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EXTRAORDINARY OCCURRENCES
1985

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ACQUISITIONS

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This report is a summarization of extraordinary incidents occurring in Kentucky's correctional institutions in 1985. Data has been extracted from Extraordinary Occurrence Report forms prepared by each institution for each incident. Making up Kentucky's adult institutional system are the following facilities: Kentucky State Reformatory, located in LaGrange, a medium security facility and the largest in the system; the Kentucky State Penitentiary located in Eddyville, the only maximum security prison; the Northpoint Training Center located near Danville, the newest medium security facility; the Luther Lockett Correctional Complex, a medium security institution; the Kentucky Correctional Institution for Women near Pewee Valley, contains maximum, medium and minimum security inmates; the Blackburn Correctional Complex in Lexington, the Frankfort Career Development Center in Frankfort, the Bell County Forestry Camp in Bell County, the Roederer Farm Center in LaGrange, and the Western Kentucky Farm Center near Fredonia, all minimum security facilities.

As would be expected, there were a greater number of reported incidents in the larger maximum and medium security facilities in 1985. Kentucky State Reformatory, the largest institution with Segregation and the Special Needs Unit, and the Kentucky State Penitentiary, the only maximum security institution with Segregation, accounted for 64% of the total number of incidents. The majority of incidents in the minimum facilities involved attempted escapes, escapes, and escapee apprehensions.

More precise criteria for an extraordinary occurrence have recently been formulated in an effort to eliminate disparities in reporting. It should be understood that doing so after the reporting period allows only for elimination of those reports that do not conform to that criteria. It cannot address the problem of under-reporting. Future reports of this nature should even more closely reflect the experience of each institution in terms of extraordinary occurrences. The primary source for the definitions used is the U.S. Justice Department's "Dictionary of Criminal Justice Data Terminology". The categories covered by this report with explanations where appropriate are the following.

- I. Death
 - a. Suicide
 - b. Natural
 - c. Accidental
 - d. Homicide
 - e. Other

- II. Escape
 - a. Attempted
 - b. Escape
 - c. Escapee Apprehension

- III. Assault on Staff
 - a. Aggravated Assault: The unlawful intentional inflicting of serious bodily injury, i.e. injury requiring treatment beyond simple first aid such as broken bones, cuts requiring stitches, all internal injuries, etc.
 - b. Simple assault: The unlawful intentional inflicting of less than serious bodily injury.

- IV. Inmate Assault
 - a. Aggravated Assault
 - b. Sexual Assault: Unlawful sexual intercourse or sexual contact through use of force or threatened use of force.

- V. Dangerous Contraband: Contraband which is capable of such use as may endanger the safety or security of a detention facility or persons therein, including but not limited to dangerous instruments as defined in KRS 500.080, i.e. any controlled substances, marijuana, escape device or intoxicant.
 - a. Drugs or Intoxicants
 - b. Weapons
 - c. Other

- VI. Medical Incidents Involving Serious Injury
 - a. Attempted Suicide
 - b. Accident
 - c. Self Mutilation

- VII. Miscellaneous
 - a. Furlough Related
 - b. Destruction or Damage of Property Valued at \$500 or More
 - c. Riot: A disturbance involving an assemblage of three or more persons acting together or in concert which by tumultuous and violent conduct, or the imminent threat of tumultuous and violent conduct, results in injury or damage to persons or property or creates a clear and present danger of injury or damage to persons or property.
 - d. Fire

VIII. Use of Force by Staff

- a. Use of Weapon or Gas
- b. Nonroutine Use of Restraints
- c. Physical Contact: Actual force directed toward the body of another person.

X. Other

In 1985 there were a total of 742 extraordinary occurrences. Table 1 following presents a breakdown of this total by institution as well as the number of incidents per 100 of the average daily population.

TABLE 1
EXTRAORDINARY OCCURRENCES

	<u>No. of Incidents</u>	<u>Average Daily Pop.</u>	<u>Rate/100</u>
KSR	308	1412	22
KSP	171	774	22
LLCC	75	572	13
BCC	60	345	17
NTC	33	642	5
BCFC	31	104	33
WKFC	33	207	17
RFC	14	251	6
KCIW	5	166	7
CSC	9	181	5
<u>FCDC</u>	<u>3</u>	<u>75</u>	<u>3</u>
TOTALS	742	4729	16

As can be seen in the preceding table, in terms of the number of incidents per 100 of the average daily population, the medium and maximum facilities had the highest rates. The exceptions to this were Blackburn Correctional Complex, Bell County Forestry Camp and Western Kentucky Farm Center, all minimum security facilities, where the preponderance of incidents were escapes and escapee apprehensions. The fact that Kentucky State Reformatory is the largest institution with an average daily population of 1,412 in 1985 is only one factor contributing to its rate. A total of 108 or 36% of the total incidents at Kentucky State Reformatory occurred in segregation where inmates whose conduct poses a serious threat to the orderly operation or security of the institution are isolated from the general population. It should also be understood that inmates of a similar nature are regularly transferred to Kentucky State Reformatory from Luther Lockett Correctional Complex and the Northpoint Training Center (similar custody facilities) which are within a reasonable proximity. Both institutions lack the physical plant to manage segregation warranted inmates. Also contributing to the number of extraordinary occurrences at Kentucky State Reformatory, a Special Needs Unit was created as a

part of Segregation in late 1983. This unit, the only one of its kind in the system, houses inmates who are formally diagnosed as being retarded, have histories of psychotic behavior but are not actively psychotic, or behavior problems because of either of the preceding conditions. Of the 108 incidents in Segregation, 42 occurred in the Special Needs Unit. Graph 1 (Appendix) illustrates by institution the number of incidents that occurred in 1985 per 100 of the average daily population. The number of incidents per category at each institution can be found in Table 2 (Appendix). Following are brief explanations of some of the numbers found in Table 2.

ESCAPES

Minimum security facilities accounted for the majority of escapes in 1985. There were a total of 85 reported via EOR forms. Bell County Forestry Camp with 16 escapes and an average daily population of 104 had the highest rate of 15.3 escapes per 100 inmates. The Blackburn Correctional Complex had the second highest with 8.7 escapes per 100 inmates. Table 3 below enumerates escapes by type.

TABLE 3
ESCAPES

	From Inst.	On Fur.	Emerg Home	Work Visit	Work Detail	Jail/ Court	School	TOTAL	RATE/ 100
KSR	5		1					6	.4
KSP	3							3	.3
LLCC						1		1	.2
NTC	1							1	.2
BCFC	15					1		16	15.3
RFC	2	1						3	1.2
KCIW					1			1	.6
WKFC	10	3						13	6.2
BCC	26	3			1			30	8.7
FCDC					2			2	2.6
CSC	7	1					1	9	4.9
TOTAL	69	8	1		4	2	1	85	1.8

A total of 52 escapees in 1985 were either apprehended or turned themselves in that same year. Seven who escaped prior to 1985 were also apprehended. Table 4 below lists escapee apprehensions by institution with the return rate of each.

TABLE 4
ESCAPEE APPREHENSIONS
(1985 ESCAPES)

	APPREHENDED	TURNED SELF IN	RETURN
KSR	1		17%
KSP	0		0%
LLCC	1		100%
NTC	1		100%
BCFC	10	1	73%
RFC	3		100%
KCIW	0		0%
WKFC	11		85%
BCC	17	7	80%
FCDC	0		0%
CSC	0		0%

ASSAULTS OF STAFF

There were a total of 8 aggravated assaults on staff in 1985. Seven of these clearly adhered to the definition of same. One, however, at Northpoint was a sexual assault on a teacher and is here included in the aggravated assault category. The other aggravated assault at Northpoint was unusual in that an explosive device was detonated and a Corrections Officer was hit with shrapnel.

CONTRABAND

A total of 115 cases of drug contraband were reported in 1985. Of this total, however, 17% involved the detection of drugs in the possession of visitors. Table 5 following lists the number of drug possessions by type.

TABLE 5
CONTRABAND (Drugs)

	INMATE	VISITOR	PACKAGE	TOTAL
KSR	62	9		71
KSP	14	1		15
LLCC	11		1	12
NTC	3	10	1	14
RFC	1			1
WKFC	2			2
TOTALS	93	20	2	115

MEDICAL INCIDENTS

Of the 16 incidents of attempted suicide in 1985, 10 occurred at Kentucky State Reformatory. Eight of these took place in Segregation with five in the Special Needs Unit. There were 30 cases of self mutilation 23 of which were at Kentucky State Reformatory. Three of those were in the Special Needs Unit. It should be understood that nine of the self mutilations reported at Kentucky State Reformatory involved one particularly difficult inmate on nine different occasions.

There were 10 accidents which resulted in serious injury in 1985. Four of these were work related.

MISCELLANEOUS

The four furlough incidents indicated in Table 2 do not include escapes perpetrated while on furlough. They do include the following:

KSR	1 arrested for intoxication
RFC	1 charged with burglary & assault
RFC	1 drunk & assaulted outside district
WKFC	1 charged with theft

Although no incidents of damage to property over \$500 are cited in Table 2, a great deal of damage was done to filing cabinets, desks and such during a break-in at the electric shop at Kentucky State Reformatory. No actual cost was reported.

While no riots actually transpired in the period here reported, on one occasion several inmates in the Protective Custody Unit at Luther Lockett Correctional Complex were charged with Inciting to Riot. Upon being released from a lockdown, two inmates became hostile causing others to become unruly and aggressive. Assistance was called and the unit was locked down.

There were a total of 23 fires in the institutions in 1985. Fifteen of these were deliberately set with the remainder accidental.

USE OF FORCE BY STAFF

Restraints are implemented when an inmate is either a threat to his own safety, to others or to property. They were required on 157 occasions in 1985. Kentucky State Reformatory accounted for 63% of the total with 99 incidents of the use of restraints. Of importance is the fact that 75% of these uses were in Segregation with 27 in Special Needs. Similarly, at Kentucky State Penitentiary, the majority of incidents requiring the use of restraints occurred in Segregation. Of 39 uses, 27 or 69% occurred in Segregation. Table 6 below identifies the circumstances under which restraints were used in each institution.

TABLE 6
USE OF RESTRAINTS

	Threat to Self	Threat to Others	Threat to Prop.	Totals
KSR	45	51	3	99
KSP	9	30		39
LLCC	4	9	1	14
NTC				
RFC		1		1
KCIW		1		1
TOTALS	59	94	4	157

Mace was used on 22 occasions at Kentucky State Penitentiary in 1985. Nineteen of these were in Segregation. On five occasions, the inmate was a threat to himself and on 17 a threat to others.

OTHER

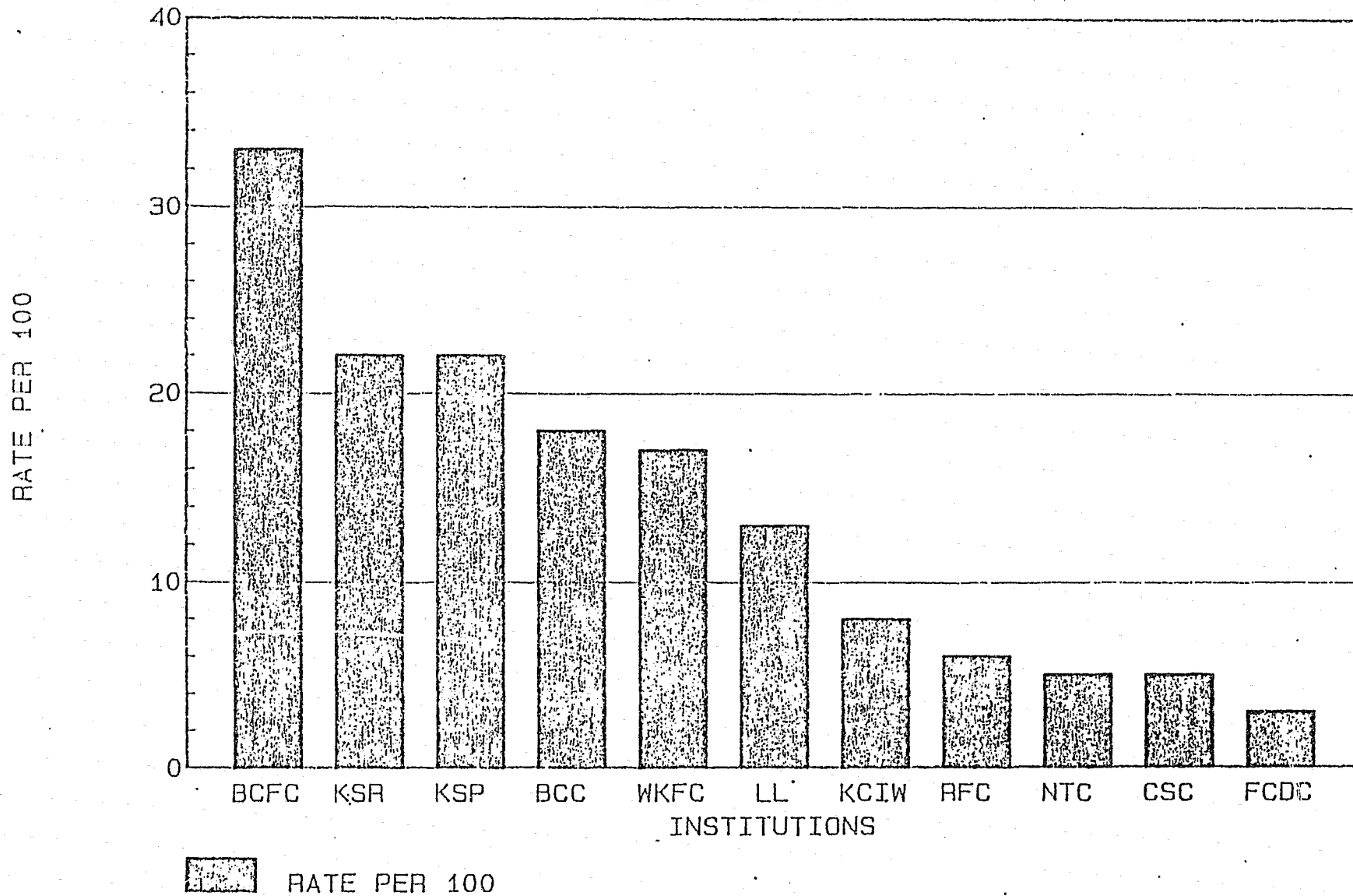
In a span of seven days, Northpoint Training Center experienced three severe storms resulting in considerable damage to the facility and surrounding property. One storm caused damage totaling over \$6,000.00.

At Western Kentucky Farm Center an attempt by six inmates to organize a work stoppage was thwarted. All were ultimately transferred to Northpoint Training Center.

APPENDIX

TABLE 1

INSTITUTIONAL RATE OF EXTRAORDINARY OCCURENCES PER 100 INMATES



EXTRAORDINARY OCCURRENCES
BY INSTITUTION CONT'D

	KSR	KSP	LLCC	NTE	BCFC	RFC	KCIW	WKFC	BCC	FCDC	CSC	Totals
<hr/>												
5. DANGEROUS CONTRABAND												
a. drugs or intoxicants	71	15	12	14		1		2				115
b. weapons	20	24	4									48
c. other	2	2										4
<hr/>												
6. MEDICAL INCIDENTS (SERIOUS INJURY)												
a. attempted suicide	10	5	1									16
b. accident	3		2	1	1		2			1		10
c. self mutilation	23	3	4									30
<hr/>												
7. MISCELLANEOUS												
a. furlough incidents	1					2		1				4
b. destruction or damage property over \$500												
c. riot												
d. fire	12	7	2			1		1				23
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EXTRAORDINARY OCCURRENCES
BY INSTITUTION CONT'D

	KSR	KSP	LLCC	NTC	BCFC	RFC	KCIW	WKFC	BCC	FCDC	CSC	Totals
8. USE OF FORCE BY STAFF												
a. use of weapon or gas		22										22
b. nonroutine use of restraints	99	39	14	3		1	1					157
c. physical contact by staff	11	26	17	5	1	1		1				62
9. OTHER				1				1				2
TOTALS	308	171	75	33	31	14	5	33	60	3	9	742