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**EXTRAORDINARY OCCURRENCES  
1986**

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ACQUISITIONS

Prepared by  
Coleen Williams  
Research Analyst  
Planning & Evaluation Branch  
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This report is a summarization of extraordinary incidents occurring in Kentucky's correctional institutions in 1986. Data has been extracted from Extraordinary Occurrence Report Forms prepared by each institution for each incident. Making up Kentucky's adult institutional system are the following facilities: Kentucky State Reformatory located in LaGrange, a medium security facility and the largest in the system; the Kentucky State Penitentiary located in Eddyville, the only maximum security prison; Northpoint Training Center located near Danville, a medium security facility; Luther Lockett Correctional Complex, a medium security institution; the Kentucky Correctional Institution for Women near Pewee Valley, contains maximum, medium and minimum security inmates; Blackburn Correctional Complex, in Lexington; Frankfort Career Development Center in Frankfort; Bell County Forestry Camp, in Bell County; Roederer Farm Center in LaGrange; Western Kentucky Farm Center near Fredonia; and the Community Service Centers, which would include Marion Adjustment Center, all are minimum security facilities.

As would be expected, there were a greater number of reported incidents in the larger maximum and medium security facilities in 1986. Kentucky State Reformatory, the largest institution with segregation and the Special Needs Unit, and the Kentucky State Penitentiary, the only maximum security institution with segregation, accounted

for 60% of the total number of incidents. The majority of incidents in the minimum facilities involved escapes and escapee apprehensions.

The primary source for the definitions used is the U.S. Justice Department's "Dictionary of Criminal Justice Data Terminology". The categories covered by this report with explanations where appropriate are the following:

I. Death

- A. Natural
- B. Suicide
- C. Homicide
- D. Other

II. Escape

- A. Attempted
- B. Escape
- C. Escapee Apprehension

III. Assault on Staff

A. Aggravated Assault: The unlawful intentional inflicting of serious bodily injury, i.e., injury requiring treatment beyond simple first aid such as broken, cuts requiring stitches, all internal injuries, etc.

B. Simple Assault: The unlawful intentional inflicting of less than serious bodily injury.

C. Sexual Assault: Unlawful sexual intercourse or sexual contact through use of force or threatened use of force.

IV. Inmate Assault

- A. Aggravated Assault (same definition as on staff)
- B. Sexual Assault (same definition as on staff)

V. Dangerous Contraband: Contraband which is capable of such use as may endanger the safety or security of a detention facility or persons therein, including but not limited to dangerous instruments as defined in KRS.080, i.e., any controlled substance, marijuana, escape device or intoxicant.

- A. Drugs
- B. Weapons
- C. Other

VI. Medical Incidents Involving Serious Injury

- A. Attempted suicide
- B. Self mutilation (serious)
- C. Self mutilation (superficial)
- D. Accidents

VII. Miscellaneous

- A. Furlough related
- B. Destruction or damage of property valued at \$500 or more
- C. Riot: A disturbance involving an assemblage of three or more persons acting together or in concert which by tumultuous and violent conduct, or the imminent threat of tumultuous and violent conduct, results in injury

or damage to persons or property or creates a clear and present danger of injury or damage to persons or property.

D. Fire

VIII. Use of Force by Staff

A. Use of Weapon or Gas

B. Non-routine use of restraints

C. Physical Contact: Actual force directed toward the body of another person.

IX. Other

In 1986 there were a total of 934 reported extraordinary occurrences. Table I presents a breakdown by institution as well as the number of incidents per 100 of the average daily population.

TABLE I  
Extraordinary Occurrences in 1986

	<u>No. of Incidents</u>	<u>Average Daily Population</u>	<u>Rate/100</u>
KSR	250	1419	18
KSP	307	792	39
LLCC	52	578	9
BCC	58	343	17
NTC	111	669	17
BCFC	15	104	14
WKFC	48	230	21
RFC	30	250	12
KCIW	26	165	16
CSC	29	222	13
FCDC	<u>8</u>	<u>74</u>	<u>11</u>
TOTALS	934	4846	19

In terms of the number of incidents per 100 of the average daily population, Table I shows the medium and maximum facilities with the highest rates excluding Luther Lockett Correctional Complex. Blackburn Correctional Complex and Western Kentucky Farm Center, both

minimum security facilities, were the exception to this. The largest number of incidents occurring at these minimum institutions were escapes and escapee apprehensions. The Kentucky State Penitentiary is the state's only maximum security institution which would be one factor for its high rate of occurrences. A total of 100 or 40% of the total incidents at the Kentucky State Reformatory occurred in segregation where inmates whose conduct poses a serious threat to the orderly operation or security of the institution are isolated from the general population. It should also be noted that inmates are often transferred to the Kentucky State Reformatory's Segregation Unit from Luther Lockett Correctional Complex and Northpoint Training Center. Both institutions lack the physical plant to manage such inmates. Also contributing to the number of extraordinary occurrences at the Kentucky State Reformatory is the Special Needs Unit. Of the 100 incidents which occurred in Segregation, 43 took place in the Special Needs Unit.

Overall, extraordinary occurrences had increased from the previous year. In some institutions the number of incidents had risen three times the amount from 1985. This occurred at Northpoint Training Center, Kentucky Correctional Institution for Women and the Community Service Centers. The increase at Northpoint and the women's institution appeared to be in the categories of dangerous contraband and medical accidents including self

mutilations. However, at Northpoint there were a higher number of use of restraints also. The Community Service Centers had the largest increase in the escape category. The number of incidents per category at each institution can be found in Table 2 (appendix). Following are some brief explanations of the numbers found in Table 2.

Escapes

There were a total of 91 reported escapes for 1986. The minimum security facilities accounted for 90% of these escapes. The Community Service Centers with 28 escapes and an average daily population of 222 had the highest rate, 12.6 escapes per 100 inmates. The Blackburn Correctional Complex had the second highest with 7.6 escapes per 100 inmates. Table 3 shows escapes by type.

TABLE 3  
ESCAPES

	From Institution	On Furlough	Emergency Home Visits	Work Detail	Jail/Court	School	Total	Rate/100
KSR				1	1		2	.1
KSP	1						1	.1
LLCC	1				2		3	.5
NTC							0	0
BCFC	5						5	4.8
RFC				5			5	2.0
KCIW	2			1			3	1.8
WKFC	12	2		2			16	7.0
BCC	26						26	7.6
FCDC	1			1			2	2.7
CSC	17	9				2	28	12.6
TOTAL	65	11		10	3	2	91	1.9

A total of 46 escapes in 1986 were either apprehended or turned themselves in. Six escapees who had escaped prior to 1986 were also apprehended. Table 4 below

enumerates apprehensions by institutions with the return rate of each.

TABLE 4  
ESCAPEE APPREHENSIONS

	Apprehended	Turned Self In	Return
KSR	1		50%
KSP	1		100%
LLCC	1		33%
NTC	0		0%*
BCFC	5		100%
RFC	3	1	80%
KCIW	1		33%
WKFC	12	1	81%
BCC	17	1	69%
FCDC	1		50%
CSC	1		4%

\* No Escapes

#### Assaults on Staff

There were a total of 70 assaults on staff in 1986. Six of these assaults were aggravated, 63 were simple and one which occurred at Kentucky State Penitentiary was a sexual assault.

#### Inmate Assault

Inmate assaults were reported 49 times in 1986. Forty-five of these were aggravated and four were sexual assaults. Two sexual assaults occurred at Luther Lockett Correctional Complex and two at the Kentucky State Reformatory.



### Contraband

A total of 247 cases of contraband were reported in 1986. One hundred forty-four of these cases were drugs, 73 were weapons and 30 were other. Of the drug cases, 18% involved the detection of drugs in the possession of visitors. Table 5 shows the number of drug possessions by type.

TABLE 5  
CONTRABAND (DRUGS)

	<u>INMATE</u>	<u>VISITOR</u>	<u>PACKAGE</u>	<u>TOTAL</u>
KSR	51	8	2	61
KSP	12	2	1	15
LLCC	6	1	1	8
NTC	20	10	3	33
RFC	13			13
KCIW	1	3		4
WKFC	5	2	1	8
BCC	1		1	2
TOTALS	109	26	9	144

### Medical Incidents

There were a total of 39 cases of attempted suicide in 1986. Twenty-four of these cases occurred at the Kentucky State Penitentiary. Fifty percent of these 24 took place in Segregation at the Penitentiary. There were 13 cases at the Kentucky State Reformatory, 10 of these cases occurring in Segregation and 7 of the 10 taking place in the Special Needs Unit.

A total of 77 cases of self-mutilations were reported. This includes serious and superficial.

Thirty-three incidents took place at Kentucky State Penitentiary. Twenty-four cases occurred at the Kentucky State Reformatory, 14 of these took place in Segregation and 5 of the total occurred in the Special Needs Unit.

In 1986 there were a total of 31 reported accidents with serious injury. Six of these were work related.

### Miscellaneous

Damage of property over \$500 occurred four times in 1986. One incident at Northpoint Training Center and one incident at the Kentucky State Penitentiary were results of a major riot. A total of five riots transpired in 1986. Four of these took place at the Kentucky State Penitentiary and one developed into a major disturbance resulting in thousands of dollars in damage including the destruction of the law library.

There were a total of 44 fires in the institutions in 1986. Thirty-six of these were deliberately set with the remainder accidental.

### Use of Force by Staff

Restraints are implemented when an inmate is either a threat to his own safety, to others or to property. Incidents involving restraints occurred 136 times in 1986. The Kentucky State Penitentiary accounted for 54% or 73 cases where restraints were used. Thirty-five of these cases took place in Segregation. The Kentucky State

Reformatory reported 29% or 39 occurrences. Fifteen occurred in the Special Needs Unit. Table 6 identifies the circumstances under which restraints were used in each institution.

TABLE 6  
USE OF RESTRAINTS

	Threat to Self	Threat to Others	Threat to Property	Totals
KSR	17	22		39
KSP	15	54	4	73
LLCC	3	3		6
NTC	1	14		15
KCIW	1			1
WKFC		1		1
BCC		1		1
TOTALS	37	95	4	136

Mace was used on 20 occasions where the use of weapons or gas occurred in 1986. Nineteen of these occurred at Kentucky State Penitentiary and of the nineteen, 12 took place in Segregation. The other incident was reported from Northpoint Training Center.

Other

The Kentucky Correctional Institution for Women, Frankfort Career Development Center, Luther Luckett Correctional Complex and Blackburn Correctional Complex had all experienced some sort of break-in and/or theft of property. Blackburn's break-in occurred in the legal aide office.

The Kentucky State Penitentiary had a hostage situation where inmates voiced several complaints. No injuries were reported.

Roederer Farm Center reported a sexual assault of a non-employee of Roederer while inmates were on a work detail at the state fairgrounds in Louisville.

At a Parole Board Hearing at the Kentucky State Reformatory, an inmate had to be escorted out of his hearing due to verbal abuse to the Parole Board.

**APPENDIX**



1986  
TABLE 2  
EXTRAORDINARY OCCURRENCES  
BY INSTITUTION

	KSR	KSP	LLCC	NTC	BCFC	RFC	KCIW	WKFC	BCC	FCDC	CSC	TOTALS
5. DANGEROUS CONTRABAND												
a. Drugs	61	15	8	33		13	4	8	2			144
b. Weapons	22	28	10	13								73
c. Other	7	11	2	5			4	1				30
6. MEDICAL INCIDENTS												
a. Attempted Suicide	13	24	1				1					39
b. Self Mutilation (serious)	8	13	3	1			1		1			27
c. Self Mutilation (superficial)	16	20	6				8					50
d. Accidents	7	3	1	8	1	2	1	3	1	4		31
7. MISCELLANEOUS												
a. Furlough Incidents					1			1	1			3
b. Destruction or Damage of Property over \$500	1	1		1					1			4
c. Riot		4		1								5
d. Fire	14	16	2	6		3			3			44

1986  
TABLE 2  
EXTRAORDINARY OCCURRENCES  
BY INSTITUTION

	KSR	KSP	LLCC	NTC	BCFC	RFC	KCIW	WKFC	BCC	FCDC	CSC	TOTALS
8. USE OF FORCE BY STAFF												
a. Use of Weapon or gas		19		1								20
b. Use of Restraints	39	73	6	15			1	1	1			136
c. Physical Contact by Staff	14	10	3	4					1			32
9. OTHER	1	1	1			1	1		1	1		7
TOTALS	250	307	52	111	15	30	26	48	58	8	29	934