2 A/	1.3	100.0 A/	7 A/	2.0	100.0 A/
CASE MANAGEMENT .....	1	11.1	1	50.0	0	0.0	0	0.0	
EMERGENCY SHELTER .....	0	0.0	0	0.0	0	0.0	0	0.0	
MONEY MANAGEMENT .....	4	44.4	1	50.0	3	42.9			
RESPIRE CARE .....	0	0.0	0	0.0	0	0.0			
MEDICAL CARE .....	1	11.1	0	0.0	1	14.3			
CONSERVATORSHIP .....	1	11.1	0	0.0	1	14.3			
IN-HOME CARE .....	2	22.2	0	0.0	2	28.6			
OUT-OF-HOME CARE/PLACEMENT .....	1	11.1	0	0.0	1	14.3			
LEGAL SERVICES .....	0	0.0	0	0.0	0	0.0			
TRANSPORTATION .....	0	0.0	0	0.0	0	0.0			
OTHER .....	0	0.0	0	0.0	0	0.0			

A/ BECAUSE A CASE MAY HAVE MORE THAN ONE RESPONSE, NUMBERS AND PERCENTAGES MAY NOT ADD UP TO TOTAL.  
 1/ SERVICES PROVIDED BY CWD/APS, PUBLIC AGENCIES AND PRIVATE AGENCIES.



DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 56

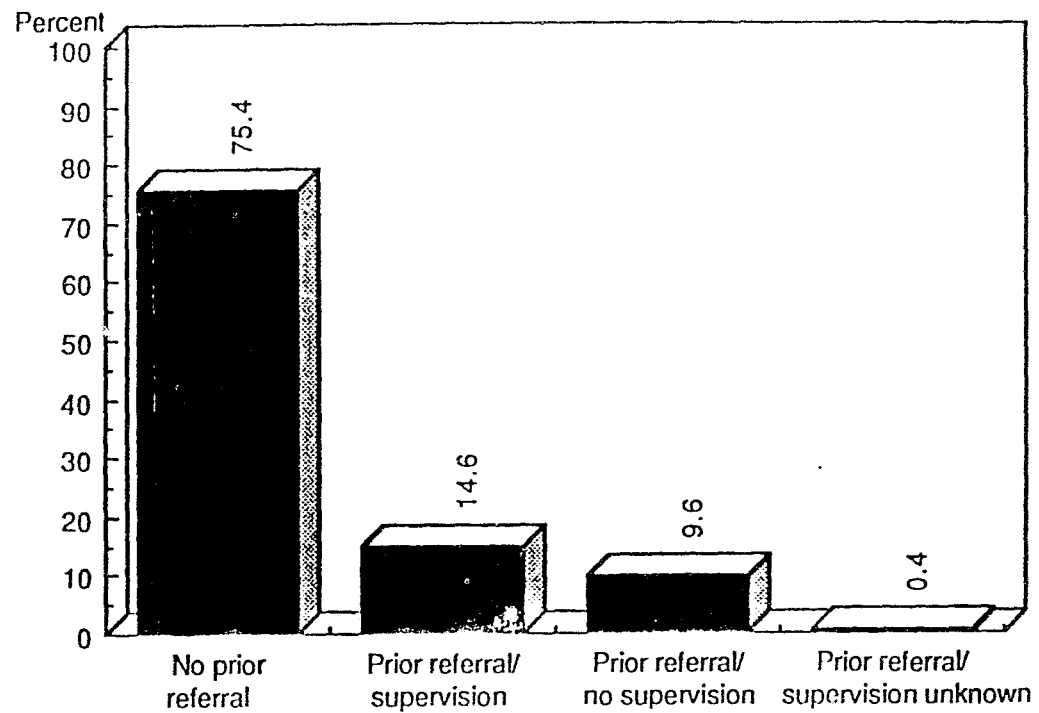
SERVICES THAT WERE NEEDED BY THE SELF-ABUSED BUT WERE NOT AVAILABLE

SERVICES	TOTAL SELF-ABUSED		PERSONS					
	NUMBER	PERCENT	DEPENDENT ADULTS		ELDER PERSONS			
			NUMBER	PERCENT	NUMBER	PERCENT	NUMBER	PERCENT
TOTAL .....	<u>509</u>	<u>100.0</u>	<u>158</u>	<u>100.0</u>	<u>351</u>	<u>100.0</u>	<u>110</u>	<u>31.3</u>
NO SERVICES REQUIRED .....	153	30.1	43	27.2	110	31.3		
SERVICES REQUIRED: .....	<u>356</u>	<u>69.9</u>	<u>115</u>	<u>72.8</u>	<u>241</u>	<u>68.7</u>		
AVAILABLE .....	356	100.0	115	100.0	241	100.0		
NOT AVAILABLE .....	18	5.1	4	3.5	14	5.8		

A/ BECAUSE A CASE MAY HAVE MORE THAN ONE RESPONSE, NUMBERS AND PERCENTAGES MAY NOT ADD UP TO TOTAL.

Table 57

Self-Abused Cases With Prior Adult Protective Services Supervision - Total



DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 57

SELF-ABUSED CASES WITH PRIOR ADULT PROTECTIVE SERVICES (APS) SUPERVISION

PREVIOUS SUPERVISION	TOTAL SELF-ABUSED		PERSONS					
	NUMBER	PERCENT	DEPENDENT ADULTS		ELDER PERSONS		NUMBER	PERCENT
			NUMBER	PERCENT	NUMBER	PERCENT		
TOTAL .....	509	100.0	158	100.0			351	100.0
NO PRIOR REFERRAL 1/ .....	384	75.4	120	75.9			264	75.2
PRIOR REFERRAL: .....	125	24.6	38	24.1	100.0		87	24.8
PLACED UNDER SUPERVISION .....	74	59.2	23	60.5			51	58.6
NOT PLACED UNDER SUPERVISION .....	49	39.2	14	36.8			35	40.2
UNKNOWN .....	2	1.6	1	2.6			1	1.1

1/ INCLUDES THOSE CASES WHERE THE PRIOR APS STATUS WAS UNKNOWN.

DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 58

REASONS FOR PRIOR ADULT PROTECTIVE SERVICES (APS) SUPERVISION  
SELF-ABUSED PERSONS

REASONS	TOTAL SELF-ABUSED		PERSONS			
	NUMBER	PERCENT	DEPENDENT ADULTS		ELDER PERSONS	
			NUMBER	PERCENT	NUMBER	PERCENT
TOTAL .....	509	100.0	158	100.0	351	100.0
NO PRIOR REFERRAL 1/ .....	384	75.4	120	75.9	264	75.2
PRIOR REFERRAL/NO SUPERVISION .....	51	10.0	15	9.5	36	10.3
PRIOR REFERRAL/SUPERVISION: .....	74 A/	14.5	23 A/	14.6	51 A/	14.5
ABUSE SELF-INFLECTED .....	70	94.6	21	91.3	49	96.1
ABUSE NOT SELF-INFLECTED .....	5	6.8	2	8.7	3	5.9
OTHER .....	0	0.0	0	0.0	0	0.0

A/ BECAUSE A CASE MAY HAVE MORE THAN ONE RESPONSE, NUMBERS AND PERCENTAGES MAY NOT ADD UP TO TOTAL.  
1/ INCLUDES THOSE CASES WHERE THE PRIOR APS STATUS WAS UNKNOWN.

DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

TABLE 59

STATUS OF PRIOR ADULT PROTECTIVE SERVICES (APS) SUPERVISION  
SELF-ABUSED PERSONS

SUPERVISION STATUS	TOTAL SELF-ABUSED		PERSONS			
	NUMBER	PERCENT	DEPENDENT ADULTS		ELDER PERSONS	
			NUMBER	PERCENT	NUMBER	PERCENT
TOTAL .....	509	100.0	158	100.0	351	100.0
NO PRIOR REFERRAL 1/ .....	384	75.4	120	75.9	264	75.2
PRIOR REFERRAL/NO SUPERVISION .....	51	10.0	15	9.5	36	10.3
PRIOR REFERRAL/SUPERVISION: .....	74 A/	14.5	23 A/	14.6	51 A/	14.5
SUPERVISION CONTINUING .....	18	24.3	9	39.1	9	17.6
SUPERVISION COMPLETED .....	56	75.7	14	60.9	42	82.4

A/ BECAUSE A CASE MAY HAVE MORE THAN ONE RESPONSE, NUMBERS AND PERCENTAGES MAY NOT ADD UP TO TOTAL.  
1/ INCLUDES THOSE CASES WHERE THE PRIOR APS STATUS WAS UNKNOWN.

DEPENDENT ADULT/ELDER ABUSE CHARACTERISTICS SURVEY

February 1987 Survey

CASE NUMBER, COUNTY, CASE NAME (LAST, FIRST)

REPORT OF ABUSE

1. Was this report of abuse received in an office located in a demonstration project area? YES 1 NO 2
2. Indicate the demonstration project your office is participating in

THE INVESTIGATION/SERVICES

3. What was the result of the investigation of this report of abuse? Abuse confirmed, Victim referred for and accepted services, etc.

IF ITEM 3B, C OR D HAS AN "X," SKIP TO ITEM 32
IF ITEM 3A(3) - 3A(8) HAS AN "X," SKIP TO ITEM 9
IF ITEM 3A(1) OR 3A(2) HAS AN "X," CONTINUE TO ITEM 4.

Table with columns: SERVICES, CWO APS, PUBLIC AGENCY, PRIVATE AGENCY, OTHER PROVIDER (Specify)

5. Specify any service(s) that the victim needed that could not be referred because the service(s) was not available
6. Prior to the most recent incident, was the victim known to APS?
7. Was the victim placed under APS supervision at that time?
8. Indicate the reason(s) for the APS supervision and whether or not the client is still under that supervision

THE ABUSED

9. Abused victim is
a. A dependent adult (18-64 years)
b. An elder person (65 years and over)
10. Dependent adult/elder person is
a. Developmentally disabled
b. Mentally disabled
c. Physically disabled
d. Brain impaired
11. Age of victim
12. Sex of victim
13. Ethnicity of victim
14. Does victim live in their own home?
15. Victim lives in own home
16. Indicate where victim lives if not in their own home
17. Enter the amount of the victim's monthly income
18. Does the victim receive SSI/SSP?
19. Is the victim a medically needy only case?

THE ABUSE/ABUSER

20. Was the abuse self-inflicted?
21. Indicate type(s) of confirmed abuse
a. Physical
b. Suicidal
c. Fiduciary
d. Other (specify)
22. Was abuse perpetrated by another?
23. Age of the alleged abuser
24. Sex of the alleged abuser
25. Ethnicity of the alleged abuser

THE ABUSE/ABUSER (continued)

26. Relationship of alleged abuser to the victim:
a. Care custodian
b. Health Practitioner
c. Spouse
d. Parent
e. Offspring
f. No relation
g. Other relation (specify)
h. Unknown
27. Indicate type(s) of confirmed abuse
a. Physical
1) Assault/Battery
2) Constraint/Deprivation
3) Sexual
b. Neglect
c. Abandonment
d. Fiduciary
e. Mental suffering
f. Other (specify)
28. Abuse indicated in item 21 or 27, resulted in
a. No injury
b. Minor medical care
c. Hospitalization
d. Care provider required
e. Death
f. Other (specify)
29. What was the frequency of the abuse?
a. Daily
b. Weekly
c. Monthly
d. Sporadically
e. Unknown
30. In what area did the abuse occur?
a. Urban
b. Rural
c. Unknown
31. In what location did the abuse occur?
a. Community care facility
b. Nursing facility
c. Private residence
d. Victim's home
e. Other (specify)
32. Who reported the abuse?
a. Victim
b. Abuser
c. Custodian/Practitioner/Employee, etc
d. Ombudsman
e. Law enforcement
f. Concerned citizen
g. Relative
h. Other public agency
i. Private agency
j. Other (specify)

33. YOUR NAME, STATE CODE

# TEMP 1988 INSTRUCTIONS DEPENDENT ADULT/ELDER ABUSE SURVEY

## PURPOSE

The Data Processing and Statistical Services Bureau has been requested by program management to conduct a characteristics survey of dependent adults and elder persons who are victims of abuse. The Department of Social Services will report to the Legislature on the characteristics of the reported victims of abuse. This survey is intended to develop the characteristic data for that report.

## STUDY MONTH

February, 1987 is the study month. This means we want to gather information about reports to the County Welfare Department relative to dependent adult and elder abuse that took place in February.

## GENERAL INSTRUCTIONS

Please complete this form in No. 2 pencil. The appropriate entry for a  is B. When entering numbers in the spaces provided, enter the number in the far right (see the [ ]).

## ITEM INSTRUCTIONS

Enter the information requested. Items B and C are for state use only and should be left blank.

Report of Abuse (Items 1 and 2)

- 1 Indicate in Item 1 whether the report of abuse was received in an office located in a demonstration project area. If Item 1 is "Yes," complete Item 2. If "No," skip to Item 3.
- 2 Complete Item 2 indicating in which demonstration project(s) your county is participating.

## The Investigation/Service (Items 3-8)

- 3 Answer here indicating the results of the investigation (Item 3a, C or that an "X" skip to Item 3c. If Item 3a(1) has an "X," skip to Item 9. If Item 3a(2) has an "X," continue to Item 4.
- 4 For abuse cases which were referred for and accepted services, indicate by providing the service(s) the victim was referred for and accepted. Specify the provider of each service by entering an "X" in the appropriate box(es).
- 5 Specify in this item any service(s) that the victim needed that could not be referred because the service(s) was not available.
- 6 Indicate here if prior to this most recent incident, the victim was known to APS. If "Yes," complete Item 7. If "No" or "Unknown," skip to Item 9.
- 7 For victims known to APS prior to this most recent incident, answer here indicating whether they were placed under APS supervision at that time. If "Yes," complete Item 8. If "No" or "Unknown," skip to Item 9.
- 8 For victims previously under APS supervision, indicate the reason(s) for the APS supervision and whether or not they are still under that supervision.

## The Abused (Items 9-19)

- 9 Indicate in this item whether the abuse victim is a dependent adult or an elder person.
- 10 Answer in Item 10 indicating the type(s) of disability and/or impairment of the abused. For the purposes of this survey, the following definitions are to be used when responding to Item 10a-f:
  - a Developmentally Disabled--A disability which originated before age 18, continues or can be expected to continue indefinitely, and constitutes a substantial handicap, i.e., mental retardation, cerebral palsy, epilepsy, and autism.
  - b Mentally Disabled--A significant change in thinking or mood resulting in impairments that adversely affect personality, behavior, and/or ability to perform daily living requirements.
  - c Physically Disabled--A physical handicap which restricts a person's ability to independently perform the activities of daily living.
  - d Brain Impaired
  - e Alzheimer's disease/dementia: Organic brain disease which progressively impairs an individual's memory, thought processes, and behavior.
  - f Other--Brain damage (head injuries, stroke), multiple sclerosis, Parkinson's disease, etc.
- 11 Most physically or mentally disabled--Put an "X" in this box if the victim has no physical or mental disability.
- 12 Indicate in years, the age of the abused person. If the age of the abused is unknown, make an estimate and enter that number.
- 13 Put an "X" in the appropriate box indicating the sex of the victim.

## COMMENTS

- 13 Using the following definitions, specify the ethnicity of the abuse victim:
  - a White (Not of Hispanic, Origin, include all persons having origins in any of the original peoples of Europe, North Africa or the Middle East.
  - b Hispanic, All persons of Mexican, Puerto Rican, Cuban, Central or South American or other Spanish culture or origin, regardless of race.
  - c Black (Not of Hispanic Origin)--All persons having origins in any of the black racial groups of Africa.
  - d Asian or Pacific Islander--All persons having origins in any of the original peoples of the Far East, Southeast Asia, the Indian sub continent, or the Pacific Islands. This area includes, for example, China, Japan, Korea and Samoa.
  - e American Indian or Alaskan Native--All persons having origins in any of the original peoples of North America and who maintain cultural identification through tribal affiliation or community recognition.
  - f Filipino--All persons having origins in the original peoples of the Philippine Islands.
  - g Unknown--"X" here only if no information on the ethnic origin of a person is available.
- 14 Answer Item 14 indicating whether the abuse victim lives in their own home. If "Yes," answer Item 15. If "No," skip to Item 16.
- 15 Complete Item 15 indicating whether the victim lives alone, with another person(s), or if their living arrangement status is unknown.
- 16 Identify in Item 16 where the victim lives if not in their own home.
- 17 Enter the amount of the victim's monthly income.
- 18 Indicate whether the abuse victim receives SSI/SSP.
- 19 Indicate whether the abuse victim is a medically needy only case.
- 20 The Abuser/Abuser (Items 20-32)
  - 20 If the abuse was self-inflicted, answer "Yes" and complete Item 21. If "No," skip to Item 22.
  - 21 Indicate type(s) of confirmed self abuse.
  - 22 Answer here indicating whether the abuse was perpetrated by a person other than the victim himself. If "Yes," complete Item 23-27. If "No," skip to Item 28.
  - 23 Indicate, in years, the age of the alleged abuser. If the age of the alleged abuser is unknown, make an estimate and enter that number.
  - 24 Put an "X" in the appropriate box indicating the sex of the alleged abuser.
  - 25 Complete Item 25 reflecting the ethnic definition listed in Item 13.
  - 26 Indicate the relationship of the alleged abuser to the victim by putting an "X" in the appropriate box.
  - 27 Using the following definitions, specify the type(s) of confirmed abuse perpetrated by another:
    - a Physical Assault: battery, assault with a deadly weapon or force likely to produce great bodily injury, unreasonable physical contact, prolonged or continual deprivation of food or water, or sexual assault.
    - b Neglect--The failure of any person having the care or custody of a dependent adult or elder to exercise the degree of care which a reasonable person would exercise.
    - c Abandonment--The desertion or willful forsaking of an elder or a dependent adult by anyone having care or custody of that person under circumstances in which a reasonable person would continue to provide care and custody.
    - d Fiduciary--A situation in which any person who has the care or custody of or who stands in a position of trust to, an elder or dependent adult, takes, secretes, or appropriates their money or property, to any use or purpose not in the due and lawful execution of his or her trust.
    - d Mental Deliberately subjecting a person to fear, agitation, confusion, severe depression or other forms of serious emotional distress, through threats, harassment or other forms of intimidating behavior.
    - f Other--Specify in this item any type of abuse not included in Items 27 a-e.
- 28 Put an "X" in the appropriate box(es) that identifies the result(s) of the abuse(s) indicated in Item 21 or Item 27.
- 29 Answer here indicating the frequency of the abuse.
- 30 Indicate in what area the abuse occurred by putting an "X" in the appropriate box.
- 31 Indicate in what location the abuse occurred by putting an "X" in the appropriate box.
- 32 Specify in this item which required the confirmed abuse to the County Welfare Department.
- 33 Your Name and Telephone Number--Please print your name in the space provided. We may have questions about the case which was surveyed. Therefore, please put your telephone number in the space provided, giving your office extension if necessary.

# SDSS MINIMUM GUIDELINES FOR ELDER AND DEPENDENT ADULT ABUSE INVESTIGATIONS, ABUSE REPORTS AND COUNTY ADULT PROTECTIVE SERVICES PROGRAM QUESTIONNAIRE

INSTRUCTIONS: To be completed by county Adult Protective Services program.

COUNTY: \_\_\_\_\_

## SDSS MINIMUM GUIDELINES FOR ELDER AND DEPENDENT ABUSE INVESTIGATIONS

1. Prior to implementation of the SDSS Minimum Guidelines, did your department have
- a. Written guidelines to follow when receiving reports of alleged adult abuse?  YES  NO
  - b. Written guidelines to follow when investigating reports of alleged adult abuse?  YES  NO
- 
2. a. Currently are the SDSS Minimum Guidelines generally followed when receiving and/or investigating reports of alleged adult abuse?  YES  NO
- b. If your above answer is "YES", please check below on the appropriate line as to how beneficial the guidelines have been for each of the areas specified, and provide comments if any.

AREAS	HOW BENEFICIAL			COMMENTS
	VERY	MODERATE	SOMEWHAT	
(1) Information				
(2) Process				
(3) Screening				
(4) Investigation				
(5) Interaction with Other Agencies				
(6) Program Management				
(7) Program Uniformity				
(8) Program Effectiveness				
(9) Program Efficiency				

c. If you checked "NO" to Item **(2 a)**, please explain why.



3 a Please check below whether or not a Memorandum of Understanding (MOU) exists between your department and the following agencies for the purpose of addressing the problem of elder and dependent adult abuse in your community. Please check if the MOU was implemented in 1987 and check if the Minimum Guidelines had a direct or indirect impact on establishing the MOU.

AGENCY	MOU		CHECK IF IMPLEMENTED IN 1987		IMPACTED BY MINIMUM GUIDELINES	
	YES	NO	YES	NO	YES	NO
1 Local Ombudsman Program of State Department of Aging						
2 Area Agency on Aging						
3 Law Enforcement						
4 Department of Mental Health						
5 Department of Public Health						
6 Conservator's Office						
7 Other (specify)						

b If you checked "NO" under any item (3 a), is your department currently in discussion with any of the above agencies regarding cross-reporting and investigation of elder and dependent adult abuse?  YES  NO  
Please list such agencies below.

**ABUSE REPORTS**

4 a Are all incoming reports of alleged abuse investigated (other than those reports referred to the ombudsman program when the abuse occurs in a long-term care facility)?  YES  NO

b If you checked "NO" above please identify below the types of cases which may not be investigated

5 a Following initial screening in Item (4) are all remaining reports of abuse investigated immediately?

YES  NO

b If you checked "NO" under Item (5 a), are screened reports prioritized for investigation based on certain criteria?

YES  NO

c Are screened reports investigated within certain time frames?

YES  NO

d If you checked "YES" to Item (5 b) or (5 c) please describe or list below the criteria for prioritizing investigations and the time frames used

**ADULT PROTECTIVE SERVICE (APS) PROGRAM AND STAFF**

6 Please provide approximate percentages of (1) the number of APS clients within the total APS caseload who are victims of elder and dependent adult abuse wherein (2) the abuse was perpetrated by another, and (3) the abuse was self-inflicted, as indicated below, for the month of August 1987.

**APPROXIMATE PERCENTAGES OF ABUSE VICTIMS IN APS CASELOAD (AUGUST 1987)**

TOTAL PERCENTAGE OF ABUSE VICTIMS	PERCENTAGE OF VICTIMS ABUSED BY OTHERS	PERCENTAGE OF VICTIMS SELF-ABUSED
(EXAMPLE) 90 percent	50 percent	40 percent

7 Please list below the number of all Adult Protective Services cases, the number of APS paid staff positions (excluding non-case carrying supervisors and administrators) and the average APS caseload per worker for August 1987.

NUMBER OF APS CASES	NUMBER OF APS PAID POSITIONS (FTES)	AVERAGE CASELOAD WORKER

8 Has the implementation of the Minimum Guidelines had a direct or indirect fiscal and/or programmatic impact on any of the following areas within your Adult Protective Services program?

a APS STAFF PAID POSITIONS (FTE)?

YES  NO

If "YES", please explain

If applicable how many positions were ADDED or ELIMINATED? (circle one)

NUMBER OF POSITIONS \_\_\_\_\_

b APS CASELOAD

YES  NO

If "YES", have caseloads INCREASED or DECREASED? (circle one)

By how much of a percentage? \_\_\_\_\_

c SERVICES

YES  NO

If "YES", have additional APS services been created?

YES  NO

If "YES" what are they?

If "NO", how have services already in place been impacted, by minimum guidelines, if at all?

d OTHER Identify and explain

9. Comments (Please use this space for further comments regarding any of the questions. Please identify the question number which the comments are referencing.)

10. Is your department planning or implementing any changes in your Adult Protective Services program in FY 1987-88 as a direct result of the Minimum Guidelines?

YES

NO

If "YES", please identify and explain:

PERSON COMPLETING THE QUESTIONNAIRE (PLEASE PRINT)

TITLE

TELEPHONE NUMBER

( )

LIST OF COUNTIES  
COMPLETING QUESTIONNAIRE

- |     |              |     |                 |
|-----|--------------|-----|-----------------|
| 1.  | Amador       | 22. | Sacramento      |
| 2.  | Calaveras    | 23. | San Bernardino  |
| 3.  | Contra Costa | 24. | San Diego       |
| 4.  | Del Norte    | 25. | San Francisco   |
| 5.  | El Dorado    | 26. | San Joaquin     |
| 6.  | Fresno       | 27. | San Luis Obispo |
| 7.  | Glenn        | 28. | San Mateo       |
| 8.  | Humboldt     | 29. | Santa Barbara   |
| 9.  | Inyo         | 30. | Santa Clara     |
| 10. | Kern         | 31. | Santa Cruz      |
| 11. | Kings        | 32. | Shasta          |
| 12. | Los Angeles  | 33. | Siskiyou        |
| 13. | Madera       | 34. | Solano          |
| 14. | Marin        | 35. | Sonoma          |
| 15. | Merced       | 36. | Stanislaus      |
| 16. | Monterey     | 37. | Sutter          |
| 17. | Napa         | 38. | Tehama          |
| 18. | Nevada       | 39. | Tulare          |
| 19. | Orange       | 40. | Tuolumne        |
| 20. | Plumas       | 41. | Ventura         |
| 21. | Riverside    | 42. | Yuba            |

RETURN COMPLETED FORM TO  
Department of Social Services  
Statistical Services Section  
744 P Street, M.S. 19 B1  
Sacramento, CA 95814

**ELDER ABUSE/DEPENDENT ADULT ABUSE  
MONTHLY STATISTICAL REPORT**

COUNTY	MONTH ENDING	CTY CODE	MONTH	YEAR
REPORTS OF CASES OF ABUSE	PERPETRATED BY ANOTHER PERSON		SELF-INFLICTED	
	ELDER ADULT 65+	DEPENDENT ADULT 18-64	ELDER ADULT 65+	DEPENDENT ADULT 18-64

<b>PART A. NUMBER OF REPORTS:</b>				
1. Received .....	01	02	03	04
2. Investigated .....	05	06	07	08
3. Confirmed .....	09	10	11	12
4. Dismissed (Insufficient Evidence) .....	13	14	15	16
5. Unfounded (False Reports) .....	17	18	19	20
<b>PART B. TYPES OF CONFIRMED INCIDENTS</b>				
6. Physical .....	21	22	23	24
7. Sexual .....	25	26		
8. Neglect .....	27	28		
9. Abandonment .....	29	30		
10. Mental Suffering .....	31	32		
11. Fiduciary .....	33	34	35	36
12. Suicidal .....			37	38
13. Other .....	39	40	41	42
14. Total Sum of Items 6 Through 13 .....	43	44	45	46
<b>PART C. TOTAL NUMBER OF PERSONS WITH CONFIRMED ABUSE:</b>				
15. Total Unduplicated Number of Persons with Confirmed Abuse During the Month .....	47	48	49	50
A. Number of Persons with Confirmed Abuse During Previous Month(s) Whose Cases are Still On Hand .....	51	52	53	54
<b>PART D. TYPES OF ACTIONS TAKEN ON CONFIRMED CASES:</b>				
16. Victims Refusing Service .....	55	56	57	58
17. Investigation Closed/No Service Needed .....	59	60	61	62
18. Adult Protective Services Cases Open for Services .....	63	64	65	66
19. Referred to Another Agency (APS Case Not Opened) .....	67	68	69	70
20. Other .....	71	72	73	74
<b>PART E. ADULT PROTECTIVE SERVICES INVESTIGATIONS IN LONG-TERM CARE FACILITIES:</b>				
21. Where Abuse Occurred in a Long-Term Care Facility				
A. Number of Requests from Ombudsmen for Assistance from APS Staff .....	75	76	77	78
B. Number of Abuse Investigations Involving APS Staff .....	79	80	81	82
C. Number of Confirmed Abuse Reports Resulting from These APS Investigations .....	83	84	85	86

PERSON TO CONTACT REGARDING THIS REPORT	TELEPHONE NUMBER	DATE
	( )	

**LONG TERM CARE FACILITIES  
ELDER ABUSE/DEPENDENT ADULT ABUSE  
MONTHLY STATISTICAL REPORT**

RETURN COMPLETED FORM TO:  
California Department of Aging  
State Long Term Care Ombudsman Program  
1600 K Street  
Sacramento, CA 95814

**LONG TERM CARE OMBUDSMAN COORDINATOR**

SEND COPY TO COUNTY WELFARE DEPARTMENT,  
ADULT PROTECTIVE SERVICES

NAME	PSA NO	COUNTY
ADDRESS	MONTH	YEAR

**SECTION I. REPORTS OF CASES OF ALLEGED ABUSE PERPETRATED BY OTHERS IN LONG-TERM CARE FACILITIES**

PART A. NUMBER OF REPORTS	SNF			ICF			CCF'S (Licensed or Unlicensed)									ADHC			TOTALS			
	Dependent Adult		Elder	Dependent Adult		Elder	ARF			RCF-E			ADC			Dependent Adult		Elder	Dependent Adult		Elder	
	18-59	60-64	65+	18-59	60-64	65+	18-59	60-64	65+	18-59	60-64	65+	18-59	60-64	65+	18-59	60-64	65+	18-59	60-64	65+	
1. Received .....																						
2. Investigated .....																						
3. Confirmed .....																						
4. Dismissed (Insufficient Evidence)																						
5. Unfounded (False Report)																						

PART B. TYPES OF CONFIRMED INCIDENTS OF ADULT ABUSE	DEPENDENT ADULT				ELDER	
	18-59		60-64		65+	
	M	F	M	F	M	F
1. Physical .....						
2. Sexual .....						
3. Neglect .....						
4. Abandonment .....						
5. Fiduciary .....						
6. Mental Suffering .....						
7. Others .....						
8. Totals (Sum of Items 1-7) .....						

PART C. TOTAL NUMBER OF PERSONS WITH CONFIRMED ABUSE				

PART D. CONFIRMED NUMBER OF ABUSERS	MALE	FEMALE
1. Facility Employees .....		
2. Family Members .....		
3. Others (Specify) .....		
4. Totals .....		

**PART E. ACTIONS TAKEN (MORE THAN ONE ACTION FOR EACH CASE MAY APPLY)**

Victims Refusing Service   
  No Services Required   
  Victims Returned Home   
  Victims Hospitalized  
 Referred to Other Agency   
  Victims Placed in Another Facility   
  Victims Deceased  
 Referred to Law Enforcement   
  Victims Referred to APS (  Investigations     Services)  
 Other \_\_\_\_\_

SPECIFY

**SECTION II. REPORTS OF CASES OF ALLEGED SELF-INFLICTED ABUSE IN LONG-TERM CARE FACILITIES**

PART A. NUMBER OF REPORTS	SNF			ICF			CCF'S (Licensed or Unlicensed)									ADHC			TOTALS				
	Dependent Adult		Elder	Dependent Adult		Elder	ARF			RCF-E			ADC			Dependent Adult		Elder	Dependent Adult		Elder		
	18-59	60-64		65+	18-59		60-64	65+	18-59	60-64	65+	18-59	60-64	65+	18-59	60-64	65+		18-59	60-64		65+	
1. Received .....																							
2. Investigated .....																							
3. Confirmed .....																							
4. Dismissed <i>(Insufficient Evidence)</i>																							
5. Unfounded <i>(False Report)</i>																							

PART B. TYPES OF CONFIRMED INCIDENTS OF SELF-INFLICTED ABUSE	DEPENDENT ADULT				ELDER	
	18-59	60-64	65+	65+	M	F
1. Physical .....						
2. Suicidal .....						
3. Fiduciary .....						
4. Other .....						
5. Totals (Sum of Items 1-4) .....						

PART C. TOTAL NUMBER OF PERSONS WITH CONFIRMED ABUSE	18-59	60-64	65+	TOTAL

**PART D. ACTIONS TAKEN (MORE THAN ONE ACTION FOR EACH CASE MAY APPLY)**

Victims Refusing Service    
  No Services Required    
  Victims Returned Home    
  Victims Hospitalized  
 Referred to Other Agency    
  Victims Placed in Another Facility    
  Victims Deceased  
 Referred to Law Enforcement    
  Victims Referred to APS (  Investigations  Services )  
 Other \_\_\_\_\_

*SPECIFY*

PERSON TO CONTACT REGARDING THIS REPORT (PRINT OR TYPE)	TELEPHONE NUMBER	DATE
	( )	



NOTE: Submit report within 36 hours of the telephone report to your county adult protective services (APS) agency or local long-term care ombudsman program or local law enforcement agency. (See "GENERAL INSTRUCTIONS" on reverse side.)

# REPORT OF SUSPECTED DEPENDENT ADULT/ELDER ABUSE

Chapter 769, Statutes of 1986

NOTE: Instructions on Reverse

TO BE COMPLETED BY REPORTING PARTY — (Please Print or Type)

TELEPHONE INFORMATION REQUIRED (See Shaded Areas)

FOR USE BY INVESTIGATING COUNTY APS	
VICTIM NAME	
SUSPECTED ABUSER NAME	
REPORT NUMBER, CASE NAME	
DATE OF REPORT	<input checked="" type="checkbox"/> CHECK IF REFERRED BY LONG-TERM CARE OMBUDSMAN <input type="checkbox"/>
ACTION TAKEN ( <input checked="" type="checkbox"/> CHECK ONE) CONFIRMED ABUSE	UNCONFIRMED ABUSE ( <input checked="" type="checkbox"/> ONE)
<input type="checkbox"/> Victim Refuses Service	<input type="checkbox"/> Referred to APS
<input type="checkbox"/> Investigation Closed No Services Needed	<input type="checkbox"/> Referred to Other Agency
	<input type="checkbox"/> Dismissed (Insufficient Evidence)
	<input type="checkbox"/> Unfounded (False Report)

A. REPORTING PARTY		
NAME/TITLE OF REPORTING PARTY	SIGNATURE OF REPORTING PARTY	DATE OF THIS WRITTEN REPORT
TELEPHONE ( )	RELATIONSHIP TO SUSPECTED VICTIM	
ADDRESS STREET		CITY

B. VERBAL REPORT MADE TO		
<input checked="" type="checkbox"/> CHECK ONE: <input type="checkbox"/> COUNTY APS <input type="checkbox"/> OMBUDSMAN PROGRAM <input type="checkbox"/> LAW ENFORCEMENT	ADDRESS STREET	CITY
OFFICIAL CONTACTED	TELEPHONE ( )	DATE/TIME OF TELEPHONE REPORT

C. VICTIM			
NAME (LAST NAME FIRST)	AGE	SEX <input type="checkbox"/> M <input type="checkbox"/> F	RACE
ADDRESS STREET	CITY	TELEPHONE ( )	
PRESENT LOCATION (IF DIFFERENT FROM ABOVE)	CITY	TELEPHONE ( )	
<input type="checkbox"/> Developmentally Disabled	<input type="checkbox"/> Mentally Disabled	<input type="checkbox"/> Physically Handicapped	<input type="checkbox"/> Brain-impaired
<input type="checkbox"/> Frail Elderly	<i>(Functionally Impaired)</i>		

D. INCIDENT INFORMATION	
DATE/TIME OF INCIDENT	LEARNED OF INCIDENT BY ( <input checked="" type="checkbox"/> CHECK ONE) <input type="checkbox"/> Verbal Report <input type="checkbox"/> Observation
PLACE OF INCIDENT ( <input checked="" type="checkbox"/> CHECK ONE) <input type="checkbox"/> Long-term Care Facility <input type="checkbox"/> Own Home <input type="checkbox"/> Home of Offspring <input type="checkbox"/> Other Private Residence <input type="checkbox"/> Other (Specify) _____	

TYPES OF ABUSE ( <input checked="" type="checkbox"/> CHECK ALL THAT APPLY)			
<b>Physical:</b> <input type="checkbox"/> Assault/Battery <input type="checkbox"/> Constraint or Deprivation	<b>Perpetrated by Others</b> <input type="checkbox"/> Sexual Other (Specify) _____ <input type="checkbox"/> Neglect <input type="checkbox"/> Abandonment	<input type="checkbox"/> Fiduciary <input type="checkbox"/> Mental Suffering Other (Specify) _____	<b>Self-Inflicted</b> <input type="checkbox"/> Physical (neglect or other physical abuse) <input type="checkbox"/> Suicidal <input type="checkbox"/> Fiduciary Other (Specify) _____
ABUSE RESULTED IN ( <input checked="" type="checkbox"/> CHECK ALL THAT APPLY): <input type="checkbox"/> No Injury <input type="checkbox"/> Minor Medical Care <input type="checkbox"/> Hospitalization <input type="checkbox"/> Care Provider Required <input type="checkbox"/> Death <input type="checkbox"/> Other (Specify) _____			

E. RELATIONSHIP OF SUSPECTED ABUSER TO THE VICTIM			
<input type="checkbox"/> Health Practitioner Or Care Custodian	<input type="checkbox"/> If Health Practitioner Specify Type: _____	<input type="checkbox"/> Parent <input type="checkbox"/> Offspring <input type="checkbox"/> Spouse <input type="checkbox"/> No Relation <input type="checkbox"/> Unknown	Other Relation (Specify) _____ Name of Suspected Abuser: _____

F. FAMILY MEMBER OR OTHER PERSON RESPONSIBLE FOR ABUSED	
NAME	RELATIONSHIP
ADDRESS	TELEPHONE ( )

Please provide a brief narrative about any entries that you believe require explanation or clarification. Also add any additional information not requested above that you believe pertinent to the incident of physical abuse (e.g., what the victim said, known history of similar incidents). (You may attach medical notes or other information.)

## General Instructions

Complete this form for each incident and each victim of suspected physical abuse of a dependent adult or elder person.

This form may also be used by mandated and non-mandated reporters for permissive reporting of each incident and each victim of suspected other types of abuse of a dependent adult or elder person.

If any item of information is unknown, write unknown beside the item.

**Mandated Reporters (see below) are required to give their names.**

**Send one copy of this report to the county adult protective services agency\* or local law enforcement agency or if the abuse occurred in a long-term care facility (i.e. nursing home, community care facility, adult day care center, residential care facility for the elderly, adult day health care center) send one copy of this report to the local long-term care ombudsman or a local law enforcement agency.**

**The investigating agency is to enter on this form known items of requested information not provided by the reporter of dependent adult/elder abuse.**

**This form is also to be used by the receiving agency to record information received through a telephone report of dependent adult/elder abuse. Complete shaded sections on the form when a telephone report of abuse is received as required by statute.**

## Reporting Instructions

### Purpose

This form, as adopted by the Department of Social Services, is required under Welfare and Institutions Code, Chapter 11, Division 9, Sections 15630(a) and 15633.

Also, this form serves to document the information given by the reporting party on the suspected incident of physical abuse of an elder and dependent adult. "Elder" means any person residing in this state 65 years of age or older. "Dependent adult" means any person residing in this state, between the ages of 18 and 64, who has physical or mental limitations which restrict his or her ability to carry out normal activities or to protect his or her rights including, but not limited to, persons who have physical or developmental disabilities or whose physical or mental abilities have diminished because of age. "Dependent adult" includes any person between the ages of 18 and 64 who is admitted as an inpatient to a 24-hour health facility, as defined in Sections 1250, 1250.2, and 1250.3 of the Health and Safety Code.

### Reporting Responsibilities

Any elder or dependent adult care custodian, health practitioner or employee of a county adult protective services agency\* or a local law enforcement agency, who in his or her professional capacity or within the scope of his or her employment, either has observed an incident that reasonably appears to be physical abuse, has observed a physical injury where the nature of the injury, its location on the body, or the repetition of the injury, clearly indicates that physical abuse has occurred, or is told by an elder or dependent adult that he or she has experienced behavior constituting physical abuse, shall report the known or suspected instance of physical abuse either to the long-term care ombudsman coordinator or to a local law enforcement agency when the physical abuse is alleged to have occurred in a long-term care facility, or to either the county adult protective services agency\* or to a local law enforcement agency when the physical abuse is alleged to have occurred anywhere else, immediately or as soon as possible by telephone and shall prepare and send a written report (SOC 341) thereof within 36 hours.

When two or more persons who are required to report are present and jointly have knowledge of a suspected instance of elder abuse or abuse of a dependent adult and when there is agreement among them, the telephone report may be made by a member of the team selected by mutual agreement and a single report may be made and signed by the selected members of the reporting teams. Any member who has knowledge that the member designated to report has failed to do so, shall thereafter make the report.

Any person knowingly failing to report, when required, an instance of elder or dependent adult abuse is guilty of a misdemeanor punishable by imprisonment in the county jail for a maximum of six months or fined \$1,000 or both imprisonment and fine.

The identity of all persons who report under Chapter 11 shall be confidential and disclosed only between adult protective services agencies,\* local law enforcement agencies, long-term care ombudsman coordinators, licensing agencies, or their counsel, the district attorney in a criminal prosecution, or upon waiver of confidentiality by the reporter, or by court order.

### Reporting Party Definitions (Mandated Reporters)

Any elder or dependent adult care custodian, health practitioner or employee of a county adult protective services agency\* or a local law enforcement agency.

"Care custodian" is defined as an administrator or an employee of any of the following public or private facilities which provide care for elders and dependent adults except persons who do not work directly with elder and dependent adults as part of their official duties (including support and maintenance staff):

24-hour health facilities [as defined in Health & Safety (H&S) Code 1250, 1250.2, 1250.3]	Regional center for persons with developmental disabilities
Clinics	State Departments of Social Services and Health Services licensing divisions
Home health agencies	County Welfare Departments
Adult day health care centers	Patient's rights advocate offices
Sheltered workshops	Office of the long-term care ombudsman
Camps	Offices of public guardians and conservators
Respite care facilities	Secondary schools serving 18-22 year old dependent adults and postsecondary educational institutions which serve dependent adults or elders
Residential care facilities for the elderly (H&S Code 1569.2)	Any other protective or public assistance agency which provides health or social services to elders or dependent adults
Community care facilities including foster homes (H&S Code 1502)	(WIC Section 15610(h), AB 3988)

### "Health Practitioner" means:

Physician and surgeon	Licensed clinical social worker
Psychologist	Psychiatrist
Resident	Dentist
Intern	Podiatrist
Chiropractor	Licensed nurse
Dental hygienist	Paramedic

A marriage, family and child counselor trainee or unlicensed intern as defined in subdivision (c) or Section 4980.03 and Section 4980.44 respectively of the Business and Professions Code.

Marriage, family, and child counselor or any other person licensed under Division 2 (commencing with Section 500) of the Business and Professions Code.

Any emergency medical technician I or II.

Any person certified pursuant to Division 2.5 (commencing with Section 1797) of the Health and Safety Code.

State or county public health or social service employee who treats an elder or dependent adult for any condition.

Coroner.

Religious practitioner who diagnoses, examines or treats elders or dependent adults.

(WIC Section 15610(i), AB 3988)

\*\*"Adult protective service agency" means a county welfare department except persons who do not work directly with elders or dependent adults as part of their official duties including support and maintenance staff. (WIC Section 15610 (j), AB 3988.)